



*EXTRAORDINARY*

**OFFICIAL GAZETTE**

**THE BAHAMAS**

PUBLISHED BY AUTHORITY

---

NASSAU

26<sup>th</sup> May, 2020

---

**SECURITIES INDUSTRY (ANTI-MONEY  
LAUNDERING AND COUNTERING THE  
FINANCING OF TERRORISM) (AMENDMENT)  
RULES, 2020**

**Arrangement of Rules**

---

**Rule**

1.	Citation.....	2
2.	Amendment of rule 9 of S.I. No. 96 of 2015 .....	2

## SECURITIES INDUSTRY ACT

(NO. 10 OF 2011)

### SECURITIES INDUSTRY (ANTI-MONEY LAUNDERING AND COUNTERING THE FINANCING OF TERRORISM) (AMENDMENT) RULES, 2020

The Securities Commission of The Bahamas, in exercise of the powers conferred by section 149 of the Securities Industry Act, 2011 (No. 10 of 2011), makes the following Rules —

#### 1. Citation.

These Rules which amend the Securities Industry (Anti-Money Laundering and Countering the Financing of Terrorism) Rules, 2015<sup>1</sup>, may be cited as the Securities (Anti-Money Laundering and Countering the Financing of Terrorism) (Amendment) Rules, 2020.

#### 2. Amendment of rule 9 of S.I. No. 96 of 2015.

Rule 9 of the Securities Industry (Anti-Money Laundering and Countering the Financing of Terrorism) Rules, 2015 is amended in subparagraph (1)(a) by the deletion of the words “(ii) correct permanent address;” and the substitution as follows —

“(ii) at least two current means of contact which may include —

- (aa) personal email address;
- (bb) business email address;
- (cc) personal mobile telephone number;
- (dd) personal landline number;
- (ee) business landline number;
- (ff) personal mailing address which must include where applicable, the street, post office box number, city, state or province, postal or zip code, landline telephone contact and country;

---

<sup>1</sup>S.I. No. 98 of 2015.

- (gg) business mailing address which must include where applicable the street, post office box number, city, state or province, postal or zip code, landline telephone contact and country;
- (hh) residential mailing address which must include where applicable the street, post office box number, city, state or province, postal or zip code, landline telephone contact and country; or
- (ii) any other means of contact which the Commission may specify;”.

**Made this 25<sup>th</sup> day of May, 2020**

**Signed  
Chairman  
Securities Commission of The Bahamas**