

Securities Industry Act, 2011



Registrants as at 31 October 2023

LICENSEE	CATEGORIES	CONTACT PERSON	EMAIL	ADDRESS	P.O. BOX	Registered Representatives				
						CEO	COMPLIANCE OFFICER	TRADING REP	ADVISING REP	MANAGING REP
A.S.P.I Bahamas Ltd.	1. Managing Securities 2. Advising on Securities	Carole Sassoon	methompson@aspibahamas.com	Ground Floor Ocean Centre, East Bay Street	SP-61913	Carole Sassoon	Magnal Thompson	N/A	N/A	N/A
Abaco Global Advisors Ltd.	1. Managing Securities	Oliver Notz; Michelle Knowles-Doura	onotz@abaco-ltd.com; info@abaco-ltd.com; mknowles@abaco-ltd.com	3B One Sandyport Plaza West Bay Street	SP-64168	Oliver Notz	Michelle Knowles-Doura	N/A	N/A	Oliver Notz
Acetop Global Markets Limited	1. Dealing in Securities as Agent or Principal 2. Arranging Deals	Fareda Sands	fareda@acetop.com; nichollette@acetop.com	Unit 9, North Buckner Square, Sandyport Nassau Bahamas	N/A	Fareda Sands	Nichollette Farrington	Mark Gemma	N/A	N/A
ActivTrades Corp.	1. Dealing in Securities as Agent or Principal 2. Arranging Deals	Alessandro Giuseppe Gho	agho@activtrades.com; cmorley@activtrades.com;	209/210 Church Street, Sandyport Plaza, Nassau, Bahamas	SP-64388	Alessandro Giuseppe Gho	Clesha Morley	Andrea Draghi	N/A	N/A
Aguila Consultancy Ltd.	1. Advising on Securities	Aldo Mazzella	aldo.mazzella@niswal.com; mbain@sterling-bahamas.com	Suite 205, Saffrey Square, Bay Street, Nassau, Bahamas	N/A	Aldo Mazzella	Michelle Bain	N/A	Aldo Mazzella	N/A
Akelius Invest Ltd.	1. Managing Securities	Demetrius Syllouris	demetris.syllouris@akeliusinvest.com; sandra.gilbert@akliusinvest.com	Lyford Manor, Western Road, Nassau Bahamas	AP-59223	Demetrius Syllouris	Sandra Gilbert	N/A	N/A	Demetrius Syllouris
Alliance Investment Management Ltd.	1. Dealing in Securities as Agent 2. Arranging Deals 3. Advising on Securities	Julian Brown	info@allianceinvest.com; jbrown@allianceinvest.com; comlawad@gmail.com	Carmichael Commercial Centre, 2nd Floor Suite 201, Carmichael Road	SS-19051	Julian Brown	Ruth Bowe-Darville	Julian Brown; Nolan Johnson	Julian Brown	Nolan Johnson
Allspring Global Investments, LLC	1. Managing Securities 2. Advising on Securities	Lindsay McFadden Jimmy Tran Lori Eckerle	Lindsay.McFadden@allspringglobal.com Jimmy.t.tran@allspringglobal.com loriani.eckerle@allspringglobal.com	Lyford Manor, Western Road	N-7776	Dale Winner	Jimmy Tran	N/A	Dale Winner	Dale Winner
AlpacaX Securities Ltd.	1. Dealing in Securities as Agent or Principal 2. Arranging deals in securities 3. Manging securities 4. Advising on Securities	Tarun Ajwani	tarun@alpaca.markets	Crypto Isle, Bay Street, Nassau Bahamas		Tarun Ajwani	Dave Smith	Tony Lee	Tony Lee	Tony Lee

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Alternative Investment Solutions Ltd.	1. Dealing in Securities as Agent only 2. Arranging deals in securities 3. Managing securities 4. Advising on Securities	Samir Lakkis	info@aisfg.com; samir.lakkis@aisfg.com; francisca.delva@aisfg.com; tamekia.stubbs@aisfg.com	5 Pineapple Grove, Lyford Cay	SP-64284	Samir Lakkis	Francisca Anthonicia Delva	Samir Lakkis	Tameka Stubbs Julian Benichou Sergio Estesos Vida; Samir Lakkis Nassiv Hacham; Markus Wiessler; Marie Ujueta; Miguel Solis; Richard Allford; Ignacio A. A. Torro; Arnoud Gandoulf; Karim A. Lakkis Cases; Rogelio Javier Solis Velasquez; Mina Lazic; Jad Al Boustani; Kebor Montes Palacios	N/A
Ask Capital Management Ltd.	1. Managing Securities	James Hoar	james.hoar@clairmonttrust.com; wanda.maycock@clairmonttrust.com	Clairmont Trust Company Limited Pineapple House #4 Lyford Cay	SP-642874	James Hoar	Wanda Maycock	N/A	N/A	Fouad Akkari; James Hoar; Daniel Magdolen
