



NOTIFICATION OF LOSS CERTIFICATE

To: THE SECURITIES COMMISSION OF THE BAHAMAS ("the Commission")

From: _____
[Name of Licensee/Registrant] ("the Licensee/Registrant")

Dated: [], A.D., 200

- (i) The Licensee/Registrant was licensed/registered by the Commission as a [] on [] and was granted a [type of licence], licence number [] (the "Certificate").
- (ii) The Licensee/Registrant has caused a search to be made for the Certificate but after careful search has not been able to find it. To the best of the Licensee's/Registrant's knowledge and belief the Certificate has either been lost, misplaced, or accidentally destroyed.
- (iii) (a) The Licensee/Registrant hereby agrees that all authority granted by the Commission, to the Licensee/Registrant under the Certificate is hereby surrendered;

OR

- (b) The Licensee/Registrant hereby requests the Commission to issue a new certificate in respect of its registration/licensing as a [].

[PLEASE DELETE AS APPROPRIATE]

- (iv) **THE LICENSEE HEREBY UNDERTAKES** that if the Certificate shall hereafter be found forthwith to deliver up the same or cause the same to be delivered up to the Commission its successors and assigns without costs.

Signed

[For and on behalf of the Licensee/Registrant by a Director or such other person authorized to sign on behalf of the Licensee/Registrant]

THIS PORTION TO BE COMPLETED BY THE COMMISSION:

- (A) Receipt acknowledged of Notification of Loss Certificate on [];
- (B) Replacement Certificate issued on [];
- (C) Licence Number of Replacement Certificate: [].

Signed

Dated

This form should be returned to:-

**The Manager
Market Surveillance Department
The Securities Commission of The Bahamas
3rd Floor, Charlotte House
Shirley & Charlotte Streets
P.O. Box N-8347
Nassau, Bahamas**