



**SECURITIES COMMISSION OF THE BAHAMAS**  
**LICENSEES UNDER THE SECURITIES INDUSTRY ACT, 1999**

Name	Class	Phone	Fax	Email	Address	P. O. Box	Registered Personnel
<b>Broker-Dealers</b>							
Accuvest Limited	II	362-6900	362-6901	delarese.williams@accuvest.net; sean.nottage@accuvest.net	Offices at Old Fort Bay Building #4 Western Road	N-4822	Robert P. Jensen, D. Sean Nottage
Alliance Investment Management Ltd.	I	326-7333	326-7336	arelcock@allianceinvest.com; jrbrown@allianceinvest.com	Ground Floor, British Colonial Hilton Centre of Commerce 1 Bay Street	SS-19051	Julian Brown,
Andbanc (Bahamas) Limited	II	322-4081	322-4071	enric@agricol.com	Suite 304, British Colonial Hilton Centre of Commerce 1 Bay Street	AP-59223	Enric Peral; Manuel Martinez Campos
Ansbacher (Bahamas) Limited	II	322-1161	326-5020	lisa.gibson@ansbacher.bs	Ansbacher House, Bank Lane	N-7768	Kendrick G. Albury, Michael C-J. Mayhew- Arnold, Debra E. Bain, Sandra Brice, Tamico A. Brice, Melinda Cartwright, Robert J. Davidowski, Jillian Dorsett, Catherine L. Fadely, Lisa Gibson, Tamica McClain, Benjamin Pinder, Perpetua M. Roberts, Ricardo Rolle, Christine Russell, Ansel A. Watson; Paul John Winder; Samuel Peter Haven; Eric Gibson Jr., Mary Rodland
Arner Bank & Trust (Bahamas) Ltd.	II	327-6594	327-6584	david.thain@arnerbank.ch	Suite 2, Building 2, Caves Village	N-3917	David G. Thain
Banca del Sempione (Overseas) Ltd.	II	322-8015	356-2030	bmeier@bancasempione.ch	3rd Floor, George House, George Street	N-8159	Beat Viktor Meier; Neil DeCordova Baker
Bank of the Bahamas Limited	I	326-2560	325-7162	info.bob@bankbahamas.com	Claughton House, Shirley & Charlotte Streets	N-7118	Paul McWeeney, Renee Davis, Hubert Edwards, Yvette Johnson, Laura Williams
Banque Privee Edmond de Rothschild Ltd.	II	702-8000	702-8008	gfpinoesch@bper.ch	Lyford Financial Centre Lyford Cay #2 West Bay Street	SP-63948	Roberto Genovese, Christine Malard, Gian Pinosch, Celina Thompson, Duhiza Smith
Banque SCS Alliance (Nassau) Ltd.	II	394-6161	394-6262	scsnassau@scsalliance.com	Alliance House, East Bay Street	N-1724	Herman-Josef Hermanns, Pauline Lightbourne, Steven I. Miller, Ursula Rolle
Berkeley (Bahamas) Limited	II	393-8395	394-6841	trader1@bahamas.net.bs	2nd Floor, One Montague Place	N-3927	Warren T. Roberts, Christopher I. Thompson
BIE Bank & Trust Bahamas Limited (formerly BankBoston Trust Company Ltd.)	I	502-3100	328-2750	sherrece.l.saunders@itauinternational.com	Charlotte House, Charlotte & Shirley Streets	N-3930	Marsha G. Ferguson, Shannel M. Williams- Neely, Sherece L. Saunders
Binnacle Advisors Limited	II	502-8822	502-8840	binnacle@binnaclegroup.com	Bayside House, Bayside Executive Park, West Bay Street & Blake Road	AP-59213	Monique L. Major; Matthew Gibbons



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BNP Paribas (Bahamas) Ltd.	II	502-0705	326-5871	sharon.rose-hutchinson@americas.bnpparibas.com	3rd Floor, Scotia Building, Rawson Square	N-4883	Pascal Dulau; Sherrene Johnson; Kyosen M. McPhee; Portia Mortimer; Margaret Smith, Penny Ferguson, Bernard Young, Helena Pennerman, Lisa Taylor; Zelma Ladonna Wilson; Brendan Davis; Carla Pepe, Jean Francois Reynaud, Shamini Gibson, Amanda Burrows, Ferno Scott