Axia Partners	1. Managing Securities 2. Advising on Securities	Jennifer Rolle-Cox	jennifer.rolle-cox@apms-ltd.com; contact@apms-ltd.com; iferguson@castone.com	APMS House #8 Pineapple Grove, Old Fort Bay, Western Road, New Providence	SS-19689	Christian Coquoz	Indira Ferguson	N/A	Christian Coquoz	Christian Coquoz
Axmin Capital Ltd.	1. Dealing in Securities as Principal and Agent 2. Advising on Securities	Ernesto Fridman Pardo	efridman@fridman.com.uy; mliste@axmcapital.com; jwright@winterbotham.com	Winterbotham Place, Marlborough & Queen Sts.	N-3026	Ernesto Fridman Pardo	Marcelo A. Liste	Guillermo Baeza	Guillermo Baeza; Ernesto Fridman Pardo	N/A
Axxets Management Inc.	1. Managing Securities 2. Advising on Securities	Anthony Ferguson	info@cfal.com; aferguson@cfal.com; padderley@cfal.com	Bayside Executive Park, No. 3, West Bay Street & Blake Road, Nassau, Bahamas	CB-12407	Yair Esrubilsky	Prescott Adderley	N/A	Anthony Ferguson; Yair Esrubilsky	Anthony Ferguson; Yair Esrubilsky
Bahamas Central Securities Depository (BCSD)	Clearing Facility	Melissa Campbell Alson Ferguson	mcampbell@bahamascsd.com aferguson@bahamascsd.com; info@bahamascsd.com; ltinker@thebayshoregroup.org	British Colonial Hotel Hilton #202	N-9307	Melissa Campbell	Latonia Symonette-Tinker	N/A	N/A	N/A
Bahamas International Securities Exchange (BISX)	Marketplace	Holland Grant Keith Davies	hgrant@bisxbahamas.com; kdavies@bisxbahamas.com; info@bisxbahamas.com	Suite 201, Fort Nassau Centre, British Colonial Hilton, Bay Street	EE-15672	Keith Davies	Holland Grant	N/A	N/A	N/A

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Bahamas Securities (1987) Limited	1. Advising on Securities	George C. Culmer	cculmer@bdbahamas.com; mculmer@bdbahamas.com	Gresham House' Charlotte Street South	N-10144	George C. Culmer	Myles Culmer	N/A	George C. Culmer; Myles J. Culmer	N/A
Banca del Sempione (Overseas) Ltd.	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	Simone Bertoldo	beat.meier@sempione-overseas.com; Simone.bertoldo@sempione-overseas.com bsoverseas@sempione-overseas.com rickel.trotman@sempione-overseas.com	Unit 10-12 Old Fort Bay Town Centre, Bldg. 2	N-8159	Simone Bertoldo	Rickel Alma Trotman	Edrick Cleare; Michele Piantoni; Simone Bertoldo; Benjamin Roger Pinder	Stefano Donati, Benjamin Roger Pinder	Simone Bertoldo; Stefano Donati
Banco Santander International SA	1. Dealing in Securities as Principle & Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	Toneika Russell Tamara Johnson	tjohnson@pb-santander.com; trussell@bpe-gruposantander.com;	1st Floor Caves Corporate Centre, Building A, West Bay Street and Blake Road	N-1682	Jordi Planell Pons	Tamara Joan Johnson	Jordi Planell Pons	Jordi Planell Pons	Jordi Planell Pons
BankPro Limited	1. Dealing in Securities as Agent or Principal, including underwriting 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	Nicholas Dudley Wright	n.wright@fxpro.com; a.williamson@bankpro.com; b.heastie@bankopro.com	Lyford Manor, Western Road, Nassau, Bahamas	N-7776	Nicholas Dudely Wright	Bernadette Beryl Heastie	(Vacant)	(Vacant)	(Vacant)
Bank J. Safra Sarasin (Bahamas) Ltd.	1. Dealing in Securities as Principal & Agent 2. Arranging Deals. 3. Managing Securities 4. Advising on Securities	Adrian Zadeh	adrian.zadeh@jsafrasarasin.com; israel.borba@jsafrasarasin.com; vito.marinelli@jsafrasarasin.com; helene.tison@jsafrasarasin.com; shaniqua.russell@jsafrasarasin.com	Lyford Financial Centre, Building 2, Western Road, Lyford Cay	CB-10988	Adrian Zadeh	Helene Virginie Tison Duhaze	Yantoni Zeitoune; Joao Franco	Adrian Zadeh	Adrian Zadeh Daniel Saralegui
