

# SECURITIES COMMISSION OF THE BAHAMAS

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Nassau, Bahamas

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## THE INVESTMENT FUNDS ACT & REGULATIONS, 2003

### SCHEDULE 5

### (Regulation 33(c))

#### Application for Approval of Publication of Advertisement or other Invitation made to the Public in The Bahamas

(a) Name of Investment Fund:

\_\_\_\_\_

(b) Bahamas based or non-Bahamas based: \_\_\_\_\_

(c) Jurisdiction of licence or registration: \_\_\_\_\_

(d) Investment fund registration or licence number: \_\_\_\_\_

(e) Names of directors of investment fund: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

(f) Contents of the advertisement or other invitation to invest: (please attach on a separate piece of paper if additional space is needed).

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

(g) Name of newspaper, journal or other media: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

(h) Summary of schedule of advertisement: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

#### THE APPLICATION SHOULD BE ACCOMPANIED BY THE FOLLOWING DOCUMENTS

- Prescribed application fee (non-refundable)
- Other relevant information as requested by the Commission

**ATTESTATION:**

**We, the undersigned, hereby affirm that to the best of our information, knowledge and belief, the contents of this application are correct and true.**

\_\_\_\_\_  
Name of Investment Fund

\_\_\_\_\_  
Signed on behalf of the Investment Fund

\_\_\_\_\_  
Date

Completed applications should be submitted to:

The Authorizations Department  
Securities Commission of the Bahamas