BSI Overseas (Bahamas) Ltd.	II	502-2200	502-2300	nicola.guscetti@bsibank.com;	Goodman's Bay Corporate Centre, West Bay Street & Seaview Drive	N-7130	Nicola Guscetti; Jennifer Wells
BSI Trust Corporation (Bahamas) Limited	II	702-1201	702-1250	kenris.albury@bsitrustbah.com	Bayside Executive Park, West Bay Street & Blake Road	N-7541	Jennifer Wells; Kenris Johnson
CIBC Trust Company (Bahamas) Limited	II	356-1800	322-3692	ben.gillooly@wi.cibc.com	Goodman's Bay Corporate Centre, West Bay Street & Seaview Drive	N-3933	Sean R. Harrington; Kim L. Storr
Citco Bank & Trust Company (Bahamas) Ltd.	I	502-5300	394-0701	clientdesknassau@citco.com	One Montaque Place, East Bay Street	N-4906	Philice Albury; Natasha M. Dean; Tamar M. Armbrister-Delancey; Arno P. De Groot; Lyla A. Hepburn
CFAL Securities Ltd.	I	502-7010	356-3677	slongley@colinafinancial.com	4th Floor, 308 East Bay Street Bldg., Bay Street	CB-12407	Tamara Evans; Anthony Ferguson; Atneal Smith, Sean Longley; Atalia Cash; Shafegah Duncanson, Marguerite Grant; Tricetta Woodside; Kerah Farouharson
Clariden Leu Limited (formerly Bank Leu Limited-Nassau Branch)	I	326-5054	328-8388	terri.bellot@leu.com	The Bahamas Financial Centre Shirley & Charlotte Streets	N-3926	Terri Bellot, Andrew S. Strachan, Peter Wirth
Colonial Pension Services (Bahamas) Limited	II	502-7526	502-7501	rlgibson@atlantichouse.com.bs	2nd Floor, Atlantic House, Collins Avenue	SS-6246	Larry Gibson; Sherelle Johnson; Desiree L. Parker
Credit Agricole Suisse (Bahamas) Ltd.	II	502-8100	502-8166	ivanhoe.sands@ca-suisse.bs	Goodman's Bay Corporate Centre, West Bay Street & Seaview Drive	N-3015	Victoria Babin; Anjamarie Charles; Samuel Luft; Daniel Nicolier; Ivanhoe Sands; Jacques Latendresse, Paul-Martin Seguin, Claude Lussier, Fontella Chipman, Jennifer Rolle-Cox, Felicia Mott
Credit Suisse ( Nassau Branch) Ltd.	I	356-8100	326-6589	arthur.strommer@credit-suisse.com	4th Floor, The Bahamas Financial Centre, Shirley & Charlotte Streets	N-4928	Locksley Virgil Knowles II; Mark Barratt; Arthur Strommer; Muriel Mackey; Melissa Munnings; Andre Comery; Delric Beneby; Gustavo Adrunhosa, Michael Ranson, Eduardo Manchon, Shardon Hanna, Bernadette Heastie, Aisha Johnson, Sandra Heastie-Wells; Sheena Deveaux; Alexandre Sampedro
Credit Suisse Brazil (Bahamas) Ltd.	I	302-4000	302-4049	doreen.pratt@csfb.com	The Bahamas Financial Centre, Shirley & Charlotte Streets	N-3241	Doreen A. Pratt; Tonice Hamilton; Marco Fuocco; Eduardo Manchon
Deltec Bank & Trust Ltd.	II	302-4100	362-4623	tis@deltecbank.com	Deltec House, Lyford Cay	N-3229	Terrance Girling; David McNaughtan; James Moss; Sherene Saunders; Timothy Frazier-Smith; Philoia Skandaliaris



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EFG Bank & Trust (Bahamas) Ltd.	I	502-5400	502-5428	steve.mackey@efgbank.com	2nd Floor, Centre of Commerce, 1 Bay Street	SS-6289	Stephen J. Mackey; Karen Pinder, Edward Cooper, Rosalyn D'Aleyn, Robert Morrison, Gia Singh, Christopher Southgate, Miles Evans, Jaspreet Dhaliwal, Ingrid Taylor, James Francisco Stewart; Brent Patrick Haines; Vivienne Watts; Theresa Haven-Adderley; Luciana Silvera Perdoma, Jorge Zeballos, Andrew Raenden
Elco Securities Limited	II	367-2558	367-2568	elcobank@batelnet.bs	Loyalist Plaza, Don Mackey Boulevard, Marsh Harbour, Abaco	AB-20377	John D. Benjamin; Ellison Colлие; Lawrence Colлие
