



Date: 28 September 2022

To: All Registrants and Licensees

Re: International Obligations (Economic and Ancillary Measures) Act, Chapter 16 (IOEAMA): Yemen

Pursuant to section 3A of the International Obligations (Economic and Ancillary Measures) Act, Chapter 16 (IOEAMA), licensees and registrants of the Securities Commission of The Bahamas (the Commission) are hereby informed that on 27 September 2022 the Security Council Committee established pursuant to resolution 2140 (2014) concerning Yemen, approved the addition of the individuals specified in the United Nations press release attached hereto, to its Sanctions List of individuals and entities subject to the measures imposed by the Security Council, and adopted under Chapter VII of the Charter of the United Nations.

The press release can also be found at the web address below:

1. <https://press.un.org/en/2022/sc15040.doc.htm>

Licensees and registrants are urged to take all appropriate actions to ensure full compliance with s.3A IOEAMA, Part IV, "Implementation of United Nations Security Resolutions", of the Anti-Terrorism Act, and section 8 of the Anti-Terrorism Regulations.

Licensees and registrants must confirm compliance within 30 days of the date of this notice and on a quarterly basis thereafter by submitting **Form 1A or 1B** attached, as appropriate. Please note that licensees and registrants are also required to submit confirmation of compliance to the Commission where there are no findings or 'hits'.

Licensees and registrants are further required to file an annual declaration, certifying that their operations are in full compliance with the aforementioned legislative provisions, signed by at least two Directors of its Board of Directors or in the alternative, one Director and the compliance officer registered with the Commission. The Commission further advises that the filing deadline relative to the annual declaration is 31 January annually. See **Form 2** attached.

Please be advised that failure to submit the required reports may result in disciplinary action, including a minimum fine of \$200.00 per day per Order.

All confirmations of compliance and declarations should be addressed to:

The Executive Director
Securities Commission of The Bahamas
Poinciana House
North Building, 2nd Floor
31A East Bay Street
P. O. Box N-8347
Nassau, The Bahamas
Email: SUDfilings@scb.gov.bs

Licensees and registrants are further urged to regularly consult the following UNSC website addresses, to ensure continued compliance, and accurate record keeping relating to United Nations Security Council Resolutions:

1. UNSC: <https://www.un.org/securitycouncil/>
2. UNSC Sanctions list materials: <https://www.un.org/securitycouncil/sanctions/2140/materials>
3. Consolidated UNSC Sanctions List: <https://www.un.org/securitycouncil/content/un-sc-consolidated-list>

A copy of this notice, as well as the above-mentioned listing, can be found on the Commission's website.

Any inquiries concerning this notice may be directed to the Commission at:

Poinciana House
North Building, 2nd Floor
31A East Bay Street
P. O. Box N-8347
Nassau, The Bahamas
Email: info@scb.gov.bs
Telephone: 1 (242) 397-4100



PRESS RELEASE

United Nations

SC/15040

27 SEPTEMBER 2022

Security Council 2140 Sanctions Committee Adds Two Entries to Its Sanctions List

On 26 September 2022, the Security Council Committee established pursuant to resolution 2140 (2014) approved the addition of the entries specified below to its Sanctions List of individuals and entities subject to the measures imposed by the Security Council and adopted under Chapter VII of the Charter of the United Nations.

A. Individuals

YEi.010 Name: 1: MANSUR 2: AL-SA'ADI

Name (original script): منصور السعادي

Title: n/a **Designation:** Major General, Houthis Commander of Yemen's Naval and Coastal Defense Forces **DOB:** 1988 **POB:**

Yemen **Good quality a.k.a.:** n/a **Low quality a.k.a.:** a) Mansoor Ahmed Al Saadi b) Mansur Ahmad al-Sa'adi c) Abu Sajjad

Nationality: Yemen **Passport no:** n/a **National identification no:** n/a **Address:** Yemen **Listed on:** 26 Sep. 2022 **Other**

information: Houthis Naval Forces Chief of Staff, who has masterminded lethal attacks against international shipping in the Red Sea, plays a leading role in Houthis naval efforts that directly threaten the peace, security, and stability of Yemen. Physical

Description: Eye Color: Brown; Hair: Brown. INTERPOL-UN Security Council Special Notice web link: www.interpol.int/en/How-we-work/Notices/View-UN-Notices-Individual.

YEi.011 Name: 1: MOTLAQ 2: AMER 3: AL-MARRANI

Name (original script): مطلق عامر المراني

Title: n/a **Designation:** (Former) Deputy Head of the Houthis National Security Bureau (NSB) (intelligence agency) **DOB:** 1 Jan 1984

POB: Al-Jawf, Yemen **Good quality a.k.a.:** a) Mutlaq Ali Aamer Al Marrani b) Abu Emad **Low quality a.k.a.:** n/a **Nationality:**

Yemen **Passport no:** n/a **National identification no:** n/a **Address:** Yemen **Listed on:** 26 Sep. 2022 **Other information:** Former Deputy Head of the Houthis National Security Bureau (NSB), oversaw detainees of the NSB who were subjected to torture and other mistreatment while detained, planned and directed illegal arrests and detention of humanitarian workers and the unlawful diversion of humanitarian assistance in violation of international humanitarian law. Physical Description: Eye Color: Brown; Hair: Brown.

INTERPOL-UN Security Council Special Notice web link: www.interpol.int/en/How-we-work/Notices/View-UN-Notices-Individual.

Press releases concerning changes to the Committee's Sanctions List may be found in the "Press Releases" section on the Committee's website at the following URL: www.un.org/securitycouncil/sanctions/2140/press-releases.

The updated version of the Committee's Sanctions List, available in HTML, PDF and XML format, may be found at the following URL: www.un.org/securitycouncil/sanctions/2140/materials.

The United Nations Security Council Consolidated List is also updated following all changes made to the Committee's Sanctions List and is accessible at the following URL: www.un.org/securitycouncil/content/un-sc-consolidated-list.

! For information media. Not an official record.

[REGISTRANT/LICENSEE LETTERHEAD]

NOTICE

**RE: [Name of (i) International Obligations (Economic and Ancillary Measures) Order Citation/
(ii) Notice of the listing to the United Nations Sanctions List]**

This notice is to confirm that [Name of Registrant/Licensee] has conducted a review of its databases and records (including transaction records) for any findings related to [Cite Order/and/or Individual/entity added to United Nations Sanctions List], and hereby confirms that there were no positive results.

Compliance Officer

[REGISTRANT/LICENSEE LETTERHEAD]

NOTICE

**RE: [Name of: (i) International Obligations (Economic and Ancillary Measures) Order Citation/ (ii) Notice of t
he listing to the United Nations Sanctions List]**

This is to confirm that [Name of Registrant/Licensee] has conducted a review of its databases and records (including transaction records) for any findings related to ***[Cite Order and/or Individual/entity added to United Nations Sanctions List]***, and hereby advise of the following positive results, which have been reported to the Attorney General and Financial Intelligence Unit:

Name of Individual/Entity	Account/Transaction Details

Compliance Officer

[REGISTRANT/LICENSEE LETTERHEAD]

DECLARATION

We, ***[Name of Director]*** and, ***[Name of additional Director/Compliance Officer]*** hereby declare that the operations of [Name of Registrant/Licensee] are in full compliance with the following Orders:

1. ***[List of International Obligations (Economic and Ancillary Measures) Orders relevant to this Declaration]***.

DIRECTOR

DIRECTOR/COMPLIANCE OFFICER