

SECURITIES COMMISSION OF THE BAHAMAS



LICENSEES UNDER THE SECURITIES INDUSTRY ACT, 2011

| Name | Categories | Phone | Fax | Email | Address | P. O. Box | Registered Personnel |
|---|---|----------|----------|--------------------------------------|---|-----------|--|
| A.S.P.I. Bahamas Ltd. (formerly R.I.A.F. Ltd.) | 1. Managing Securities 2. Advising on Securities | 601-5334 | 601-5339 | methompson@riafbahamas.com | Ground Floor Ocean Centre, East Bay Street Nassau, Bahamas | SP-61913 | Magnal Thompson |
| Abaco Global Advisors Ltd. | 1. Managing Securities | 328-2131 | 356-2155 | info@abaco-ltd.com | 3B One Sandport Plaza West Bay Street Nassau, Bahamas | SS-64168 | Michele Knowles-Doura; Olivier Notz |
| Adi Dassler International Family Office Limited | 1. Managing Securities | 356-5454 | 356-9432 | jwright@winterbotham.com | Winterbotham Place Marlborough & Queen Streets Nassau, Bahamas | SP-62556 | Julian Bradham; David Rounce; Anthony Kevin Burrows |
| Advantage Financial International Ltd. | 1. Managing Securities 2. Advising on Securities | 502-7020 | 393-5002 | info@genesishfundservices.com | 5th Floor 308 East Bay Street Nassau, Bahamas | N-9058 | Francesco Confuorti; Samantha Watson-Crooks |
| Akelius Invest Ltd. | 1. Managing Securities | 367-2030 | 367-5788 | demetris.syllouris@akeliusinvest.com | 700 Don Mackay Boulevard Abaco, Bahamas | AB-20415 | Demetrius Syllouris; Rhonda Hull |
| Alliance Investment Management Ltd. | 1. Dealing in Securities as Principal & Agent 2. Arranging Deals. 3. Advising on Securities | 326-7333 | 326-7336 | info@allianceinvest.com | Ground Floor British Colonial Hilton Centre of Commerce 1 Bay Street Nassau, Bahamas | SS-19051 | Julian Brown; Avril Elcock-Major; Nolan Johnson |
| Alternative Asset Advisors S.A. | 1. Managing Securities 2. Advising on Securities | 702-1423 | 702-1424 | jillian.ferguson@syzgroup.com | Bayside Executive Park West Bay Street & Blake Road Nassau, Bahamas | N-1089 | Venetia Dekle-Maura; Jillian Ferguson; Keitra Pratt; Cristiano Pasqualino |
| Amber Bank & Trust Ltd. | 1. Dealing in Securities as Principal & Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities | 397-7500 | 325-0490 | Pauline.creary@ambertrust.net | Goodman's Bay Corporate Centre West Bay Street & Sea View Drive Nassau, Bahamas | CB-10976 | Pauline Creary-Lightbourne; Tanya Ingraham; Anthony Johnson; |
| Analytical Research Advisors, LLC | 1. Advising on Securities | 322-5448 | 325-8445 | info@sterling-bahamas.com | 205A Saffrey Square Bank Lane and Bay Street Nassau, Bahamas | N-9934 | Jean-Stephane Marie Lods; Michelle Bain |
| Andbank (Bahamas) Limited | 1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities | 394-7090 | 394-7089 | info.bahamas@andbank.com | One Montague Place East Bay Street Nassau, Bahamas | AP-59223 | Manuel Martinez Campos; Daniel Brunner; Wendell Gardiner; Werner Gruner; George Maillis; Jamaal Wright |
| Ansbacher (Bahamas) Limited | 1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities | 322-1161 | 326-5020 | info@ansbacher.bs | 4th Floor Colina Imperial Building #308 East Bay Street Nassau, Bahamas | N-7768 | Catherine L. Fadely; Ricardo Rolle, Mary Rodland, Carlton Newton Mortier; Ginarenae Chuno, Lashelle White; Katherine Rolle; Aruna Rodgers; |
| Arbital Securities Ltd. | 1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities | 362-6750 | 362-6760 | andre@arbital.com | Bldg No. 4, Old Fort Bay Lyford Cay Nassau, Bahamas | SP-64093 | Andre Mendes Senra Souza; Fernando Mauricio Da Rocha Pereira Leite; Rodrigo Paiva; Wendy Warren; Andre Pereira Landi |
| Arner Bank & Trust (Bahamas) Ltd. | 1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities | 327-6594 | 327-6584 | david.thain@arnerbank.ch | Suite 2 Building 2 Caves Village Nassau, Bahamas | N-3917 | David G. Thain; David Rounce; Walter Christen; Lisa Patterson |
| Autana Capital Management | 1. Managing Securities 2. Advising on Securities | 327-3947 | 327-3958 | jme@autanacapital.com | Caves Village West Bay Street Nassau, Bahamas | CB-12762 | Jean-Marc Emden |