Eurobanco Bank Limited	II	327-4157	327-4159	ebenitez@eurobancobankltd.com	Suite 12, Caves Professional Center, West Bay Street & Blake Road	N-1114	Natividad Esther Benitez
Euro-Dutch Trust Company (Bahamas) Limited	II	325-1033	323-7918	ceo@euro-dutch.com	Charlotte House, Shirley & Charlotte Streets	N-9204	Dawn E. Goodwin-Davies; Antonius L. Inder-Rienden
FG Capital Markets Limited	II	396-4000	393-1100	info@familyguardian.com	Family Guardian Corporate Centre, East Bay & Shirley Streets	SS-6232	Patricia Hermanns; Lyrone Burrows; John Percentie Jr.; Cardinal McCarty; Obiageli Alexandria Turnquest
Finter Bank & Trust (Bahamas) Limited	II	356-6451	356-5818	b.rahming@finter.bs	2nd Floor, Norfolk House, Frederick Street	N-3937	Beverley Ann Rahming; Valerio M. Zanchi; Neketa Smith
FirstCaribbean International Bank (Bahamas) Limited	II	325-7384	323-1087	teresa.williams@firstcaribbeanbank.com	Charlotte House, Shirley & Charlotte Streets	N-3221	Sharon E. Brown; Ingrid O. Carey; Bernadette R. Cartwright; Sharon R. Deveaux; Gina C. Mackenzie; Ianthe B. Moxey; Paul F. Major; Teresa S. Williams; George Andre White
Gibraltar Global Securities Limited	II	327-4474	327-8775	warren@ggsibahamas.com	214 Lagoon Court, Olde Town Mall, Sandypport	SP-63886	Warren A. Davis; Christopher E. Lunn; Jason Alexander Smith; Matthew Shull; Shavonne Mounts
Heath Bank & Trust Limited	II	322-3533	322-3537	mmorris@heathbank.com	2nd Floor Cumberland House 27 Cumberland Street	P.O. Box SP-63137	Michael Morris; Bonnielyn Adderley;
Hottinger Bank & Trust Limited	II	362-6333	362-5549	paul.de.pourtales@hottinger.com; indira.rolle@hottinger.com	Lyford Manor, West Bay Street	CB-13012	Paul E. Pierre de Pourtales-Schickler; Francis Thompson; Davinia Blair; Kele Isaacs; Susanne Brauchli
J. Safra Bank (Bahamas) Ltd.	I	326-8775	322-4613	israel.borba@safragroup.com	Building III, Bayside Executive Park, West Bay Street & Blake Road	CB-10988	Israel Borba; Wilder Penino
Julius Baer Bank & Trust (Bahamas) Limited	II	394-9100	394-9140	rochelle.rolle@juliusbaer.com	Ocean Centre, Montague Foreshore	N-4890	Maria Tsavousis-Darville; Bertrand Zimmerman; Rochelle Rolle; Peter Vangehr
Lombard Odier Darier Hentsch Private Bank & Trust Ltd.	II	302-2100	302-2101	niekia.horton@lodh.com	Goodman's Bay Corporate Centre, West Bay Street & Seaview Drive	N-4938	Christian Coquoz
LOM Securities (Bahamas) Limited	I	323-0032	323-0084	craig.lines@lom.com	Suite 309, British Colonial Hilton Centre of Commerce 1 Bay Street	CB-12762	Craig Lines; Jeremy James Dyck
Macro Bank Limited	II	323-6418/9	323-6427	acalvo@macrobank.com.uy	Suite C, SG Hambros Building, West Bay Street	N-4444	Carlos Calvo Lopez; Alejandro Maciel;



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Maritime Securities Limited	II	327-4463	323-6969	tmoss@maritimesecurities.com	4th Floor, Centreville House, 2nd Terrace West	CB-10969	Herman R.T. Moss; Leslie N.W. Moss
MMG Bank & Trust Ltd.	II	326-2400	322-5567	heather.bellot@mmgbank.com	Suite 102, Saffrey Square, Bay Street & Bank Lane	N-4889	Ernestine D. Moss; Heather Bellot;
Pasche Bank & Trust Limited	II	327-1512	327-1514	gschanen@pasche.ch	Bayside Executive Park, West Bay Street & Blake Road	AP-59241	Alban R-M. Damour; Noelle Scott
