



Date: 20 August 2020

Dear Registrant/Licensee:

Re: International Obligations (Economic and Ancillary Measures) Act, Chapter 16 (IOEAMA): Democratic Republic of the Congo

Pursuant to section 3A of the International Obligations (Economic and Ancillary Measures) Act, Chapter 16 (IOEAMA), licensees and registrants of the Securities Commission of The Bahamas (the Commission) are hereby informed of the following:

On 19 August 2020, the Security Council Committee established pursuant to resolution 1533 (2004) concerning the Democratic Republic of the Congo amended its sanctions list of individuals and entities subject to the assets freeze and travel ban set out in paragraphs 1 to 6 of resolution 2293 (2016), as renewed by paragraph 1 of resolution 2528 (2020), adopted under Chapter VII of the Charter of the United Nations, as specified in the United Nations press release attached hereto, and found at the following web address:

1. <https://www.un.org/press/en/2020/sc14280.doc.htm>

A copy of this notice can be found on the Commission's website.

Any inquiries concerning this notice may be directed to the Commission at:

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United Nations

SECURITY COUNCIL PRESS RELEASE

SC/14280
19 AUGUST 2020

Security Council Committee concerning Democratic Republic of the Congo Amends List Entries of Two Individuals, Three Entities on Its Sanctions List

On 19 August 2020, the Security Council Committee established pursuant to resolution 1533 (2004) concerning the Democratic Republic of the Congo amended the list entries of the following individuals and entities included on its list of individuals and entities, subject to the assets freeze and travel ban set out in paragraphs 1 to 6 of resolution 2293 (2016), as renewed by paragraph 1 of resolution 2528 (2020), adopted under Chapter VII of the Charter of the United Nations:

A. Individuals

CDi.029 Name: 1: NTABO NTABERI 2: SHEKA 3: na 4: na

Title: na **Designation:** Commander-in-Chief, Nduma Defence of Congo, Mayi Mayi Sheka group **DOB:** 4 Apr.1976 **POB:** Walikale, Walikale territory, Democratic Republic of the Congo **Good quality a.k.a.:** na **Low quality a.k.a.:** na **Nationality:** Democratic Republic of the Congo **Passport no:** na **National identification no:** na **Address:** Goma, North Kivu, Democratic Republic of the Congo (in prison) **Listed on:** 28 Nov. 2011 (amended on 19 August 2020) **Other information:** He surrendered to MONUSCO on 26 July 2017 and has been since detained by the Congolese authorities. His trial for war crimes, crimes against humanity and participation in an insurrectional movement, before the Military Court in Goma, started in November 2018. INTERPOL-UN Security Council Special Notice web link: <https://www.interpol.int/en/How-we-work/Notices/View-UN-Notices-Individuals>.

CDi.030 Name: 1: BOSCO 2: TAGANDA 3: na 4: na

Title: na **Designation:** na **DOB:** Between 1973 and 1974 **POB:** Bigogwe, Rwanda **Good quality a.k.a.:** a) Bosco Ntaganda b) Bosco Ntagenda c) General Taganda **Low quality a.k.a.:** a) Lydia (When he was part of APR.) b) Terminator c) Tango Romeo (Call sign) d) Romeo

(Call sign) **e) Major Nationality:** Democratic Republic of the Congo **Passport no:** na **National identification no:** na **Address:** The Hague, Netherlands (As of June 2016) **Listed on:** 1 Nov. 2005 (amended on 13 Oct. 2016, 19 August 2020) **Other information:** Born in Rwanda, he moved to Nyamitaba, Masisi territory, North Kivu, when he was a child. Nominated FARDC Brigadier-General by Presidential Decree on 11 December 2004, following Ituri peace agreements. Formerly Chief of Staff in CNDP and became CNDP military commander since the arrest of Laurent Nkunda in January 2009. Since January 2009, de facto Deputy Commander of consecutive anti-FDLR operations ‘Umoja Wetu’, ‘Kimia II’, and ‘Amani Leo’ in North and South Kivu. Entered Rwanda in March 2013, and voluntarily surrender to ICC officials in Kigali on March 22. Transferred to the ICC in The Hague, Netherlands. On 9 June 2014, ICC confirmed 13 charges of war crimes and five charges of crimes against humanity against him; the trial started in September 2015. On 8 July 2019, the ICC found him guilty of 18 counts of war crimes and crimes against humanity, committed in Ituri in 2002-2003. On 7 November 2019, he was sentenced to a total of 30 years imprisonment. He has appealed both his conviction and sentence. INTERPOL-UN Security Council Special Notice web link: <https://www.interpol.int/en/How-we-work/Notices/View-UN-Notices-Individuals>.

