



SECURITIES COMMISSION OF THE BAHAMAS

Securities Industry Act (Fee) Rule, 2012 and Amendments (2017, 2020)

Schedule of (pro-rated) annual fees for CEOs, compliance officers and registered representatives

Month of Approval	CEO	Compliance Officer	Representative, Trading	Representative, Discretionary Management	Representative, Advising
January	500.00	500.00	450.00	450.00	450.00
February	458.33	458.33	412.50	412.50	412.50
March	416.67	416.67	375.00	375.00	375.00
April	375.00	375.00	337.50	337.50	337.50
May	333.33	333.33	300.00	300.00	300.00
June	291.67	291.67	262.50	262.50	262.50
July	250.00	250.00	225.00	225.00	225.00
August	208.33	208.33	187.50	187.50	187.50
September	166.67	166.67	150.00	150.00	150.00
October	125.00	125.00	112.50	112.50	112.50
November	83.33	83.33	75.00	75.00	75.00
December	41.67	41.67	37.50	37.50	37.50

1. Fees are denominated in B\$ (Bahamian Dollars).
2. This schedule is based on fees in effect at 30 June 2020 and is for information purposes only. This schedule does not supersede any duly prescribed fees.
3. These fees in this schedule are the **annual** fees due in the year an applicable application is approved, based on the month that the application is approved (absolute or on condition) and authorized to operate. Thereafter, the full annual fee is due.