Pictet Bank & Trust Limited	II	302-2222	327-6610	kmontgomery@pictet.com	Bayside Executive Park, West Bay Street & Blake Road	N-4837	Anya M. Dorsett; Yves V. Lourdin; Erich W. Messmer; Jan F-C. Mezulanik
Private Investment Bank Limited	II	302-5950	302-5970	pibbank@pib.bs	Devonshire House, Queen Street	N-3918	Lynden M. Dean; Bruno Duenki
Providence Advisors Limited	I	328-7115	328-7129	monique.cooper@providenceadvisors.net	3rd Floor, Goodman's Bay Corporate Centre	AP-59223-409	Carrol E. Burrows; Monique N. Cooper; Bradley S. Cunningham; Olive C. Gaitor; Kenwood N. Kerr; Agatha G. Moncur; Florabelle Rodgers; Princess Margaret Stubbs; Claudette Loretta Estwick
RBC Dominion Securities (Global) Limited	I	362-6740	362-6744	sofia.mckenzie@rbc.com	Lyford Manor, Lyford Cay	N-3234	John R. Bond; Andrew R. McCartney; Sophia E. McKenzie; Carol D. Newbold
RIG Investment Advisors Limited	II	362-7200	362-7210	aroberts@rigadvisors.com	Winterbotham Place, Marlborough & Queen Sts.	N-3026	Michael A. Ingrey; Roberto A. Roberts
Royal Fidelity Capital Markets Limited	I	356-7764	326-3000	phillippa.wilson@fidelitybahamas.com	Fidelity Financial Centre, 51 Frederick Street	CB-12337	Michael A. Anderson; James D. Graham; Karen Major; Phillipa Ferguson-Wilson; Sherell Woodside
Safra International Bank & Trust Ltd.	I	326-8775	322-4613	w.penino@safra.bs	Building III, Bayside Executive Park, West Bay Street & Blake Road	CB-10988	Wilder Penino, Daniel Belfer; Yantoni Zeitoune, José Piñeiro Bouzas
Santander Bank & Trust Ltd.	II	502-7900	326-8287	pablorodriq@bstnas.com.bs	3rd Floor, Goodman's Bay Corporate Centre	N-1682	Jose M. Gonzalez de Castejon Aritio; Pablo Muller
Scotiabank (Bahamas) Ltd.	I	356-1433	356-1618	cleopatra.christie@scotiabank.com	Rawson Square, Bay Street	N-7518	Cleopatra Christie; Deno Moss; Marcia Laramore
SG Hambros Bank & Trust (Bahamas) Ltd.	II	302-5000	326-6709	dominique.lefevre@sghambros.com	S.G. Hambros Building, West Bay Street	N-7788	Theresse Hudson; John H. Jephson; Janice Johnson; Gilles J.G. Latterade; Dominique J. Lefevre;
SG Private Banking (Bahamas) Ltf.	II	302-5000	302-6709	christina.rolle@socgen.com	SG Hambros Building, West Bay Street	N-7785	Darren Bailey; Dimitrios Chouzouris; William Bostwick Godet; John H. Jephson; Janice Anita Johnson; Gilles J. G. Laterrade; Dominique J. Lefevre; Christina Rose Rolle; Fareda Delicia Sands; Frank Leon Saunders; Renaud Oliver Christophe Vielfaure
Syz & Co. Bank & Trust Limited	II	327-6633	327-6629	alfred.schaller@syzbank.com	Bayside Executive Park, West Bay Street & Blake Road	N-1089	Miguel Gonzalez; Betsymae Morris; Alfred Schaller
The Bank of Nova Scotia Trust Company (Bah) Ltd.	II	502-5700	326-0991	ravi.jesubatham@scotiitrust.com	Scotiabank House, 404 East Bay Street	N-3016	Don A. Stubbs; Sonia Beneby; Robert James Stooke; Yves Martel; John Ravindra Jesubatham; Gerrine McDonald
The Private Trust Corporation Limited	II	323-8574	326-8388	acj@privatetrustco.com	Charlotte House, Shirley & Charlotte Streets	N-65	Adrian Crosbie-Jones; Bruno Roberts; Charlene Y. Storr; Lana Taylor



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The Winterbotham Trust Company Ltd.	II	356-5454	356-9432	nassau@winterbotham.com	Winterbotham Place, Marlborough & Queen Streets	N-3026	Alan Davidson; Ivan G. Hooper; David Rounce; Christopher Hooper