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| Axia Partners | 1. Managing Securities | 394-4182 | 394-4183 | tamara.bain@apms-ltd.com | Village Road North Nassau, Bahamas | SS-19689 | Georges Marcou; Tamara Bain |
| Axxets Management Inc. | 1. Managing Securities 2. Advising on Securities | 502-7010 | 394-3253 | aferguson@axxets.com | 308 East Bay Street Nassau, Bahamas | CB-12407 | Anthony Ferguson; Yair Esrubilsky |
| Bahamas Central Securities Depository | Clearing Facility | 322-5522 | 356-3613 | NBurrows@bahamascsd.com | Suite 201 Fort Nassau Centre Nassau, Bahamas | EE-15672 | Nerika Burrows |
| Bahamas International Securities Exchange (BISX) | Exchange | 323-2330 | 323-2320 | info@bisxbahamas.com; hgrant@bisxbahamas.com; kdavies@bisxbahamas.com | 2nd Floor of the Fort Nassau Centre British Colonial Hilton Nassau, Bahamas | EE-15672 | Keith Davies |
| Bahamas Securities (1987) Limited | 1. Advising on Securities | 325-6591 | 325-6592 | info@bdomannjudd.com | Charlotte Street South Nassau, Bahamas | N-10144 | George C. Culmer; Myles J. Culmer |
| Banca del Sempione (Overseas) Ltd. | 1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities | 322-8015 | 356-2030 | beat.meier@sempione-overseas.com | 3rd Floor George House George Street Nassau, Bahamas | N-8159 | Beat Viktor Meier; Neil DeCordova Baker; Edrick Cleare; Stanley Coakley; Simone Bertoldo; Michele Piantoni; Francesca Trizzino |
| Banco Santander Bahamas International Limited | 1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities | 502-7900 | 322-3585 | alfonsocoloma@bstnas.com | 3rd Floor Goodman's Bay Corporate Centre West Bay Street Nassau, Bahamas | N-1682 | Alfonso Coloma Bello; Roberto Morales |
| Bank of the Bahamas Limited | 1. Dealing in Securities as Principal & Agent 2. Arranging Deals. 3. Managing Securities 4. Advising on Securities | 397-3000 | 323-8811 | philice.albury@bankbahamas.com | Claughton House Shirley & Charlotte Streets Nassau, Bahamas | N-7118 | Renee Davis; Hubert Edwards; Laura Williams; Philice Albury |
| Banque Havilland (formerly Pasche Bank & Trust Limited) | 1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities | 702-2900 | 362-6186 | G.Schanen@banquehavilland.com | Unit 1 Western Commercial Centre Mt. Pleasant Village Western Road Nassau, Bahamas | AP-59241 | Gilles Arnold Schanen; Patrelle Miller |
| Bearbull Degroof International Limited | 1. Managing Securities 2. Advising on Securities | 362-5959 | 362-5973 | erica.knowles@bearbulldegroof.com | Unit 2 Old Fort Bay Lyford Cay Nassau, Bahamas | N-4287 | Nicole M. Dupuy; Denise O'Brien |
| Benchmark Advisors (Bahamas) Ltd. | 1. Advising on Securities | 326-7333 | 325-6592 | jbrown@allianceinvest.com | Gresham House Charlotte Street North Nassau, Bahamas | N-10144 | Julian Brown |
| Berkeley (Bahamas) Limited | 1. Arranging Deals | 362-4700 | 362-5290 | contact@bblloffshore.com | 9 Pineapple Grove Old Fort Bay Nassau, Bahamas | SP-63893 | Warren T. Roberts, Christopher I. Thompson; Frank L. Saunders |
| Binnacle Advisors Limited | 1. Arranging Deals 2. Managing Securities 3. Advising on Securities | 502-8822 | 502-8840 | binnacle@binnaclegroup.com | Bayside House Bayside Executive Park West Bay Street & Blake Road Nassau, Bahamas | AP-59213 | Matthew Gibbons; Shanika Rochelle Pearson |
| Binnacle Investment Group Ltd. | 1. Managing Securities | 502-8822 | 502-8840 | binnacle@binnaclegroup.com | Bayside House Bayside Executive Park West Bay Street & Blake Road Nassau, Bahamas | AP-59213 | Matthew Gibbons |

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| Biscayne Capital Ltd. | 1. Dealing in Securities as Principal & Agent 2. Arranging Deals. 3. Managing Securities 4. Advising on Securities | 698-1059 | 327-1173 | carla.taylor@biscaynecapital.com | 3rd Floor Suite # 304 Centre of Commerce 1 Bay Street Nassau, Bahamas | SP-64214 | Carla Taylor; Tinessa Diallo; Amaechi Azikiwe |
| Black Isle Management Services Ltd | 1. Managing Securities 2. Advising on Securities | 394-6870 | 1-(866) 807 1383 | ian@blackislemanagement.com | UBS Annex East Bay Street Nassau, Bahamas | SS-19501 | Ian Black; Chamika Gibson; Claudio Muller; Bradley Crawley |
| Bluebay Global Advisors Ltd. | 1. Managing Securities | 394-4182 | 394-4183 | tamara.bain@apms-ltd.com | Village Road North Nassau, Bahamas | SS-19689 | Roger Landucci; Tamara Bain |
| Blueprint Financial Ltd. | 1. Managing Securities 2. Arranging Securities | 362-4700 | 362-5190 | mhilton@bpfinc.com | 9 Pineapple Grove Old Fort Bay Nassau, Bahamas | SP-60765 | Melanie Rolle-Hilton; Denise O'Brien |
| Blue Hope Ltd. | 1. Managing Securities | 326-2150 | 326-2151 | bluehope@leadingservices.net | 2nd Terrace Centreville Nassau, Bahamas | N-7755 | Olivier Chaponnier; Wendy Warren |
| Blue Line Advisors Ltd. | 1. Managing Securities | 394-4182 | 394-4183 | tamara.bain@apms-ltd.com | Village Road North Nassau, Bahamas | SS-19689 | David Puller; Tamara Bain |
| Blue Sea Asset Management Ltd. | 1. Managing Securities 2. Arranging Securities | 502-7010 | 393-4639 | Aferguson@cfal.com | 308 East Bay Street Nassau, Bahamas | CB-12407 | Anthony Ferguson |
| Braun & CIE Ltd. | 1. Managing Securities 2. Advising on Securities | 325-6591 | 325-6592 | jbrown@allianceinvest.com | Ansbacher House East Street Nassau, Bahamas | N-10144 | Julian Brown |
| BSI Overseas (Bahamas) Ltd. | 1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities | 502-2200 | 502-2300 | sanjin.mohorovic@bsibank.com | Goodman's Bay Corporate Centre West Bay Street & Seaview Drive Nassau, Bahamas | N-7130 | Alain Torchon-Newry; Matteo Raimondo; Fabrizio Alberta Tuletta; Vasilija Victoria Mavros; Alec Rolle; Tonya Sweeting; Julian Adderley; Andrea Fronzoni; Pierluigi Pecorini; Luca Tamburini; Sanjin Mohorovic; Katriena Marshall |
| Butterfield Trust (Bahamas) Limited | 1. Managing Securities 2. Advising on Securities | 393-8622 | 393-3772 | tim.colclough@bs.butterfieldgroup.com | Montague Sterling Centre East Bay Street Nassau, Bahamas | N-3242 | Timothy Colclough; J. Merita Steed |
| CBH (Bahamas) Ltd. | 1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities | 394-6161 | 394-6262 | dhilton@cbhbank.com | CBH House East Bay Street Nassau, Bahamas | N-1724 | Ursula Rolle; Ahmad Strachan; Lucethy Smith; Dorothy Hilton; Charles Newbold; Earvin Rahming |
| CFAL | 1. Managing Securities 2. Advising on Securities | 502-7010 | 393-4639 | info@cfal.com | 308 East Bay East Bay Street Nassau, Bahamas | CB-12407 | Anthony Ferguson; Pamela Musgrove |
| CFAL Securities Ltd. | 1. Dealing in Securities as Principal & Agent 2. Arranging Deals. 3. Managing Securities 4. Advising on Securities | 502-7010 | 393-4639 | info@cfal.com | 3rd Floor 308 East Bay East Bay Street Nassau, Bahamas | CB-12407 | Anthony Ferguson; Shafegah Duncanson, Pamela Quise Musgrove; Tiffany Angelique Smith; Dwayne Swann; Jeanelle Christal Francis; Anna Zervos; Giovannah Evans |
| CIBC Trust Company (Bahamas) Limited | 1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities | 356-1800 | 322-3692 | m.carmen.butler@wi.cibc.com | Goodman's Bay Corporate Centre West Bay Street & Seaview Drive Nassau, Bahamas | N-3933 | Maria del Carmen Butler; Sean R. Harrington; Norma Major; Natika Whitfield |