Baxia Limited	1. Dealing in Securities as Agent or Principal, including underwriting 2. Arranging Deals 3. Managing Securities	LaTonia Symonette-Tinker	ltinker@thebayshoregroup.org; nwadud@baxiamarkets.com; admin@baxiamarkets.com	Capital Union Bank Financial Centre, Western Road, Lyford Cay, Nassau, Bahamas	N-1879	Nashith M. Wadud	LaTonia Symonette-Tinker	Nashith Wadud	N/A	(Vacant)

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BDI Limited	1. Managing Securities 2. Advising on Securities	Nicole M. Dupuy	ndupuy@bdilimited.com; cmotier@bdilimited.com; mtknowles@cfal.com	Bougainvillea Lyford Drive, Lyford Cay, Nassau, Bahamas	N-4287	Nicole M. Dupuy	Monique Knowles	N/A	Nicole M. Dupuy	Nicole M. Dupuy
Benchmark Advisors (Bahamas) Ltd.	1. Deal in Securities as Agent or Principal 2. Advising on Securities	Julian Brown	jbrown@allianceinvest.com; thenewlowerlong@gmail.com	Gresham House, Charlotte Street North	N-10144	Sandra J. Knowles	Ruth Bowe-Darville	Nolan Johnson	Nolan Johnson	N/A
Black Isle Management Services Ltd.	1. Managing Securities 2. Advising on Securities	Ian Black	ian@blackislemanagement.com Valentino@Blackislemanagement.com	104 Cotton Tree Plaza, East Bay Street, Nassau, Bahamas	PO-SS19501	Ian Black	Valentino Brown	N/A	Marc-Claudio Muller Ian Black	Bradley Crawley Ian Black Marc-Claudio Muller
Blackwell Global Investments Limited	1. Dealing in Securities as Agent or Principal, including underwriting 2. Arranging Deals 3. Managing Securities	Shavonnia Cordice	shavonnia.munroe-cordice@blackwellglobal.bs compliance@blackwellglobal.bs	Sea Sky Lane, Sandypport, Nassau, Bahamas	N/A	(Vacant)	Shavonnia Cordice	Shiwei Kwong	N/A	Shiwei Kwong
Blue Line Advisors Ltd.	1. Managing Securities	Pierre M. E. Monnard	pimo@apms-ltd.com; iferguson@caystone.com, jennifer.rolle-cox@apms-ltd.com;	APMS House #8 Pineapple Grove Old Fort Bay	SS-19689	Pierre M. E. Monnard	Indira Ferguson	N/A	N/A	Pierre M. E. Monnard
Bluebay Global Advisors Ltd.	1. Managing Securities	Roger Landucci	roger@bluebay-ltd.com; iferguson@caystone.com; jennifer.rolle-cox@apms-ltd.com;	APMS House #8 Pineapple Grove Old Fort Bay	SS-19689	Pierre Monnard	Indira Ferguson	N/A	N/A	Pierre Monnard
Britannia Bank & Trust Ltd.	1. Dealing in Securities as Agent or Principal 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	Katriena Marshall	Katriena.Marshall@britanniabanktrust.com	Caves Village, Building #2, West Bay Street, Nassau, Bahamas	N-3917	Jose Herrera	Katriena Marshall	Mateo Raimondo; Julian Adderley; Andrea Albanese; Joseph Byrne; Jose Herrera	Mateo Raimondo; Julian Adderley; Andrea Albanese; Joseph Byrne	Mateo Raimondo; Julian Adderley; Andrea Albanese; Joseph Byrne; Jose Herrera
Britannia Securities Limited (Formerly Arbitral Securities Ltd.)	1. Dealing in Securities as Agent or Principal 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	Andre Souza, Rochelle Cleare	nassau@britanniasecurities.com; asouza@britanniasecurities.com; rcleare@Birtanniasecurities.com	Unit 6, Western Business Centre, Western Road, Nassau Bahamas	SP-64093	Andre Souza	Rochelle Cleare	Alexander Iferenta; Shinique Rolle	Andre Souza	Andre Souza; Alexander Iferenta; Shinique Rolle

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Brite Advisors Ltd.	1. Advising on Securities	Adrian Flambard	adrian@touchstone-global.com	17 Olde Towne Mall, Sandy Port Nassau, Bahamas	N-10090	Adrian Flambard	Marcia Meeres	N/A	Adrian Flambard	N/A
Canaccord Genuity Emerging Markets Ltd.	1. Arranging Deals 2. Advising on Securities	LaTonia Symonette-Tinker	gmcburney@cgf.com ltinker@thebayshoregroup.org	119 Harbour Way, Ocean Club Estates, Paradise Island, Nassau, Bahamas	N -1879	Eugene McBurney	LaTonia Symonette-Tinker	N/A	Eugene McBurney	N/A