Tillerman Securities Ltd.	II	356-3244	356-3245	info@TillermanSecurities.com	1 George Street	SS-19820	Hans C. Saunders; Cherisse Forbes; Morelisa Nesbitt
UBS (Bahamas) Ltd.	II	394-9300	396-3312	andrea.saunders@ubs.com	UBS House East Bay Street	N-7757	Marcia V. Adderley; Jose A.M. Aguilar Millan; Nathaniel French III; Cherise Nottage; Kevin Price; Lucethy Smith; Pierre-Alain Torti; Karen A. Turnquest; Gayle M. Whyms; Richard Voswinkel, Ian Atkin; Luri de Macedo Dias; Lorina Evans-Pennerman, Fabio Feither; Goselle Thomas; Ursula Jardin; George Mallis; Bruno Salgado; Roberto Schott; Bernardo Fernandes Carsalade; Eduardo Pais De Barros; Allyson Moss; Guiherme Alaga Pini; Andres Escheverry Gomez, Alvaro Catao; Marcel cesar Hernandez; Steven Gautschi; Peter Ceuleers; William Trentini; Andrea Singleton; Marcel Ott; Jamaal Wright; Luiz Jose da Silva Barros Filho; Daniel Passey; Antonio Juliano de Souza E Silva
Union Bancaire Privee (Bahamas) Limited	II	325-6755	325-6765	fec@ubp.ch	Charlotte House, Shirley & Charlotte Streets	N-7529	Eric M. Dyer; Vandyke Saunders; Alicia Weatherford; Peter Butler; Brenda Powell; Davawn Turner; Paul Russell; Raymond Wells; Jennifer McPhee
Union Bancaire Privee (Nassau Branch)	II	325-6755	325-6765	fec@ubp.ch	Charlotte House, Shirley & Charlotte Streets	N-7529	Eric M. Dyer; Brenda Powell; Davawn Turner; Alicia Weatherford; Van-dyke Saunders; Paul Russell; Raymond Wells; Jennifer McPhee
Votorantim Bank Limited	II	328-0981	328-0983	mpavan@votorantimbank.com	Suite 204, Saffrey Square Building, Bay Street & Bank Lane	N-951	Mario R.K. Pavan; Samuel J.H. Wilkinson
<b>Securities Investment Advisors</b>							
ACAL Capital Management (Bahamas) Limited formerly Sigma Asset Management (Bahamas) Limited		302-4100	362-6452	shs@deltecbank.com	Deltec House, Lyford Cay	N-3229	
Alternative Asset Advisors S.A.		702-1400	327-6629	betsy.morris@syz.com	Bayside Executive Park, West Bay Street & Blake Road	N-1089	Miguel Gonzalez; Alfred A. Schaller
Autana Capital Management (formerly Crescendo Capital Management Limited)		327-3947	327-3958	jme@autanacapital.com	Caves Village West Bay Street	N-7776	Jean-Marc Emden
Bahamas Securities (1987) Limited		325-6591	325-6592	cculmer@bdomannjudd.com	3rd Floor, Ansbacher House, Bank Lane	F-42498	George C. Culmer; Myles J. Culmer
Bearbull Degroof International Limited (formerly Bearbull International Ltd.)		362-5959	362-5973	l.lowe@bearbull.com	Unit 2, Old Fort Bay, Lyford Cay	N-4287	Nicole M. Dupuy; Yves V. Kempf; Linda L. Lowe; Phillipa Knowles- Russell



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Behring International Asset Management Limited		356-0107	322-1612	behring@batelnet.bs	Suite 5, 2nd Floor, Plaza-on-the-Way, West Bay Street	N-747	Arthur Chase
Benchmark Advisors (Bahamas) Limited		325-6591	325-6592	jbrown@allianceinvest.com	Ansbacher House, East Street	N-10144	Julian Brown
Binnacle Investment Group Ltd.		502-8822	502-8840	binnacle@binnaclegroup.com	Bayside House, Bayside Executive Park, West Bay Street & Blake Road	AP-59213	Matthew Gibbons
Braun & CIE Ltd.		325-6591	325-6592	jbrown@allianceinvest.com	Ansbacher House, East Street	N-10144	Julian Brown