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| Citco Bank & Trust Company (Bahamas) Ltd. | 1. Dealing in Securities as Principal & Agent 2. Arranging Deals. | 502-5300 | 394-0701 | lhpburn@citco.com | One Montague Place East Bay Street Nassau, Bahamas | N-4906 | Natasha M. Dean; Tamar M. Armbrister-Delancey; Lyla A. Hepburn; Rupert Walle |
| Clairmont Trust Company Limited | 1. Advising on Securities | 362-6071 | 362-6079 | miles.evans@clairmonttrust.com | #5 Pineapple Grove Lyford Cay Nassau, Bahamas | SP-64284 | Miles Evans; James Hoar; John Jephson; Jaime Stewart |
| Colonial Pension Services (Bahamas) Limited | 1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities | 502-7526 | 502-7501 | Larry.Gibson@atlantichouse.com.bs | 2nd Floor Atlantic House Collins Avenue Nassau, Bahamas | SS-6246 | Larry Gibson; Desiree L. Parker |
| Core Capital Partners Ltd. | 1. Dealing in Securities as Agent 2. Managing Securities 3. Advising on Securities | 676-2775/6 | | hbh@ccpl.capital | #15 Cumberland House Cumberland Street Nassau, Bahamas | SS-6836 | Heather Bellot-Hazarian; Rochelle Cleare |
| Corner Bank (Overseas) Limited | 1. Managing Securities 2. Advising on Securities | 394-4977 | 394-5264 | info@corner.bs | 1st Floor 308 East Bay Street Nassau, Bahamas | N-7134 | Colyn Roberts, Michele Clivio; Eirene Gatis Thronebury; Marco Villi; Birgit Dridi |
| Credit Suisse AG (Nassau Branch) Ltd. | 1. Dealing in Securities as Principal & Agent 2. Arranging Deals. 3. Managing Securities 4. Advising on Securities | 356-8100 | 326-6589 | vanessa.knowles@credit-suisse.com | 4th Floor The Bahamas Financial Centre Shirley & Charlotte Streets Nassau, Bahamas | N-4928 | Delric BENEBY; Michael Ranson, Alexandre Sampedro; Marcio Claudino Macedo; Leonardo Brayner; Francisco Goncalves; Guilherme Simoes Bianchi; Juan Zatarain; Thomas Karrer; Luiz Barros; Marta Lojendio de Puig; Darren Campbell; Vanessa Knowles; Phillippe Szymanowski; Rodrigo Paiva; Carlos D'Alessandro; Marcelo Aagesen; Indira Godet; Carlos de Souza Fernandes; Douglas de Souza Pereira |
| Credit Suisse Brazil (Bahamas) Ltd. | 1. Dealing in Securities as Principal & Agent | 302-4000 | 302-4049 | marcio.macedo@credit-suisse.com | The Bahamas Financial Centre Shirley & Charlotte Streets Nassau, Bahamas | N-3241 | Marcio Macedo |
| de la Fontaine & Associates Ltd. | 1. Managing Securities | 394-0128 | 394-4183 | tamara.bain@apms-ltd.com | Halsbury Commercial Centre Village Road Nassau, Bahamas | SS-19689 | Ralf Huber; Tamara Bain |
| Delessert Asset Management Ltd. | 1. Managing Securities 2. Advising on Securities | 502-7010 | 393-4639 | A.Ferguson@cfal.com | 308 East Bay Street Nassau, Bahamas | CB-12407 | Anthony Ferguson |
| Deltec Bank & Trust Ltd. | 1. Dealing in Securities as Principal & Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities | 302-4100 | 362-4623 | teg@deltecbank.com | Deltec House Lyford Cay Nassau, Bahamas | N-3229 | Terrance Girling; David McNaughtan; James Moss; Lianne Johnson; Damian Eustan Forbes; Richard Beek; Atul Lele; David Munoz; Robert Turnquest; Vivienne Watts; Roberto Genovese; Marcia Adderley; Giovanni Pirana; Richard Heathcote; Christopher Leonard; Maria Sevilla |
| Deltec Investment Advisers Limited | 1. Managing Securities 2. Advising on Securities | 302-1400 | 362-4623 | dmunoz@deltecinv.com | Deltec House Lyford Cay Nassau, Bahamas | N-3229 | Damian Forbes; Lianne Johnson; David McNaughton; David Munoz; Atul Lele; Vivienne Watts; Christopher Leonard; Marcia Adderley; Richard Beek; Maria Sevilla; Robert Turnquest; Roberto Genovese |

