



Date: 30 April 2021

Dear Registrant/Licensee

Re: International Obligations (Economic and Ancillary Measures) Act, Chapter 16 (IOEAMA): Libya

Pursuant to section 3A of the International Obligations (Economic and Ancillary Measures) Act, Chapter 16 (IOEAMA), licensees and registrants of the Securities Commission of The Bahamas (the Commission) are hereby informed of the following:

On 29 April 2021, the Security Council Committee established pursuant to resolution 1970 (2011) concerning Libya, enacted the amendments specified with strikethrough and underline, as identified in the United Nations press release attached hereto, on its Sanctions List of individuals and entities.

The United Nations press release can also be found at the following web address:

1. <https://www.un.org/press/en/2021/sc14509.doc.htm>

Licensees and registrants are urged to take all appropriate actions to ensure full compliance with s. 3A IOEAMA, Part IV, "Implementation of United Nations Security Resolutions", of the Anti-Terrorism Act 2018, and section 8 of the Anti-Terrorism Regulations, 2019.

Licensees and registrants must confirm compliance within 30 days of the date of this notice and on a quarterly basis thereafter by submitting **Form 1A or 1B** attached, as appropriate. Please note that Licensees and registrants are also required to submit confirmation of compliance to the Commission where there are no findings or 'hits'.

Licensees and registrants are further required to file an annual declaration, certifying that their operations are in full compliance with the aforementioned legislative provisions, signed by at least two Directors of its Board of Directors or in the alternative, one Director and the compliance officer registered with the Commission. The Commission further advises that the filing deadline relative to the annual declaration is 31 January. **See Form 2 attached.**

Please be advised that failure to submit the required reports may result in disciplinary action, including a minimum fine of \$200.00 per day per Order.

All confirmations of compliance and declarations should be addressed to:

The Executive Director
Securities Commission of The Bahamas

Poinciana House
North Building, 2nd Floor
31A East Bay Street
P. O. Box N-8347
Nassau, The Bahamas
Email: SUDfilings@scb.gov.bs

Licensees and registrants are further urged to regularly consult the following UNSC web addresses, to ensure continued compliance, and accurate record keeping relating to United Nations Security Council Resolutions:

1. UNSC: <https://www.un.org/securitycouncil>
2. UNSC Sanctions list materials: <https://www.un.org/securitycouncil/sanctions/1718/materials>
3. Consolidated UNSC Sanctions List: <https://www.un.org/securitycouncil/content/un-sc-consolidated-list>

A copy of this notice can be found on the Commission's website.

Any inquiries concerning this notice may be directed to the Commission at:

Poinciana House
North Building, 2nd Floor
31A East Bay Street
P. O. Box N-8347
Nassau, Bahamas
Email: info@scb.gov.bs
Telephone: 1 (242) 397-4100



United Nations

SECURITY COUNCIL PRESS RELEASE

SC/14509
29 APRIL 2021

Security Council 1970 Sanctions Committee Amends Two Entries on Its Sanctions List

On 29 April 2021, the Security Council Committee established pursuant to resolution 1970 (2011) concerning Libya enacted the amendments, specified with strikethrough and underline, in the entries below on its Sanctions List of individuals and entities.

A. Individuals

LYi.012 Name: 1: MOHAMMED 2: MUAMMAR 3: QADHAFI 4: na

Title: na **Designation:** na **DOB:** 1970 **POB:** Tripoli, Libya **Good quality a.k.a.:** ~~na~~ Muhammed Muammar Muhammed Abdul Salam **Low quality a.k.a.:** na **Nationality:** na **Passport no:** ~~na~~ Oman 03824969, issued on 4 May 2014 **National identification no:** ~~na~~ Oman 97183904 **Address:** Sultanate of Oman (Believed status/ location: Sultanate of Oman) **Listed on:** 26 Feb. 2011 (amended on 26 Sep. 2014, 4 Sep. 2013, 2 Apr. 2012, 29 Apr. 2021) **Other information:** Listed pursuant to paragraphs 15 and 17 of resolution 1970 (Travel Ban, Asset Freeze). INTERPOL-UN Security Council Special Notice web link: <https://www.interpol.int/en/How-we-work/Notices/View-UNNotices-Individuals>.

LYi.026 Name: 1: Abd 2: Al-Rahman 3: al-Milad 4: na

Title: na **Designation:** Commander of the Coast Guard in Zawiya **DOB:** ~~Approximately (29 years old)~~ 27 July 1986 **POB:** Tripoli, Libya **Good quality a.k.a.:** ~~na~~ Abdurahman Salem Ibrahim Milad **Low quality a.k.a.:** a) Rahman Salim Milad b) al-Bija **Nationality:** Libya **Passport no:** ~~na~~ Libya G52FYPR, issued on 8 May 2014 (Date of expiration: 7 May 2022) **National identification no:** na **Address:** Zawiya, Libya **Listed on:** 7 Jun. 2018 (amended on 29 Apr. 2021) **Other information:** Listed pursuant to paragraphs 15 and 17 of resolution 1970 (Travel Ban, Asset Freeze) INTERPOLUN Security Council Special Notice web link: <https://www.interpol.int/en/How-we-work/Notices/View-UN-NoticesIndividuals>.

Press releases concerning changes to the Committee's Sanctions List may be found in the "Press Releases" section on the Committee's website at the following URL: www.un.org/securitycouncil/sanctions/1970/press-releases.

The updated version of the Committee's Sanctions List, available in HTML, PDF and XML format, may be found at the following URL: www.un.org/securitycouncil/sanctions/1970/materials.

The United Nations Security Council Consolidated List is also updated following all changes made to the Committee's Sanctions List and is accessible at the following URL:

www.un.org/securitycouncil/content/un-sc-consolidated-list.



For information media. Not an official record.

[REGISTRANT/LICENSEE LETTERHEAD]

NOTICE

**RE: [Name of (i) International Obligations (Economic and Ancillary Measures) Order Citation/
(ii) Notice of the listing to the United Nations Sanctions List]**

This notice is to confirm that [Name of Registrant/Licensee] has conducted a review of its databases and records (including transaction records) for any findings related to [Cite Order/and/or Individual/entity added to United Nations Sanctions List], and hereby confirms that there were no positive results.

Compliance Officer

[REGISTRANT/LICENSEE LETTERHEAD]

NOTICE

**RE: [Name of: (i) International Obligations (Economic and Ancillary Measures) Order Citation/ (ii) Notice of t
he listing to the United Nations Sanctions List]**

This is to confirm that [Name of Registrant/Licensee] has conducted a review of its databases and records (including transaction records) for any findings related to ***[Cite Order and/or Individual/entity added to United Nations Sanctions List]***, and hereby advise of the following positive results, which have been reported to the Attorney General and Financial Intelligence Unit:

Name of Individual/Entity	Account/Transaction Details

Compliance Officer

[REGISTRANT/LICENSEE LETTERHEAD]

DECLARATION

We, **[Name of Director]** and, **[Name of additional Director/Compliance Officer]** hereby declare that the operations of [Name of Registrant/Licensee] are in full compliance with the following Orders:

1. ***[List of International Obligations (Economic and Ancillary Measures) Orders relevant to this Declaration].***

DIRECTOR

DIRECTOR/COMPLIANCE OFFICER