Capital Com Online Investments Ltd.	1. Dealing as agent or principal including underwriting 2. Arranging deals in securities	Prescott Edvardo Adderley	compliance.bahamas@capital.com; padderley@cfal.com; noelle.scott@capital.com	2nd Floor, Goodman's Bay Corporate Center, Nassau, Bahamas	65167	Prescott Edvardo Adderley	Noelle Paje Scott	Rupert Simon Toby Osborne	N/A	N/A
Capital Index (Global) Limited	1. Dealing in Securities as Principal & Agent 2. Arranging Deals	Anthony Ferguson Prescott Adderley	compliance@capitalindex.com; aferguson@cfal.com; padderley@cfal.com	Bayside Executive Park, No. 3, West Bay Street & Blake Road, Nassau, Bahamas	SS-19086	Anthony Ferguson	Prescott Adderley	Anthony Ferguson	N/A	N/A
Capital Union Bank	1. Dealing in Securities as Principal or Agent 2. Managing Securities 3. Advising on Securities	Nicolette Alexis Duncanson	lcl@capitalunionbank.com; patrick.zbinden@capitalunionbank.com; Nicolette.Duncanson@capitalunionbank.com; luciana.silvera@capitalunionbank.com; stanya.stuart@capitalunionbank.com; mikeiko.brown@capitalunionbank.com	CUB Financial Centre; Lyford Cay	AP-59223	Patrick Zbinden	Nicolette Alexis Duncanson	Clement Ducasse; Antoine Lacourt; Maxine Barberis; Pierre Soron; Clement Gless	Clement Ducasse; Antoine Lacourt	Clement Ducasse; Antoine Lacourt;
Capital Vision Limited	1. Managing Securities 2. Advising on Securities	Anthony Ferguson	aferguson@cfal.com; mtknowles@cfal.com	308 East Bay Street	CB-12407	Aurelien Vicart	Monique Knowles	N/A	Aurelien Vicart	Aurelien Vicart
CBH Bahamas Ltd.	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	Daniel Baron	rrolle@cbhbank.com	CBH House, East Bay Street	N-1724	Daniel Baron	Rochelle Rolle	Shamini Gibson; Jamal Wright; Daniel Baron; William Gibson; Ginarenae Chuno; Lynette Martinborough; ; Julio Vargas	Shamini Gibson; Jamal Wright; Ursula Rolle; William Gibson; Ginarenae Chuno; Guillermo Alvarez Santini; Lynette Martinborough; Julio Vargas; Daniel Baron	Ursula Rolle
CF Group Company Limited	1. Dealing in Securities as Agent only, including underwriting	Keva Ferguson; Indira Ferguson	iferguson@caystone.com	Unit 400, Fourth Floor, #1 Bay Street, British Colonial Centre of Commerce	SP-63191	Keva Ferguson	Indira Ferguson	Sze Ning	N/A	N/A

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CFAL Securities Ltd.	1. Dealing in Securities as Principal & Agent 2. Arranging Deals. 3. Managing Securities 4. Advising on Securities	Anthony Ferguson	aferguson@cfal.com; info@cfal.com; mtknowles@cfal.com	308 East Bay Street	CB-12407	Anthony Ferguson	Monique Knowles	Pamela Musgrove; Tiffany Angelique Smith; Jeanelle Christal Francis; Anna Zervos;	Dwayne Swann; Shafegah Duncanson;	N/A
Clairmont Trust Company Limited	1. Managing Securities 2. Advising on Securities	Miles Evans	fsd@clairmonttrust.com; miles.evans@clairmonttrust.com; reporting@clairmonttrust.com; wanda.maycock@clairmonttrust.com	#4 Pineapple House, Lyford Cay	SP-64284	Miles Evans	Wanda Maycock	N/A	Miles Evans; James Hoar; John Jephson; Jaime Stewart	Miles Evans; James Hoar; John Jephson; Jaime Stewart
Colina Financial Advisors Limited (CFAL)	1. Managing Securities 2. Advising on Securities	Anthony Ferguson	aferguson@cfal.com; info@cfal.com; sthurston@cfal.com; mtknowles@cfal.com	308 East Bay Street	CB-12407	Anthony Ferguson	Monique Knowles	N/A	Anthony Ferguson Pamela Musgrove	Anthony Ferguson Pamela Musgrove