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| Deltec Securities Ltd. | 1. Dealing in Securities as Principal & Agent 2. Arranging Deals. | 302-4100 | 362-5926 | dmunoz@deltecinvc.com | Deltec House Lyford Cay Nassau, Bahamas | N-3229 | David Munoz; Lianne Johnson; Richard Heathcote |
| DeVere Bahamas Inc. | 1. Advising on Securities | 3283500 | 328-8008 | dpickstock@gso.com | 303 Shirley Street Nassau, Bahamas | N-492 | Benjamin Alderson; Bradley Hamilton; Adrian Flambard; Mary Kate Rice; Kumar Patel; Zachary Evans; Stuart Link |
| Eclipse Asset Management Ltd. | 1. Managing Securities 2. Advising on Securities | 676-8188 | 676-8199 | kthompson@equitybahamas.com | Equity Trust House Caves Village West Bay Street Nassau, Bahamas | N-10697 | Dillon Dean; Ivlylyn Cassar; Monalisa Hanna |
| Edmond de Rothschild (Bahamas) Ltd. | 1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities | 702-8000 | 702-8008 | info-nassau@bper.ch | Lyford Financial Centre Lyford Cay #2 West Bay Street Nassau, Bahamas | SP-63948 | Christine Malard, Michelle Reckley; Brendan Davis; Marie-Claude Tremblay; Andrea Saunders; Locksley Knowles II; Thibaud Halewyck; Davide Bonetti |
| EFG Bank & Trust (Bahamas) Ltd. | 1. Dealing in Securities as Principal & Agent 2. Arranging Deals. 3. Managing Securities 4. Advising on Securities | 502-5400 | 502-5428 | efgbahamas@efgbank.com | 2nd Floor Centre of Commerce 1 Bay Street Nassau, Bahamas | SS-6289 | Karen Pinder; Robert Morrison; Theresa Haven-Adderley; Jorge Zeballos; Gia Singh; Neil Emery; Tisca Armbrister; Jaime Ensenat; Rolf Schuermann; Duhiza Smith; |
| Elco Securities Limited | 1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities | 367-2558 | 367-2568 | elcobank@batelnet.bs | Loyalist Plaza, Don Mackey Boulevard, Marsh Harbour, Abaco | AB-20377 | John D. Benjamin; Ellison Collie; Lawrence Collie; David Hoang, Linda Caroline Leanardon |
| Enterprise (Bahamas) Ltd. | 1. Managing Securities | 326-2150 | 326-2151 | enterprise@leadingservices.net | 2nd Terrace West Centreville Nassau, Bahamas | N-10567 | Olivier Chaponnier; Wendy Warren |
| Equity Bank and Trust Bahamas Limited | 1. Dealing in Securities as Principal & Agent 2. Arranging Deals. 3. Managing Securities 4. Advising on Securities | 676-8188 | 676-8199 | info@equitybahamas.com | Equity Trust House Caves Village West Bay Street Nassau, Bahamas | N-10697 | Dillon Dean |
| Eurobanco Bank Limited | 1. Dealing in Securities as Agent | 327-4157 | 327-4159 | ebenitez@eurobancobankltd.com | Suite 12 Caves Professional Center West Bay Street & Blake Road Nassau, Bahamas | N-1114 | Natividad Esther Benitez |
| Euro-Dutch Trust Company (Bahamas) Limited | 1. Dealing in Securities as Agent | 362-6015 | 362-5257 | ceo@euro-dutch.com | Templeton Building Lyford Cay Nassau, Bahamas | N-9204 | Dawn E. Goodwin-Davies; Antonius L. Inder-Rienden; Eric Strachan |
| Exmer Partners Limited | 1. Managing Securities | 356-5454 | 356-9432 | drounce@winterbotham.com | Marlborough & Queen Streets Nassau, Bahamas | N-3026 | Jose Malbran, David Rounce, Juan Manuel Sosa, Francisco Verstraeten |
| Extiber Ltd. | 1. Managing Securities 2. Advising on Securities | 698-0390 | 698-0391 | hbh@extiber.com | Building #7 Caves Village West Bay Street Nassau, Bahamas | SP-62238 | Heather Bellot-Hazarian; Simone Zambelli; Rochelle Cleare |
| FAB Portfolio Management (Bahamas) Ltd. | 1. Advising on Securities | 362-6673 | 325-8445 | fabriziozanaboni@fabportfoliomangement.com | Lagoon Court Sandypot, West Bay Street Nassau, Bahamas | AP-59223 Slot # 333 | Fabrizio Zanaboni |
| FC Capital Investments Ltd. | 1. Managing Securities 2. Advising on Securities | 677-9661 | 356-5875 | leo@fccibahamas.com | Dominion House Montrose Avenue Nassau, Bahamas | SS-19027 | Leo Betschart, Beverly Rahming; Tiffany Jones |

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| FG Capital Markets Limited | 1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities | 396-4027 | 396-1628 | info@fgcapitalmarkets.com | Family Guardian Corporate Centre, East Bay & Shirley Streets | SS-6232 | Lyrone Burrows; Cardinal McCarty; Stuart Ambrose Kelly; Ashley Reckley; G. Andre White; Sherell Woodside-Conliffe; David Slatter; Kerah Farquharson |
| FK Capital Management Ltd. | 1. Managing Securities 2. Advising on Securities | 328-7000 | 328-7001 | efinkler@fkcapitalmanagement.com | 8 Pineapple Grove Old Fort Bay Nassau, Bahamas | SP-64283 | Jorge Braverman; Eduardo Braverman; Wendy Warren |
| Fidelis Asset Management & Partners (Bahamas) Ltd. | 1. Managing Securities 2. Advising on Securities | 502-7031 | 393-4639 | compliance@fidelis-am.bs | Bayview House East Bay Street Nassau, Bahamas | CB-12407 | Brett Anthony Jutan |
| First Overseas Bank Limited | 1. Dealing in Securities as Principal & Agent | 327-4775 | 327-4776 | info@firstoverseasbank.com | Office #1, Building #2 Caves Village Professional Centre West Bay Street Nassau, Bahamas | CB-11903 | Jorge Horacio Shedden; Carlos Patricio Walton |
| FirstCaribbean International Bank (Bahamas) Limited | 1. Dealing in Securities as Principal & Agent 2. Arranging Deals. 3. Managing Securities 4. Advising on Securities | 325-7384 | 323-1087 | sherrylyn.bastian@firstcaribbeanbank.com | 2nd Floor FirstCaribbean International Bank Financial Centre Shirley Street Nassau, Bahamas | N-3221 | Sean Blyden; Ianthe Moxey; Randy Taylor; Marie Rodland-Allen; Gina MacKenzie |
| Fitpart Fund Administration Services Ltd. | 1. Managing Securities 2. Advising on Securities | 356-2340 | 356-3470 | bethell@fitpart.bs | Bahamas Financial Centre Shirley & Charlotte Streets Nassau, Bahamas | CB-13515 | Fernando Antonio Prado; Indira Ferguson |
| Gabarit International Ltd. | 1. Managing Securities 2. Advising on Securities | 636-1292 | | xdelattre@gabaritmanagement.com | Caprice 5 West Bay Street Nassau, Bahamas | AP-59223 Slot # 623 | Xavier Delattre; Jean-Pierre Ribes; Endric Deleveau |
| Galed Asset Management Ltd. | 1. Managing Securities | 326-2150 | 326-2151 | galed@leadingservices.net | 2nd Terr. West Centreville Nassau, Bahamas | N-7755 | Olivier Chaponnier; Indira Ferguson |
| GEM Global Equities Management S.A. | 1. Managing Securities 2. Advising on Securities | 362-6015 | 362-5257 | ceo@euro-dutch.com | Templeton Building West Bay Street Nassau, Bahamas | N-9204 | Anthony L-M. Inder-Rieden; Eric Strachan |
| Gems Management Limited | 1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities | 326-1905 | 326-3839 | cedric.carroll@gemsadvisors.com | Centreville House 2nd Terrace West Centreville Nassau, Bahamas | CB-12809 | Cedric Carroll; Sheldon Cartwright; Wendy Warren |
| Gestfinanz (Bahamas) Limited | 1. Managing Securities 2. Advising on Securities | 322-2060 | 322-2114 | jpgomez@globalcmtd.com | 21 Rosetta Street Nassau, Bahamas | SS-5212 | Oliver Camponovo; Franco Rossinni; Jerome Gomez; Garcia Whym |
| Global Advisors Partners Ltd. | 1. Managing Securities 2. Advising on Securities | 323-1622 | 677-6936 | ivano@globalnassau.com | 3rd Floor Coin of the Realm Building Charlotte Street Nassau, Bahamas | N-9471 | Ivano Alliat; Alexander Vancoff; Edgar Seligman; Serge Delaude |

