

# SECURITIES COMMISSION OF THE BAHAMAS

3rd Floor, Charlotte House  
Shirley & Charlotte Streets  
P.O. Box N-8347

Tel: (242) 356-6291/2  
Fax: (242) 356-7530  
E-mail: [info@scb.gov.bs](mailto:info@scb.gov.bs)  
Website: [www.scb.gov.bs](http://www.scb.gov.bs)

## REGISTRATION FEES

(Six Schedule of the Securities Industry Regulations, 2000)

ACT	FEES	AMOUNT
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### FIRMS

Broker-Dealer Class I		
22(1)	Broker-Dealer Class I application fee	\$2,500.00
22(1)	Broker-Dealer Class I registration fee	\$5,000.00
22(1)	Broker Dealer Class I annual registration fee payable on or before 31 January in each year	\$7,500.00
Broker-Dealer Class II		
22(1)	Broker-Dealer Class II application fee	\$1,500.00
22(1)	Broker-Dealer Class II registration fee	\$2,000.00
22(1)	Broker Dealer Class II annual registration fee payable on or before 31 January each year	\$3,500.00
Securities Investment Advisor		
29(5)	Securities Investment Advisor application fee	\$500.00
29(5)	Securities Investment Advisor registration fee	\$500.00
29(5)	Securities Investment Advisor annual registration fee payable on or before 31 January in each year	\$1,000.00

### INDIVIDUALS

Broker, Principle, Advisor		
29(1)	Broker, Principle, Advisor application fee	\$100.00

29(1)	Broker, Principle, Advisor registration fee	\$250.00
29(1)	Broker, Principle, Advisor annual registration fee payable on or before 31 January in each year	\$250.00
<b>Associated Person</b>		
29(2)	Associated Person application fee	\$50.00
29(2)	Associated Person registration fee	\$250.00
29(2)	Associated Person annual fee	\$100.00

Methods of Payment

Checks and wire transfers (payable to **The Securities Commission of The Bahamas**) are the only acceptable forms of payment.

Payments are to be made in BSD or USD.