

**SECURITIES COMMISSION OF THE BAHAMAS
PUBLIC NOTICE**



No. 8 of 2015

18 June 2015

**Re: Proposed Securities Industry (Registration of Registrar and
Transfer Agents) Rules, 2015**

This **NOTICE** is issued by the Securities Commission of The Bahamas (“the Commission”) pursuant to its authority under section 13 (j) of the Securities Industry Act, 2011 (“the SIA”) to, inter alia, “publish notices, guidelines, bulletins, and policies describing the views of the Commission regarding the interpretation, application, or enforcement of securities laws.” The Commission has responsibility for the licensing, regulation and supervision of the securities and investment funds industries in The Bahamas pursuant to the SIA and the Investment Funds Act, 2003.

The Commission has issued proposed Securities Industry (Registration of Registrar and Transfer Agents) Rules, 2015 (“Registrar and Transfer Agents Rules”) for public consultation, pursuant to section 150 of the SIA. The consultation period commenced Thursday 11 June 2015 and concludes Friday 10 July 2015.

The proposed Registrar and Transfer Agents Rules are available on the Consultation Documents page of the Commission's website (www.scb.gov.bs/consultation.html).

The Commission invites the general public to share comments with respect to the document. Comments may be made via email to: SIAconsultation@scb.gov.bs. Alternatively, comments may be submitted to:

The Secretary of the Securities Commission of The Bahamas,
Securities Commission of The Bahamas,
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P.O. Box N-8347,
Nassau, The Bahamas,
Tel: (242) 397-4100,
Fax: (242) 356-7330,
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