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| Global Private Portfolio Management Limited | 1. Dealing in Securities as Principal & Agent 2. Arranging Deals. 3. Managing Securities 4. Advising on Securities | 327-1097 | 327-1070 | HenioGPPM@coralwave.com | Suite 232, 2nd Floor, Island Lane Building, Olde Towne, Sandypport Nassau, Bahamas | N-4487 | Henry A. Podlewski; Micheal I. Slack; Elsworth Moss; Nathan Adderley |
| Goldensheikh Overseas Limited | 1. Managing Securities 2. Advising on Securities | 428-9050 | | gsolimited@gmail.com | Goodman's Bay Corporate Centre West Bay Street & Seaview Drive Nassau, Bahamas | N-3205 | Riccardo Sigon; Latonia Symonette-Tinker |
| Gonet Bank & Trust Limited | 1. Dealing in Securities as Principal & Agent 2. Arranging Deals. 3. Managing Securities 4. Advising on Securities | 362-5406 | 362-5405 | phammerer@bankgonet.com | Offices at Old Fort Bay West Bay St. Nassau, Bahamas | SP-61302 | Pascal Hammerer ; Reniskha Missick; Raquel Jacqueline Duncombe; James French |
| Green Cay Private Client Ltd. | 1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities | 362-6400 | 362-6402 | jb@greencay.com.bs | Lyford Cay Drive Nassau, Bahamas | N-7776 | Jane Marie Siebels; Joseph Byrne; Wendy Warren |
| Holdun Family Office (Bahamas) Limited (formerley Holdun Investments Partners Inc.) | 1. Managing Securities | 356-5454 | 356-9432 | drounce@winterbotham.com | Winterbotham Place Marlborough & Queen Streets Nassau, Bahamas | N-3026 | Brendan Holt Dunn; David Rounce |
| Holowesko Advisors Ltd. | 1. Managing Securities 2. Advising on Securities | 362-7800 | 362-6733 | compliance@holoweskopartners.com | Shipston House West Bay Street Lyford Cay Nassau, Bahamas | N-7776(78) | Richard Farrington; Mark Holowesko; Gregory Cleare; Karen Zyp |
| Holowesko Partners Ltd. | 1. Managing Securities 2. Advising on Securities | 362-7800 | 362-6733 | compliance@holoweskopartners.com | Shipston House West Bay Street Lyford Cay Nassau, Bahamas | N-7776(78) | Mark Holowesko; Gregory Cleare; Karen Zyp; Richard S. Farrington |
| Huber Management Limited | 1. Managing Securities 2. Advising on Securities | 323-7585 | 323-7284 | bh@huberint.com | 1st Floor Charlotte House Shirley & Charlotte Streets Nassau, Bahamas | N-529 | Bernard Huber; Richard Coulson |
| Independent Advisory Services Limited | 1. Managing Securities 2. Advising on Securities | 327-6057 | 327-6058 | ndias@inadservices.com | Lagoon Court Suite 215 Olde Town Mall Sandypport Nassau, Bahamas | SP-63924 | Adolfo F. Miranda; Natalee Dias; Beat Wernli |
| J. Safra Asset Management Limited | 1. Managing Securities 2. Advising on Securities | 677-6555 | 677-6550 | israel.borba@jsafrasarasin.com | Suite 103-B Saffrey Square Building Bay Street & Bank Lane Nassau, Bahamas | CB-10988 | Israel Borba; Daniel Kamkhaji |
| J. Safra Sarasin Asset Management Bahamas Ltd. | 1. Managing Securities 2. Advising on Securities | 601-6400 | 601-6401 | norman.friesen@jsafrasarasin.com | Suite 103-B Saffrey Square Building Bay Street & Bank Lane Nassau, Bahamas | CB-10988 | Norman Friesen; Daniel Kamkhaji |

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LICENSEES UNDER THE SECURITIES INDUSTRY ACT, 2011

| Name | Categories | Phone | Fax | Email | Address | P. O. Box | Registered Personnel |
|---|--|----------|----------|------------------------------------|--|-----------|--|
| Jefferson Capital Limited (formerly WMG Management Ltd.) | 1. Managing Securities 2. Advising on Securities | 502-7020 | 393-5002 | info@genesishfundservices.com | 5th Floor 308 East Bay Street Nassau, Bahamas | N-9058 | Ali Bilgrami; Samantha Watson-Crooks |
| Julius Baer Bank & Trust (Bahamas) Limited | 1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities | 394-9100 | 394-9140 | rochelle.rolle@juliusbaer.com | Ocean Centre Montague Foreshore Nassau, Bahamas | N-4890 | Rochelle Rolle; Remo Caderas; Patrick Feuz; Patrick Guye-Bergeret; Sharon Laffeur-Stuart; Aneka Rolle; Anna Zywiolok-Greene; Latoya Mcphee-Paul; Mark Tuinman; Orazio Defilippis; Valentin Sevilla; Frederico Riege; Gregory Schueller; Alexandro Sampedro |
| K.P. Investment Advisors Ltd. | 1. Managing Securities | 394-4182 | 394-4183 | contact@apms-ltd.com | Halsbury Commercial Centre Village Road Nassau, Bahamas | SS-19689 | Marcel Ott; Pierre M. Monnard; Tamara Bain |
| Leighton Global Advisors Ltd. | 1. Managing Securities 2. Advising on Securities | 356-5454 | 356-9432 | ihoooper@winterbotham.com | Winterbotham Place Marlborough & Queen Streets Nassau, Bahamas | N-3026 | David Rounce; Miguel Sulichan |
| Leno Corporate Services Limited | 1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities | 396-3225 | 396-3249 | slongley@lenocorporate.com | 2nd Floor Pineapple Place Nassau, Bahamas | N-7535 | Sean Longley; Khalil Braithwaite; Tricetta Small; Julian Bostwick |
| Lighthouse Asset Mangement Ltd. | 1. Managing Securities 2. Advising on Securities | 601-5242 | 601-5243 | rgd@lighthouse-am.com | Offices at Old Fort Bay Building 4, Lyford Cay Nassau, Bahamas | N-7776 | Riccardo Romani; Rosella Carmela Grilli-Dack; Walter Christen |
| LOM Financial (Bahamas) Limited (formerly LOM Securities (Bahamas) Limited) | 1. Dealing in Securities as Agent 2. Advising on Securities | 327-5100 | 327-5101 | craig.lines@lom.com | Building 4 Caves Village West Bay Street Nassau, Bahamas | AB-12762 | Craig Lines; Semoy Scully; Cheryl Watts |
| Lombard Odier & Cie (Bahamas) Ltd. | 1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities | 302-2100 | 302-2101 | niekia.horton@lodh.com | Goodman's Bay Corporate Centre West Bay Street & Seaview Drive Nassau, Bahamas | N-4938 | Niekia Horton; Rosemary Barrett; Maurice Chiacchiarri; Claudio Godenzi; Renaud Vielfaure; Herve Felli; |
| Lyford International Bank & Trust Limited (formerly Hottinger Bank & Trust Limited) | 1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities | 362-7000 | 362-7007 | compliance@hottingerbank.bs | Lyford Manor West Bay Street Nassau, Bahamas | CB-13012 | Frederic Hottinger; Devaughn Edgecombe; Noelle Scott; Jason Oliver |
| Macro Bank Limited | 1. Dealing in Securities as Agent 2. Arranging Deals | 327-6418 | 327-6427 | rsimeto@macrobankltd.com | Building 8 Caves Village Professional Centre West Bay Street Nassau, Bahamas | N-4444 | Rosina Simeto; Stella Giani |
| Magellan Securities Limited | 1. Managing Securities 2. Advising on Securities | 326-2700 | 326-2710 | bdavidowski@magellanscurities.net | Goodman's Bay Corporate Centre 2nd Floor Nassau, Bahamas | CB-13366 | Robert Davidowski; Alicia Roberts; Meredith Miller |
| Magnum Global Advisors Ltd. | 1. Managing Securities | 394-4182 | 394-4183 | contact@apms-ltd.com | Halsbury Commercial Centre Village Road Nassau, Bahamas | SS-19689 | Marcel Ott; Tamara Bain |
| Metropolitan Bank (Bahamas) Limited | 1. Dealing in Securities as Principal & Agent 2. Arranging Deals in Securities | 677-1925 | 394-2142 | john.lawrence@metrobankbahamas.com | Suite 700 2nd Floor New Providence Financial Centre East Bay St. Nassau, Bahamas | CR-56766 | Jacqueline Bain; Brenda McAlpine; Indrawati Gibson; John Lawrence; Ferdinand Fabroa; Mikita Butterfield-Morley |