Coralisle Pension Services (Bahamas) Limited	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	Larry Gibson	Larry.Gibson@cgoralisle.com; Jonelle.Gardiner@cgoralisle.com; Derek.Smith@cgoralisle.com; BS_BahAMLCompliance@cgoralisle.com;	2nd Floor Atlantic House, Collins Avenue	SS-6246	Larry Gibson	Derek Smith	Kriston Moore	Larry Gibson	(Vacant)
Core Capital Partners Ltd.	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	Kristy Kemp	kkemp@paradigm-advisor.com; asm@ccpl.capital	#3 Windsor Business Park Nassau, Bahamas	SP-63035	(Vacant)	Kristy Kemp	Alma McIntosh	Alma McIntosh	Alma McIntosh
Corner Bank (Overseas) Limited	1. Dealing in Securities as Agent or Principal, Including Underwriting 2. Managing Securities 3. Advising on Securities	Christine Russell	christine.russell@corner.bs; ruch@corner.bs; info@corner.bs; jennifer.rahming@corner.bs	Lyford House, Lyford Cay	N-7134	Christine Russell	Jennifer Rahming	Davide Sergio Bonetti; Shakema Sweeting; Eronjha McIntosh; Shevonne Rahming	Davide Sergio Bonetti; Birgit Ludig-Dridi; Annamarie Garraway; Orazio Defilippis; Andrea Fronzoni	Orazio Defilippis Davide Sergio Bonetti
CPL Advisors Limited	1. Managing Securities	Jonecka Wright	JWright@winterbotham.com	Winterbotham Place Marlborough & Queen Streets	N- 3026	Agustin del Rio	Anja Charles	N/A	N/A	Agustin del Rio

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Credit Suisse AG, Nassau Branch	1. Dealing in Securities as Principal & Agent 2. Arranging Deals. 3. Managing Securities 4. Advising on Securities	Lakeisha Sands Jeunesse Osadebay-Bullard Ghislane Wilkinson; list.csnbnotice@credit-suisse.com;	lakeisha.k.sands@credit-suisse.com; jeunesse.osadebaybullard@credit-suisse.com ghislane.wilkinson@credit-suisse.com;	4th Floor The Financial Centre, Shirley & Charlotte Streets	N-4928	Lakeisha Sands	Jeunesse Osadebay-Bullard	Thomas Markus Karrer; Oliver Turner; Guilherme Bianchi; Pedro Moreira Alexandre	Thomas Markus Karrer; Guilherme Bianchi; Leonam Oliveira; Oliver Turner; Pedro Moreira Alexandre	(Vacant)
Credit Suisse Brazil (Bahamas) Limited	1. Dealing in Securities as Principal & Agent	Lakeisha Sands Jeunesse Osadebay-Bullard Ghislane Wilkinson; list.csnbnotice@credit-suisse.com;	lakeisha.k.sands@credit-suisse.com jeunesse.osadebaybullard@credit-suisse.com ghislane.wilkinson@credit-suisse.com;	4th Floor Bahamas Financial Centre, Shirley & Charlotte Streets	N-3241	Lakeisha Sands	Jeunesse Osadebay-Bullard	N/A	N/A	N/A
Crescendo (Bahamas) Ltd.	1. Managing Securities 2. Advising on Securities	Claude Philip Meier	cm@crescendo-bahamas.com; nb@crescendo-bahamas.com sb@crescendo-bahamas.com; pklonaris@delaneypartners.com	Western Business Center, Western Road, Nassau, Bahamas	SP-64412	Claude Philip Meier	Natasha Michelle Bastian	Damian Eustan Forbes	Claude Philip Meier Damian Eustan Forbes	Claude Philip Meier Damian Eustan Forbes
Dartley Securities Limited	1. Dealing in Securities as Agent	Dillon Dean	s.patton@dartley.com.bs operations@dartley.com.bs ddean@equitybahamas.com;	Equity House, Caves Village Plaza, West Bay Street & Blake Road	CB-11838	Dillon Dean	Sally Patton	Thomas Strachan	N/A	N/A
Delessert Asset Management Ltd.	1. Managing Securities 2. Advising on Securities	Anthony Ferguson	A Ferguson@cfal.com; padderley@cfal.com	Bayside Executive Park, No. 3, West Bay Street & Blake Road, Nassau, Bahamas	CB-12407	Anthony Ferguson	Prescott Adderley	N/A	Anthony Ferguson	Anthony Ferguson
Deltec Bank & Trust Ltd.	1. Dealing in Securities as Principal & Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	Odetta Morton	sas@deltecbank.com; omorton@deltecbank.com;	Deltec House, Lyford Cay	N-3229	Odetta Morton	Shelly Cooke	Vasilia Mavros; Audra Dean; William Godet; Vivienne Watts; Robert Turnquest; Janeen Bethel; Cherisse A. Forbes	Vasilia Mavros; Vivienne Watts; Marcia Adderley; Giovanni Pirana; Paul Stappard;	Stefano Pral; Vivienne Watts; Marcia Adderley; Robert Turnquest; Giovanni Pirana; Paul Stappard;