B. Entities

CDe.001 Name: ADF

A.k.a.: Allied Democratic Forces **F.k.a.:** **a)** Forces Démocratiques Alliées-Armée Nationale de Libération de l’Ouganda **b)** ADF/NALU **c)** NALU **Address:** North Kivu, Democratic Republic of the Congo **Listed on:** 30 Jun. 2014 (amended on 19 Oct. 2016, 19 August 2020) **Other information:** ADF founder and leader, Jamil Mukulu (CDi.015), was arrested in Dar es Salaam, Tanzania in April 2015. He was subsequently extradited to Kampala, Uganda in July 2015. As of June 2016, Mukulu is reportedly being held in a police detention cell awaiting his trial. Seka Baluku (CDi.036) succeeded Jamil Mukulu (CDi.015) as the overall leader of the ADF. As highlighted in several reports from the Group of Experts on the DRC (S/2015/19, S/2015/797, S/2016/1102, S/2017/672, S/2018/531, S/2019/469, S/2019/974, S/2020/482), the ADF, including under Seka Baluku’s leadership, continued to commit the repeated targeting, killing and maiming, rape and other sexual violence, abduction of civilians, including children, as well as attacks on villages and health facilities, in particular in Mamove, Beni territory, on 12 and 24 February 2019, and Mantumbi, Beni territory, on 5 December 2019 and 30 January 2020, as well as the continuous recruitment and use of children during attacks and for forced labour in Beni territory in the DRC since at least 2015. INTERPOL-UN Security Council

Special Notice web link: <https://www.interpol.int/en/How-we-work/Notices/View-UN-Notices-Entities>.

CDe.007 Name: MACHANGA LTD

A.k.a.: na **F.k.a.:** na **Address:** Plot 55A, Upper Kololo Terrace, Kampala, Uganda **Listed on:** 29 Mar. 2007 (amended on 19 August 2020) **Other information:** Gold export company (Directors: Mr. Rajendra Kumar Vaya and Mr. Hirendra M. Vaya). In 2010, assets belonging to Machanga, held in the account of Emirates Gold, were frozen by Bank of Nova Scotia Mocatta (UK). The owners of Machanga have remained involved in purchasing gold from eastern DRC. Machanga Ltd last filed an annual return in 2004 and was listed as “status inactive” according to the authorities of the Republic of Uganda. INTERPOL-UN Security Council Special Notice web link: <https://www.interpol.int/en/How-we-work/Notices/View-UN-Notices-Entities>.

CDe.009 Name: UGANDA COMMERCIAL IMPEX (UCI) LTD

A.k.a.: na **F.k.a.:** na **Address: a)** Plot 22, Kanjokya Street, Kamwokya, Kampala, Uganda (Tel.: +256 41 533 578/9) **b)** PO BOX 22709, Kampala, Uganda **Listed on:** 29 Mar. 2007 (amended on 19 August 2020) **Other information:** Gold export company. (Directors Mr. Jamnadas V. LODHIA – known as “Chuni”- and his sons Mr. Kunal LODHIA and Jitendra J. LODHIA). In January 2011, Ugandan authorities notified the Committee that following an exemption on its financial holdings, Emirates Gold repaid UCI’s debt to Crane Bank in Kampala, leading to final closure of its accounts. The directors of UCI have remained involved in purchasing gold from eastern DRC. Uganda Commercial Impex (UCI) Ltd last filed a return in 2013 and was listed as “Inactive – status inactive” by the authorities of the Republic of Uganda. INTERPOL-UN Security Council Special Notice web link: <https://www.interpol.int/en/How-we-work/Notices/View-UN-Notices-Entities>.

The Committee has made accessible on its website the narrative summaries of reasons for listing of the above names, at the following URL:
www.un.org/securitycouncil/sanctions/1533/materials/summaries.

An updated List is accessible on the Committee’s website at the following URL:
www.un.org/securitycouncil/sanctions/1533/materials.

The United Nations Security Council Consolidated List is also updated following all changes made to the Committee’s Sanctions List. An updated version of the Consolidated List

is accessible via the following URL: www.un.org/securitycouncil/content/un-sc-consolidated-list.

 **For information media. Not an official record.**