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|---------------------------------------|--|----------|----------|--|---|-----------|---|
| Millennium Investment Advisors Ltd. | 1. Managing Securities | 394-4182 | 394-4183 | tamara.bain@apms-ltd.com | Halsbury Commercial Centre Village Road Nassau, Bahamas | SS-19689 | Pierre M. Monnard; Fabrice Carpentier de Caro; Tamara Bain |
| MIP Bahamas Ltd. | 1. Managing Securities 2. Advising on Securities | 677-6770 | | smackey@mipglobal.com | South Buckner Square Sandyport Nassau, Bahamas | CB-10955 | Stephen Mackey; Endric Deleveaux |
| MMG Bank & Trust Ltd. | 1. Dealing in Securities as Agent 2. Managing Securities 3. Advising on Securities | 326-2400 | 322-5567 | laverne.thompson@mmgbank.com | Suite 102 Saffrey Square Bay Street & Bank Lane Nassau, Bahamas | N-4889 | Laverne Thompson; Pachridee Hanna-Ferguson; |
| Mont Blanc Asset Management Ltd. | 1. Managing Securities 2. Advising on Securities | 502-7010 | 393-4639 | info@cfal.com | 3rd Floor Bayview House #308 East Bay Street Nassau, Bahamas | N-12407 | Claudio Schreier; Anthony Ferguston; Indira Ferguson |
| Nordica Investment Management Limited | 1. Managing Securities 2. Advising on Securities | 322-5448 | 325-8445 | info@nordicamanagement.com | Suite 205A Saffrey Square Bay Street & Bank Lane Nassau, Bahamas | N-10222 | Daniel Hedkrok; Anna Hedkrok |
| Octogone Fund Management Limited | 1. Managing Securities 2. Advising on Securities | 676-8120 | 3624996 | rcm@octogone.com.bs | No. 3 Offices Old Fort Bay Nassau, Bahamas | SP-63157 | R. Clive Moore; Cindy Thompson |
| Old Fort Financial | 1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities | 362-6900 | 362-6901 | shameka.fernander@oldfortfinancial.com | Offices at Old Fort Bay Building #4 Western Road Nassau, Bahamas | SP-63771 | Robert P. Jensen; Shameka Fernander; Laterio Culmer |
| Omni Corporate Services Ltd. | 1. Managing Securities 2. Advising on Securities | 326-0071 | 326-0072 | feliciamott@yahoo.com | Rosetta Street Nassau, Bahamas | EE-15963 | Felicia Mott-Smith; Lynden Maycock |
| Oracle Capital Advisors Ltd. | 1. Dealing in Securities as Agent 2. Managing Securities 3. Advising on Securities | 322-5448 | 325-5448 | info@sterling-bahamas.com | Saffrey Square Bank Lane and Bay Street Nassau, Bahamas | N-9934 | Oleg Nikityuk; Michelle Bain |
| Pacifico Global Advisors Ltd. | 1. Managing Securities | 698-0362 | 698-0367 | info@pacifico-ltd.com | 2nd Floor Royal Star House JFK Drive Nassau, Bahamas | SS-19371 | Arturo Klein; Eliano Tamburini; Luca Lanciano |
| Pebble Global Advisors Ltd. | 1. Managing Securities | 394-0128 | 394-4183 | tamara.bain@apms-ltd.com | Halsbury Commercial Centre Nassau, Bahamas | SS-19689 | Pierre Moncheur de Rieudotte; Tamara Bain |
| Pictet Bank & Trust Limited | 1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities | 302-2222 | 327-6610 | jmezulanik@pictet.com | Bayside Executive Park West Bay Street & Blake Road Nassau, Bahamas | N-4837 | Yves V. Lourdin; Erich W. Messmer; Jan F-C. Mezulanik; Jeremy Stuby; Allyson Moss; Tamica Rolle; Leo Huber; Pierre Colle; Juan Villamil; Tyrone Fowler; Sandra Walker; Miriam Scott; Donna Storr; Michael Reckley; Jose Suarez; Karol Czartoryski; Janique Duvalier |
| PNC Asset Management Ltd. | 1. Managing Securities 2. Advising on Securities | 322-4579 | 325-8445 | info@sterling-bahamas.com | 2nd Floor Suite 205A Saffrey Square Building Bank Lane and Bay Street Nassau, Bahamas | N-9934 | Alan Edward Cole, Elena Emanuela Prandoni; Michelle Bain |

