



SECURITIES COMMISSION OF THE BAHAMAS

Securities Industry (Fee) Rules, 2020

Schedule of (pro-rated) annual fees for firms registered to conduct securities business

Month of Approval	Dealing in Securities	Managing Securities	Advising on Securities	Arranging Deals in Securities	Additional/Multiple Categories (Each)	CFD Firms
January	13,125.00	11,875.00	8,750.00	6,785.00	5,000.00	30,000.00
February	12,031.25	10,885.42	8,020.83	6,219.58	4,583.33	27,500.00
March	10,937.50	9,895.83	7,291.67	5,654.17	4,166.67	25,000.00
April	9,843.75	8,906.25	6,562.50	5,088.75	3,750.00	22,500.00
May	8,750.00	7,916.67	5,833.33	4,523.33	3,333.33	20,000.00
June	7,656.25	6,927.08	5,104.17	3,957.92	2,916.67	17,500.00
July	6,562.50	5,937.50	4,375.00	3,392.50	2,500.00	15,000.00
August	5,468.75	4,947.92	3,645.83	2,827.08	2,083.33	12,500.00
September	4,375.00	3,958.33	2,916.67	2,261.67	1,666.67	10,000.00
October	3,281.25	2,968.75	2,187.50	1,696.25	1,250.00	7,500.00
November	2,187.50	1,979.17	1,458.33	1,130.83	833.33	5,000.00
December	1,093.75	989.58	729.17	565.42	416.67	2,500.00

1. Fees are denominated in B\$ (Bahamian Dollars).
2. This schedule is based on fees in effect at 30 June 2020 and is for information purposes only. This schedule does not supersede any duly prescribed fees.
3. These fees in this schedule are the annual fees due in the year an applicable application is approved, based on the month that the application is approved (absolute or on condition) and authorized to operate. Thereafter, the full annual fee is due.
4. Firms approved to conduct multiple registrable securities activities will be charged the corresponding pro-rated fees for the category with the highest annual fee, in addition to the applicable pro-rated fees listed under the "Additional/Multiple Categories (Each)" column for each additional category of registration.

Application Fees	1200.00	1200.00	1200.00	1200.00	2500.00	2500.00
Registration Fees	11,875.00	10,000.00	6,785.00	5,000.00	3,125.00	15000.00