Deltec Investment Advisers Limited	1. Managing Securities 2. Advising on Securities	Shareece Scott	sas@deltecbank.com; odg@deltecbank.com;	Deltec House, Lyford Cay	N-3229	Jean Chalopin	Shelly Cooke	N/A	Marcia Adderley; Robert Turnquest;	Marcia Adderley; Robert Turnquest;

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Deltec Securites Ltd.	1. Dealing in Securities as Principal & Agent 2. Arranging Deals 3. Managing Securities	Shareece Scott	sas@deltecbank.com; odg@deltecbank.com;	Deltec House, Lyford Cay	N-3229	Robert Turnquest	Shelly Cooke	Audra Dean	N/A	Robert Turnquest
Ebonex Bahamas Limited	1. Dealing as agent or principal including underwriting 2. Arranging deals in securities 3. Managing Securities on a discretionary basis 4. Advising on securities	Desiree Parker-Burrows	desiree.parker@ebonex.io; raymond.cheng@ebang.com; rayneth.darling@ebonex.io	223-226 Island Lane, Sandypport Marina		Desiree Lavonne Parker-Burrows	Rayneth Darling	Man Kwong Cheng; Chi Chung Ki	Man Kwong Cheng; Chi Chung Ki	Man Kwong Cheng; Chi Chung Ki
EFG Bank & Trust (Bahamas) Ltd.	1. Dealing in Securities as Principal & Agent 2. Arranging Deals. 3. Managing Securities 4. Advising on Securities	Charles Newbold Maria Dorsett	efgbahamas@efgbank.com; maria.dorsett@efgbank.com; Alexandre.SAMPEDRO@efgbank.com, charles.newbold@efgbank.com	Goodman's Bay Corporate Centre, 3rd Floor, West Bay Street and Sea View Drive	CB 10956	Alexandre Sampedro	Maria Dorsett	Armando Neto, Alexandre Suarez	Nicole Leonard; Robert S. Morrison; Katty Ferguson; Armando Neto; Douglas Blank De Sousa Pereira; Carlos D'Alessandro; Alexandre Suarez	(Vacant)
Eightcap Global Limited	1. Dealing in Securities as Principal & Agent 2. Arranging Deals.	Andrew Rolle	Joel.murphy@eightcap.com	Sea Sky Lane, Sandypport, Nassau, Bahamas	SP 64407	Andrew Rolle	(Vacant)	Joel Murphy	N/A	N/A
Elco Securities Limited	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	E. Isaac Collie	isaac@elco-bank.com; derek@elco-bank.com info@elco-bank.com; elcobank@batelnet.bs;	Loyalist Plaza, Don Mackey Boulevard, Marsh Harbour, Abaco	AB-20377	E. Isaac Collie	J. Derek Benjamin	David Hoang	Lawrence Collie	E. Isaac Collie
Equity Bank Bahamas Limited	1. Dealing in Securities as Principal & Agent 2. Arranging Deals. 3. Managing Securities 4. Advising on Securities	Dillon Dean	ddean@equitybahamas.com; info@equitybahamas.com;	Equity Trust House, Caves Village, West Bay Street	N-10697	Dillon Dean	Robyn Newton	Dillon Dean	Dillon Dean	Dillon Dean
EuroBanco Bank Limited	1. Dealing in Securities as Agent	Natividad Esther Benitez	ebenitez@eurobancobankltd.com	Suite 5 Caves Professional Center, West Bay Street & Blake Road	N-1114	(Vacant)	Natividad Esther Benitez	(Vacant)	N/A	N/A

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Exmer Partners Limited	1. Managing Securities	Ivan Hooper	acharles@winterbotham.com; ihooper@winterbotham.com	Winterbotham Place, Marlborough & Queen Streets	SP-62557	Ivan Hooper	Anja Marie Charles	N/A	N/A	Ivan Hooper
Extiber Ltd.	1. Managing Securities 2. Advising on Securities	Flavio De Paulis	Nassau@extiber.com; fdp@extiber.com; naa@extiber.com	212 Church St., Sandyport, West Bay Street	SP-62238	Flavio De Paulis	Nathan Adderley	N/A	Alessandro Russo	Alessandro Russo