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|--|---|----------|----------|--------------------------------------|--|--------------|--|
| Private Investment Bank Limited | 1. Dealing in Securities as Agent | 302-5950 | 302-5970 | pibbank@pib.bs | Devonshire House Queen Street Nassau, Bahamas | N-3918 | Jacqueline Bain; Vanessa Coleby; Glenice Thompson; Valerio Zanchi; Roger Duerig; Christine Leo |
| Providence Advisors Limited | 1. Dealing in Securities as Principal & Agent 2. Arranging Deals. 3. Managing Securities 4. Advising on Securities | 328-7115 | 328-7129 | kenwood.kerr@providenceadvisors.net | 3rd Floor Goodman's Bay Corporate Centre Nassau, Bahamas | AP-59223-409 | Carrol E. Burrows; Monique N. Cooper; Bradley S. Cunningham; Olive C. Gaitor; Kenwood N. Kerr; Florabelle Rodgers; Claudette Loretta Estwick; Wendy Warren |
| R.R. Management Limited (formerly F.I. Management Ltd.) | 1. Managing Securities 2. Advising on Securities | 502-7020 | 393-5002 | n.silvestri@irv.edu | 5th Floor 308 East Bay Street Nassau, Bahamas | N-9058 | Nicola Silvestri; Shonalee Munroe |
| RBC Dominion Securities (Global) Limited | 1. Dealing in Securities as Principal & Agent 2. Arranging Deals. 3. Managing Securities 4. Advising on Securities | 702-5950 | 702-5959 | sophia.mckenzie@rbc.com | Lyford Manor Lyford Cay Nassau, Bahamas | N-3234 | John R. Bond; Andrew R. McCartney; Sophia E. McKenzie; Carol D. Newbold; Michael Unwin; Ashley Logan; Mario Smith |
| RIG Investment Advisors Limited | 1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities | 356-5454 | 362-7210 | aroberts@rigadvisors.com | Winterbotham Place Marlborough & Queen Sts. Nassau, Bahamas | N-3026 | Roberto A. Roberts; Robert Ingrey |
| Royal Fidelity Capital Markets Limited | 1. Dealing in Securities as Principal & Agent 2. Arranging Deals. 3. Managing Securities 4. Advising on Securities | 356-9801 | 326-3000 | michael.anderson@fidelitybahamas.com | Fidelity Financial Centre 51 Frederick Street Nassau, Bahamas | N-4853 | Michael A. Anderson; Sherell Woodside; Paulette Lozaïque; Belinda Payne; Karen Major |
| Royal Fidelity Merchant Bank & Trust Limited | 1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities | 356-9801 | 326-3000 | michael.anderson@fidelitybahamas.com | Fidelity Financial Centre 51 Frederick Street Nassau, Bahamas | N-4853 | Cleora Farquharson; Joseph James Euteneuner; Paulette Lozaïque; Tishrea Grey; Michael Anderson, Karen Major; Spencer Von Dean; Belinda Payne; Candice Forbes; Stefano Pral |
| RQSI Limited | 1. Managing Securities 2. Advising on Securities | 502-7020 | 393-5002 | info@genesisservices.com | 5th Floor 308 East Bay Street Nassau, Bahamas | N-9058 | Christopher Wesley Satterfield; Neil Patrick Ramsey; Samantha Watson-Crooks |
| Bank J.Safra Sarasin (Bahamas) Ltd. (formerly Safra International Bank & Trust Ltd.) | 1. Dealing in Securities as Principal & Agent 2. Arranging Deals. 3. Managing Securities 4. Advising on Securities | 601-6403 | 601-6401 | norman.friesen@jsafrasarasin.com | Saffrey Square, Suite 103-B Bay Street & Bank Lane Nassau, Bahamas | CB-10988 | Norman Friesen; Adrian Zadeh; Yantoni Zeitoune; Joao Franco; Daniel Saralegui |
| Scotiabank (Bahamas) Ltd. | 1. Dealing in Securities as Principal & Agent 2. Arranging Deals. 3. Managing Securities 4. Advising on Securities | 356-1488 | 356-1668 | cheryl.bazard@scotiabank.com | Rawson Square Bay Street Nassau, Bahamas | N-7518 | Deno Moss; Marcia Laramore; Lisa Taylor, Penny Ferguson; Sean Albert; Tamara Van Bruegel; Cheryl Bazard |
| Scotiabank Caribbean Treasury Limited | 1. Managing Securities 2. Advising on Securities | 302-2953 | 328-7432 | donna.gardiner@scotiabank.com | Suite 300 British Colonial Hilton Centre of Commerce 1 Bay Street Nassau, Bahamas | N-7518 | Wayne Howard; Robin Scavella; Christine Archer |

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|---|---|----------|----------|--------------------------------------|--|-----------|---|
| Seton Securities International Ltd. | 1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities | 362-6390 | 362-6391 | jay@setonsecuritiesinternational.com | Lyford Cay House 1st Floor Lyford Cay Nassau, Bahamas | CB-13041 | Endric Deleveaux; Jay Gotlieb; Craig Spivey |
| SIS Solutions Ltd. (formerly Swiss Investment Solutions (SIS) Ltd.) | 1. Managing Securities | 601-5345 | 601-5340 | keva.mcphee@sis-solutions-ltd.com | Devonshire House Queen Street Nassau, Bahamas | SP-64111 | Keva Mcphee; Shacara Wells |
| Societe Generale Private Banking (Bahamas) Ltd. | 1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities | 302-5000 | 302-6709 | dominique.lefevre-bs@socgen.com | 2nd Floor Lyford Cay House Western Road Nassau, Bahamas | N-7785 | William Bostwick Godet; Janice Anita Johnson; Dominique J. Lefevre; Linda Mackey; Therese Hudson; Shandra Bain; Kay Briggs; Adrian Smith; Tyrez Sturup; Monica Miller; Felicia Mott-Smith; Jeunesse Osadebay-Bullard; Tiffany Lowe; Farentino Knowles; Valentino Bethell; Leah Treco; Manuel Garcia |
| Sterling Financial Group Inc. | 1. Managing Securities 2. Advising on Securities | 677-1900 | 677-1910 | info@sterlingbahamas.com | New Providence Financial Centre East Bay Street Nassau, Bahamas | N-1812 | David Kosoy; Endric Deleveaux; Tangela Russell; Stephen Tiller |
| Stone Tower Management Ltd. | 1. Managing Securities | 326-2150 | 326-2151 | stonetower@leadingservices.net | 2nd Terrace West Centreville Nassau, Bahamas | N-7755 | Olivier Chaponnier; Wendy Warren |
| Swan Capital Ltd. | 1. Managing Securities | 603-2000 | 603-2001 | jm@swanap.net | Old Fort Bay Town Centre Unit 7, Building 2 Nassau, Bahamas | SS-6333 | Maxime Rambaud; Gine Major |
| Swiss America Securities, Ltd. | 1. Dealing in Securities as Principal & Agent 2. Arranging Deals. 3. Managing Securities 4. Advising on Securities | 328-7800 | 324-6963 | info@suretrader.com | Suite # 17 Elizabeth on Bay Plaza Elizabeth Ave. & Bay Street Nassau, Bahamas | N-8340 | Phillip Dorsette; Tiffany Donaldson; Drameko Moore |
| Swiss Atlantic S.A. | 1. Managing Securities 2. Advising on Securities | 356-6430 | 322-6694 | sasa@leadingservices.net | 2nd Terrace West Centreville Nassau, Bahamas | N-10567 | Alisa Richardson; Olivier Chaponnier; Wendy Warren; Peter Wirth |
| Syz Bank & Trust Limited | 1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities | 702-1400 | 327-6629 | jillian.ferguson@syzbank.com | Building No. 2 Old Fort Bay Windsor Field Road Nassau, Bahamas | N-1089 | Venetia Ruth Dekle-Maura; Jacqueline Townend; Frank Negri; Keitra Pratt; Jillian Ferguson |
| Templeton Global Advisors Limited | 1. Managing Securities 2. Advising on Securities | 362-4600 | 362-5281 | tonia.sands@franklintempleton.com | Templeton House Lyford Cay Nassau, Bahamas | N-7759 | James Harper, Cynthia Sweeting, Edgerton Scott III, Norman Boersma; Michael D'Agrosa; Peter Palleija; Heather Arnold; Herbert Arnett Jr.; Christopher Peel |
| Tendencia Asset Management Limited | 1. Managing Securities 2. Advising on Securities | 397-6500 | 397-6501 | contact@tendenciagroup.com | Goodman's Bay Corporate Centre West Bay Street Nassau, Bahamas | SP-61567 | Leo Kryss; Indira Ferguson |
| The Bank of Nova Scotia Trust Company (Bah) Ltd. | 1. Dealing in Securities as Principal & Agent 2. Arranging Deals. 3. Managing Securities 4. Advising on Securities | 502-5700 | 326-0991 | derek.pattison@scotiabank.com | Scotia House 404 East Bay Street Nassau, Bahamas | N-3016 | Sonia Beneby; Shamini Symonette-Gibson; Gregory Walker; Glenette Reckley; Derek Pattison; Jillian Dames |