Butterfield Bank (Bahamas) Limited		393-8200	393-3772	robert.lotmore@butterfieldbank.bs	Montague Sterling Centre, East Bay Street	N-3242	Rosemary Barrett; Robert Lotmore; Lynette Moore; Julien Martel
Cara Trading Advisors (Bahamas) Ltd.		327-6679	327-6679	bill@billcaratradng.com	Suite 505, Pilot House Club, East Street	CB12762-361	William John Cara
CFAL		502-7010	356-3677	slongley@colinafinancial.com	308 East Bay Street	CB-12407	Anthony Ferguson; Sean Longley; Pamela Musgrove
Corner Bank (Overseas) Limited		394-4977	394-5264	infobank@corner.bs	308 East Bay Street	N-7134	Colyn Roberts, Omar Vallana
FTC Asset Management (Bahamas) Limited		302-6300	302-6303	ftc@ftc.bs	Charlotte House, Shirley & Charlotte Streets	N-4929	Wilfred S. Coakley; Thomas Gattinger; Gerald Eder
GEM Global Equities Management S.A.		325-1033	323-7918	ceo@euro-dutch.com	Charlotte House, Shirley & Charlotte Streets	N-9204	Anthony L-M. Inder-Rieden; Toby C. Smith
Gems Management Limited		326-1905	326-3839	gems@batelnet.bs	Norfolk House, Frederick Street	CB-12809	Cedric Carroll; Sheldon Cartwright
Geneva Private Portfolio Management Limited		327-1097	327-1070	gppm@batelnet.bs	Suite 232, 2nd Floor, Island Lane Building, Olde Towne, Sandypport	N-4487	Henry A. Podlewski; Micheal I. Slack; James Burrows
Gestfinanz (Bahamas) Limited		356-4114	356-4125	jerome.gomez@gestfinanzbahamas.com	The Deanery, 28 Cumberland Street	N-1991	Oliver Camponovo; Franco Rossini; Michael Halkitis
Hottinger Bahamas Limited		362-6333	362-5549	paul.de.pourtales@hottinger.com	Lyford Manor, West Bay Street	CB-13012	Paul De Pourtales- Schickler; Kele Isaacs
Huber Management Limited		325-4885	323-7284	ums@serviceco-bs.com; bh@huberint.com	3rd Floor, Atlantic House	N-529	Bernard Huber
Independent Advisory Services Limited		327-6057	327-6058	info@inadservices.com	Lagoon Court Suite 215, Olde Town Mall, Sandypport	AP 59223 #466	Adolfo F. Miranda; Beat Wernli; Leo Betschart
J. Safra Asset Management Limited		677-6555	677-6550	w.penino@safra.bs	Building III, Bayside Executive Park, West Bay Street & Blake Road	CB-10988	Israel Borba
Judico Research Limited		363-0515	363-0516	w.bollinger@judico.org	Unit A3 Ocean Club Residences Paradise Island	SS-19221	William Bollinger
K.P. Investment Advisors		356-2610	323-4106		Halsbury Commercial Centre, Village Road	SS-19689	Karl Hoerkens; Pierre M. Monnard
Lambda Asset Management		3631528	3631528	fabio@lambdaam.com	Unit 6, Yolanda Condominiums , Paradise Island	N-4875	Fabio Allocco
Mignon (Nassau) Limited		327-6618 327-6806	327-6617	dlt@mignon.bs	Caves Village, West Bay Street & Blake Road	AP-59223	Dorothea Thompson



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Millennium Investment Advisors Limited		356-2610	323-4106		Halsbury Commercial Centre, Village Road	SS-19689	Karl Hoerkens; Pierre M. Monnard
Montaque Capital Partners Ltd.		356-6143	356-6144	obethel@montaquegroup.com	4th Floor, Centreville House, 2nd Terrace West	N-8303	Owen S.M. Bethel; Edison L. Sumner
New Providence Asset Management Limited		393-9113	393-9114	kimberly@npasset.com	Suite 1000, 7 Moseley Street	CR-56766-1000	Kimberly Pierce,
Nordica Investment Management Limited		323-2600	325-8445	acole@sterling-bahamas.com	2nd Floor, Ansbacher House, Bank Lane	N-9934	Daniel Hedkrok; Anna Hedkrok