FAM Fund and Asset Management Ltd.	1. Managing Securities 2. Advising on Securities	Indira Ferguson	contact@apms-ltd.com; iferguson@caystone.com; jennifer.rolle-cox@apms-ltd.com;	Halsbury Commercial Centre, Suite #2, Village Road North	SS - 19689	Christian Coquoz	Indira Ferguson	N/A	Christian Coquoz	Christian Coquoz
Faro Capital Investors Ltd.	1. Arranging Deals 2. Advising on Securities	Khalila Duncombe	federico.riege@faroinvestors.com; khalila.duncombe@faroinvestors.com; tyrone@accordbahamas.com	4th Floor, Lyford Cay House, Western Rd	N-7776	Federico Andres Riege	Edison Neely	N/A	Khalila Tsavousis-Duncombe	N/A
FC Capital Investments Ltd.	1. Managing Securities 2. Advising on Securities	Alexander Martin Murbach	alexander@fccibahamas.com; leo@fccibahamas.com; tyrone@accordbahamas.com	#4 Pineapple Grove, Western Road, Lyford Cay	SS-19027	Alexander Martin Murbach	Edison Neely	N/A	Leo Betschart; Tiffany Jones	Leo Betschart; Tiffany Jones
Fidelis Advisors Ltd.	1. Managing Securities 2. Advising on Securities	Miles Evans	Miles.Evans@clairmonttrust.com; Compliance@clairmontwealth.com; wanda.maycock@clairmonttrust.com	#4 Pineapple House, Lyford Cay	SP-64284	Miles Evans	Wanda Maycock	N/A	Miles Evans; Osman Amre Youness	Miles Evans; Osman Amre Youness
First Overseas Bank Limited	1. Dealing in Securities as Principal & Agent	Carlos Walton	cwalton@firstoverseasbank.com; info@firstoverseasbank.com; rvillalba@firsoverseasbank.com	Office #2, Building #8, Caves Village Professional Centre, West Bay Street	CB-11903	Carlos Walton	Ricardo Leandro Villalba	Carlos Walton	N/A	N/A
FirstCaribbean International Bank (Bahamas) Limited	1. Dealing in Securities as Principal & Agent 2. Arranging Deals. 3. Managing Securities 4. Advising on Securities	Sherrylyn Bastian	Sherrylyn.Bastian@cibcfib.com	FirstCaribbean International Bank Financial Centre, 2nd Floor, Shirley Street	N-3221	Jacqueline Bend	(Vacant)	Gina Mackenzie; Sean Blyden; Brent Haines;	Brent Haines;	Gina Mackenzie
FirstCaribbean International Trust Company (Bahamas) Limited	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	Perry A Rolle	perry.rolle@cibcfib.com;	1st Floor Goodman's Bay Corporate Centre, West Bay Street & Seaview Drive	N-3933	Perry A Rolle	Joan Rahming	(Vacant)	(Vacant)	(Vacant)

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Registrants as at 31 October 2023

LICENSEE	CATEGORIES	CONTACT PERSON	EMAIL	ADDRESS	P.O. BOX	Registered Representatives				
						CEO	COMPLIANCE OFFICER	TRADING REP	ADVISING REP	MANAGING REP
First Prudential Markets (Global) Ltd.	1. Dealing in Securities as Principal & Agent 2. Arranging Deals	Craig Mckinlay Allison	c.allison@fpmarkets.com; s.connolly@fpmarkets.com; m.paisanou@fpmarkets.eu	Sea Sky Lane Sandypport, West Bay Street		Craig Mckinlay Allison	(Vacant)	Thomas Peter Roberts	N/A	N/A
Fitpart Fund Administration Services Ltd.	1. Managing Securities 2. Advising on Securities	Fernando Prado	fernando@fitpart.com.br; bethell@fitpart.bs; iferguson@caystone.com	Bahamas Financial Centre, 4th floor, Shirley & Charlotte Streets	CB-13515	Fernando Prado	Indira Ferguson	N/A	Fernando Prado	Fernando Prado
FK Capital Management Ltd.	1. Managing Securities 2. Advising on Securities	Jorge Finkler Braverman	efinkler@fkcapitalmanagement.com; contact@caystonesolutions.com; iferguson@caystone.com	2nd Floor Goodman's Bay Corporate Center Nassau, Bahamas	SP-61567	Jorge Finkler Braverman	Indira Ferguson	N/A	Jorge Finkler Braverman; Eduardo Finkler Braverman	N/A
Fusion Markets Ltd.	1. Dealing in Securities as Principal or Agent 2. Arranging Deals and trading CFD's	Tina Glinton Dave Smith	dave.smith@fusionmarkets.com tina.glinton@fusionmarkets.com	Sea Sky Lane B201 Sandypport West Bay Street Nassau, Bahamas	N/A	Dave Smith	Tina Glinton	David Swinden	N/A	N/A