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|---|---|----------|----------|-------------------------------|--|-----------|--|
| The Private Trust Corporation Limited | 1. Dealing in Securities as Agent 2. Arranging Deals | 397-8000 | 326-8388 | acj@privatetrustco.com | Charlotte House Shirley & Charlotte Streets Nassau, Bahamas | N-65 | Adrian Crosbie-Jones; Bruno Roberts; Charlene Y. Storr; Monique Bowe |
| The Street Trader Limited | 1. Dealing in Securities as Agent | 324-6963 | | hubleymark@hotmail.com | Lagoon Court Sandyport Nassau, Bahamas | EE-17971 | Edward Cooper; Christopher Lunn; Cherrisse Forbes |
| The Winterbotham Trust Company Ltd. | 1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities | 356-5454 | 356-9432 | nassau@winterbotham.com | Winterbotham Place Marlborough & Queen Streets Nassau, Bahamas | N-3026 | Ivan G. Hooper; David Rounce; Jessica Thornley-Mitchell |
| Tillerman Securities Ltd. | 1. Dealing in Securities as Principal & Agent 2. Arranging Deals. 3. Managing Securities 4. Advising on Securities | 356-3244 | 356-3245 | info@TillermanSecurities.com | 1 George Street Nassau, Bahamas | SS-19820 | Hans C. Saunders; Cherrisse Major; Morelisa Nesbitt; Vichell Roberts; Dominique Munroe |
| TradeZero Inc. | 1. Dealing in Securities as Agent | 324-6963 | | tz@tradezero.co | Suite 105 Sulgrave Manor West Bay Street Nassau, Bahamas | EE-17971 | Antonio Libreros; L.Sydney Saunders |
| UCAP Bahamas Limited | 1. Managing Securities 2. Advising on Securities | 362-4589 | 362-6887 | krystle@ucapbh.com | 9 Pineapple Grove Old Fort Bay Nassau, Bahamas | AP-59223 | Clemente Ducasse; Wendy Warren |
| Union Bancaire Privee (Bahamas) Limited | 1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities | 362-4207 | 362-4338 | edy@ubp.ch | 5th Floor Lyford Cay House West Bay Street Nassau, Bahamas | N-7529 | Vandyke Saunders; Peter Butler; Brenda Powell; Davawn Turner; Paul Russell; Kyosen Mcphee-Young |
| Union Bancaire Privee, UBP SA | 1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities | 362-4207 | 362-4338 | edy@ubp.ch | 5th Floor Lyford Cay House West Bay Street Nassau, Bahamas | N-7529 | Brenda Powell; Davawn Turner; Van-dyke Saunders; Paul Russell; Peter Butler; Kyosen Mcphee-Young |
| Vauban Asset Management Limited | 1. Managing Securities 2. Advising on Securities | 327-1097 | 327-1084 | vauban@coralwave.com | Suite 232 2nd Floor The Island Lane Building West Bay Street Nassau, Bahamas | SP-61708 | James Burrows; Nathan Adderley; Hubert Francois-Poncet |
| Vision Advisors III Ltd. | 1. Advising on Securities | 502-7020 | 393-5002 | info@genesissfundservices.com | 5th Floor 308 East Bay Street Nassau, Bahamas | N-9058 | Boris Litvak; Antoine Bastian |

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|---|---|--------------|------------|-----------------------------|---|----------------------|---|
| Weiser Asset Management Ltd. | 1. Dealing in Securities as Principal & Agent 2. Arranging Deals. 3. Managing Securities 4. Advising on Securities | 698-6622 | 698-6601 | info@weiser.com.bs | Offices at Old Fort Bay Building #9, Pineapple Place Lyford Cay Nassau, Bahamas | AP-59223 Slot 501 | Dillon Dean; Ivyllyn Cassar; Elias Sourso; David Sidders; Terah Rahming |
| Wells Capital Management Inc. | 1. Managing Securities 2. Advising on Securities | 362-3082 | 362-6950 | kerri-singer@wellsfargo.com | Lyford Manor Western Road Nassau, Bahamas | N-7776 | Dale Winner |
| Wincrest Capital Ltd. | 1. Arranging Deals 2. Managing Securities 3. Advising on Securities | 603-2015 | 603-2019 | bb@wincrest.capital | #7 Old Fort Bay Lyford Cay Nassau, Bahamas | N-7776 | Wendy Warren; Barbara Ann Bernard; Rebecca Fernie |
| Windermere Corporate Management Limited | 1. Managing Securities | 677-1920 | 394-8326 | john@windermerecm.com | Suite 1000 New Providence Financial Centre East Bay Street Nassau, Bahamas | CR-56766 | John Lawrence; Millicent Clarke |
| WMCG Ltd. | 1. Managing Securities | 394-4182 | 394-4183 | tamara.bain@apms-ltd.com | Village Road North Nassau, Bahamas | SS-19689 | Charles de la Baume; Tamara Bain |
| XL Partners Ltd. | 1. Managing Securities 2. Advising on Securities | 322-2060 | 322-2114 | jgomez@globalcmltd.com | 21 Rosetta Street Nassau, Bahamas | SS-5212 | Garcia Whyms; Dave Smith; Mitsuo Alves |