Octogone Fund Management Limited		362-4995	3624996	rcm@octogone.com.bs	No. 3 Offices Old Fort Bay	SP-63157	R. Clive Moore
Pearl Investment Management Limited		502-8000	502-8008	mark.r.barratt@pearl-investment-management.com	Bahamas Financial Centre, Shirley & Charlotte Streets	N-4930	Michael J. Bianco; Reto Wiget; Daniel Zelano
RMF Investment Management - Nassau Branch		394-9251	394-9252	mtaylor@maninvestments.com	4th Floor, One Montague Place Penthouse, East Bay Street	EE-17758	Vincent King; Martin Clive Taylor; Joseph Euteneuer
Roundtable Offshore Management (Bahamas) Limited		376-0939	325-8445	jim.allan@roundtablecapital.ca	c/o Sterling Group Suite 205A Saffrey Square	N-9934	Jim Allan
Royal Fidelity Merchant Bank & Trust Limited		356-7764	326-3000	alfred.stewart@fidelity.bahamas.com	Fidelity Financial Centre, 51 Frederick Street	CB-12337	Alden Gibson; Sherelle Woodside; Velma Miller; Philip Anwar Dorsett; LeSummah Roberts
Safra Asset Management (Bahamas) Limited		677-6555	677-6550	w.penino@safra.bs	Ground Floor, Building III, Bayside Executive Park, West Bay Street & Blake Road	CB-10988	Daniel Nissim Kamkhaji
SciVest Capital Management Inc.		351-7335	351-7336	john.schmitz@scivest.com	Suite 1, Millennium House, East Mall Drive	F-42498	John J. L. Schmitz
SciVest Investment Management Inc.		351-7335	351-7336	john.schmitz@scivest.com	Suite 1, Millennium House, East Mall Drive	F-42498	John J. L. Schmitz
Scotiabank Caribbean Treasury Limited		302-2960	328-7432	brodie.townley@scotiabank.com	Suite 300, British Colonial Hilton Centre of Commerce 1 Bay Street	N-7518	Brodie Townley, Robert Clements
Shayne Davis		393-3460	393-8424	gestafin@batelnet.bs	5 Grosvenor Close, Shirley Street	N-8323	Shayne Davis
Stirling Partners (Bahamas) Limited		327-3182	327-3184	mholcombe@stirling-partners.com	Caves Village, West Bay Street	CB-12043	Mark Holcombe
Templeton Global Advisors Limited		362-4600	362-5281	jgomez@templeton.com	Templeton House, Lyford Cay	N-7759	Lisa Myers; James Harper, Cynthia Sweeting, Edgerton Scott III, Matthew Nagle
Two Seven Three Capital Management		302-6323	326-8388	simon.arnsby@273capital.com	Charlotte House, Shirley & Charlotte Streets,	N-4929	Simon D. Arnsby
Van Daalen International Ltd.		328-1042	328-1044	vandaalen@coralwave.com	3rd Floor, King's Court, Bay Street	N-1417	Alain Kunz
Windemere Corporate Management Limited		677-1922	394-8326	john@windermerecm.com	Suite 1000, New Providence Financial Centre, East Bay Street	CR-56766	John Lawrence
Vida Wealth Management Inc.		327-3127	327-6259	ajrking@coralwave.com	#38 Shoreline, Doubloon Road	N-4825	Leith Campbell Pedersen; Michael Cameron Smith



## SECURITIES COMMISSION OF THE BAHAMAS

### LICENSEES UNDER THE SECURITIES INDUSTRY ACT, 1999

Name	Class	Phone	Fax	Email	Address	P. O. Box	Registered Personnel
York Asset Management Limited		677-4514		nick@yorklimited.com	Deltec House, Lyford Cay	N-1717	Luigo Cattaneo; Andrew C.J. Walker; Stephen N. Walker
<b>Securities Exchange</b>							
Bahamas International Securities Exchange (BISX)		394-2503	394-2504	info@bisxbahamas.com	#8 Village Gardens Village Road & Village Gardens	EE-15672	Keith Davies (Chief Executive Officer)