



3-9 October

Prime Minister, the Hon. Philip Davis
has proclaimed October

INVESTOR EDUCATION MONTH

1 October - 31 October 2022

Learn more here!

REGULATORY UPDATES

Legislative Update

Carbon Credit Trading Act, 2022

The Act was gazetted 11 August 2022.

<https://bit.ly/3y1BKEL>

MEDIA RELEASES/ALERTS AND NOTICES

Media Releases

Prime Minister Davis Proclaims October 2022
“Investor Education Month”

The Commission issued the aforementioned media release to inform the public that Prime Minister Davis had proclaimed October 2022 “Investor Education Month”.

“Investor Education Month”.

<https://bit.ly/3CqzcBf>

INTERNATIONAL COOPERATION

Issued by: United Nations Security Council

Reference: IOEAMA UNSCR SC15051 Yemen

Adoption Date: 4 October 2022

<https://bit.ly/3Ci3uGu>

Issued by: United Nations Security Council

Reference: IOEAMA UNSCR SC15053 Mali

Adoption Date: 5 October 2022

<https://bit.ly/3TOJVZY>

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INDUSTRY BRIEFINGS/EVENTS AND MEETINGS

6 October 2022. BACO's Compliance Day Conference. Baha Mar Convention Center, Nassau.

16-23 October 2022. Ministry of Foreign Affairs Commonwealth of The Bahamas Diplomatic Week 2022. Baha Mar Convention Center, Nassau.

20 October 2022. 16th Exuma Business Outlook: Beyond Recovery Into Growth. Virtual. Hosted by The Counsellors Limited.

20 October 2022. University of The Bahamas' Career Fair, Oakes Field, Nassau.

SPEECHES/PRESENTATIONS AND APPEARANCES

3 October 2022. Christina Rolle, SCB Executive Director. Virtually attended the Ring the Bell event at CVM Brazil to launch World Investor Week 2022 in the Americas. As Vice Chair, Ms Rolle brought remarks on behalf of IARC.

18 October 2022. Ashley Poitier, Manager, Supervision. Carbon Credit Trading Act, 2022. Ministry of Foreign Affairs Diplomatic Week 2022. Baha Mar Convention Center, Nassau.

31 October 2022. Christian Adderley, Head of Policy and Research. Regulation Facilitating Opportunities: Building a Safe & Secure Digital Asset Ecosystem. Hong Kong Fintech Week.

INTERNATIONAL MEMBERSHIPS AND MEETINGS

11 September 2022. IOSCO FinTech Task Force Steering Group. Virtual. Christian Adderley, Head of Policy and Research. The meeting was to discuss the work of the Steering Group's two work streams, Crypto Assets and Decentralized Finance (DeFi).

3 October 2022. CVM Brazil's WIW 2022 Regional Launching (Ring the Bell) Event. Virtual.

17-20 October 2022. 47th IOSCO Annual Meeting. The Annual Meeting focused on issues related to world securities and futures markets. Marrakech, Morocco.

17 October 2022. Inter-American Regional Committee (IARC) Meeting. The meeting focused on matters related to sustainable finance, DeFi and crypto assets and IOSCO updates. Marrakech, Morocco.

18 October 2022. IOSCO's Board Meeting. Marrakech, Morocco.

31 October - 4 November 2022. Hong Kong Fintech Week. Hong Kong, China.

7-10 November 2022. BICA Accountants' Week 2022.

29-30 November 2022. IOSCO Committee 4 and Steering Group Meetings, Madrid, Spain

SCB IN THE NEWS

7 October 2022. Securities Commission building capacity to oversee 'big players' in digital assets sector <https://bit.ly/3TSI7Ud>

3 October 2022. First class regulatory innovation <http://bit.ly/3XCgbog>

AROUND THE COMMISSION

FCSP AUTHORISED AGENTS

The Securities Commission and the Compliance Commission conducted annual mandatory training for eligible persons desiring to be approved as agents in conducting annual routine examinations of FCSPs on Friday 25 February 2022 via virtual conference.

The listing of approved agents can be accessed using the following link. <https://bit.ly/3ayWavS>

FILINGS WATCH

Licensees and registrants under the Securities Industry Act, 2011, the Investment Funds Act, 2019, the Financial and Corporate Service Providers Act, 2020 and the Digital Assets and Registered Exchanges Act, 2020 should refer to legislation and issued rules in order to remain compliant with their ongoing reporting obligations.

INTERESTING READS

Financial Sector Risks and Supervision in the Midst of Climate Change

by Bo Li's

Here is Deputy Managing Director Bo Li's Keynote Speech at the 59th Bi-Annual Meeting of CARICOM Central Bank Governors in The Bahamas

<https://bit.ly/3Nv9y3w>

6 insights on financing clean energy projects in emerging economies

by Ogan Kose and Raj Gopalakrishnan

This article outlines key observations which highlight risks and opportunities around climate finance.

<https://bit.ly/3fjHuDG>

The Book of Crypto: The Complete Guide to Understanding Bitcoin, Cryptocurrencies and Digital Assets

by Henri Arslanian

"This book provides a thorough overview of Bitcoin, cryptocurrencies, and digital assets and their impact on the future of money and finance." **From the cover.**

Blockchain: Blueprint for a New Economy

by Melanie Swan

"This book takes you beyond the currency (Blockchain 1.0) and smart contracts (Blockchain 2.0) to demonstrate how the blockchain is in position to become the fifth disruptive computing paradigm after mainframes, PCs, the Internet, and mobile/social networking." **From the cover.**

FEEDBACK

For feedback, questions or to provide information email: oed@scb.gov.bs, reference SCB Brief V3, I10.

Publication date: January 2023

OCTOBER 2022

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NOVEMBER 2022

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13	14	15	16	17	18	19
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27	28	29	30			

CALENDAR OF EVENTS

- 1-31** Investor Education Month
- 3-9** SCB Celebrates World Investor Week 2022
- 3** CVM Brazil's WIW 2022 Regional Launching (Ring the Bell) Event. Virtual.
- 3** SCB launches FinTok Competition
- 6** BACO's Compliance Day Conference. Baha Mar Convention Center,
- 5** World Financial Planning Day
- 10** National Heroes Day. Public Holiday.
- 17** Inter-American Regional Committee Meeting. Marrakech, Morocco.
- 17-20** 47th IOSCO Annual Meeting. Marrakech, Morocco.
- 18** IOSCO's Board Meeting. Marrakech, Morocco.
- 20** Exuma Business Outlook
- 20** University of The Bahamas' Career Fair
- 20** Quarterly GFSR Meeting.
- 31-4** Hong Kong Fintech Week, Hong Kong, China.
- 7-10** BICA Accountants' Week 2022
- 29-30** IOSCO Committee 4 and Screening Group Meetings. Madrid, Spain.