

SECURITIES COMMISSION OF THE BAHAMAS

PUBLIC NOTICE

No. 3 of 2020 17 February 2020

Re: Regulatory Capital Calculation

This **NOTICE** is issued by the Securities Commission of The Bahamas (the Commission), pursuant to its authority under the Securities Industry Act, 2011. The Commission will be conducting training via virtual conference for all registrants under the Securities Industry Act, 2011 who are mandated to submit the "Financial and Operational Report – Form 13" on a quarterly basis.

The session will be held as follows:

Thursday 27 February 2020 12:00 p.m. to 3:00 p.m. Via Virtual Conference

The Commission encourages all registered firms who are mandated to submit Form 13 to avail themselves of the training opportunity. To register for the training, contact Ms. Tina Wright at telephone (242) 397-5950 or by email at riskanalytics@scb.gov.bs no later than **Monday 24 February 2020**.

You may contact the Risk Analytics & Examinations Department at the Securities Commission with any inquiries concerning this notice at telephone number (242) 397-4100, or in writing to P.O. Box N-8347, Nassau, The Bahamas, or via e-mail to riskanalytics@scb.gov.bs.