FxPro Global Markets Ltd.	1. Dealing in Securities as Principal & Agent	Denis Sukhotin	e.gavotti@fxpro.co.uk; n.wright@fxpro.com; denis@fxpro.com; compliance@fxpro.global	Lyford Manor, Western Road, Nassau, Bahamas	N-7776	Denis Sukhotin	Eduardo Gavotti	Denis Sukhotin	N/A	N/A
GEM Global Equities Management S.A.	1. Managing Securities 2. Advising on Securities	Anthony Inder Rieden	ceo@euro-dutch.com; eric@euro-dutch.com	Templeton Building, West Bay Street	N-9204	Anthony Inder Rieden	Eric Strachan	N/A	Anthony Inder Rieden	Anthony Inder Rieden
Global Advisors Partners Ltd.	1. Managing Securities 2. Advising on Securities	Alexandre Vancoff; Ivano Alliata	alex@globalnassau.com; edgarseligman74@gmail.com; ivano@globalnassau.com	3rd Floor Coin of the Realm Building Charlotte Street	N-9471	Alexandre Vancoff	Edgar Seligman	N/A	Ivano Alliata Alexandre Vancoff	Alexandre Vancoff, Ivano Alliata
Gonet Bank & Trust Limited	1. Dealing in Securities as Principal & Agent 2. Arranging Deals. 3. Managing Securities 4. Advising on Securities	Jonathan Cartwright	A_GBT_Compliance@bankgonet.com	Offices at Old Fort Bay, West Bay St.	SP-61302	Jonathan Cartwright	Wendell Alick Gardiner	Ingrid Taylor; Valentin Sevilla; Valerie Garcia	Ingrid Taylor; Valentin Sevilla; Patrick Guye-Bergeret; Valerie Garcia	Ingrid Taylor; Valentin Sevilla; Valerie Garcia

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LICENSEE	CATEGORIES	CONTACT PERSON	EMAIL	ADDRESS	P.O. BOX	Registered Representatives				
						CEO	COMPLIANCE OFFICER	TRADING REP	ADVISING REP	MANAGING REP
GQ Capital Markets Limited	1. Dealing in Securities as Principal & Agent 2. Arranging Deals.	Hubert Edwards	Hubert.edwards@gqcmco.com; duhiza.smith@gqcmco.com; compliance@gqcmco.com; biz@gqcmco.com	104 Island Lane, Olde Towne, Sandypport, Nassau, The Bahamas	SP-64195	Hubert Edwards	Duhiza Taylease S. Smith	Keith Christopher Kirksey	N/A	N/A
Green Cay Advisory Ltd.	1. Managing Securities 2. Advising on Securities		jb@greencay.com.bs; vpiantedosi@siriob.com; iferguson@caystone.com	Building #2, Caves Village	N-7776	Joseph Byrne	Indira Ferguson	N/A	Joseph Byrne	Joseph Byrne
Gryphon Investment Advisors Bahamas Limited	1. Arranging Deals. 2. Managing Securities 3. Advising on Securities	K. Kelly Nottage Ira Z. Crystal	knottage@sovereign-advisors.com zev@giabhs.com CFM@g2t.net	Albany Financial Center South Ocean Blvd Nassau, Bahamas		Ira Z. Crystal	Alva Henfield	N/A	Ira Z. Crystal	Ira Z. Crystal
High Tech Invest Limited	1. Dealing in Securities as Principal or Agent, including underwriting 2. Arranging deals in Securities	Georgianne Robinson	compliance@invest-ht.com	Sea Sky Lane, Sandypport, Nassau, Bahamas	N/A	Dimitar Dobrinov Getov	Gerogianne Robinson	Dimitar Dobrinov Getov	N/A	N/A
Holdun Family Office (Bahamas) Limited	1. Managing Securities	Brendan Dunn	bdunn@holdun.com; compliance@holdun.com;	Albany Financial Center Suite 303	SP-63158	Brendan Dunn	Kristy Kemp	N/A	N/A	Brendan Dunn
Holowesko Partners Ltd.	1. Managing Securities 2. Advising on Securities	Mark Holowesko	mholowesko@holoweskopartners.com; ereynolds@holoweskopartners.com; compliance@holoweskopartners.com; kzyp@holoweskopartners.com	Shipston House, West Bay Street, Lyford Cay	N-7776(78)	Mark Holowesko	Karen Zyp	N/A	Mark Holowesko, Richard Farrington, Gregory Cleare	Mark Holowesko, Richard Farrington, Gregory Cleare
Huber Management Ltd.	1. Managing Securities 2. Advising on Securities	Bernard Huber	ce@hubermanagement.com; bh@hubermanagement.com; jlw@hubermanagement.com	Top Floor No. 1, CUB Financial Center, Lyford Cay, Nassau, Bahamas	N-7139	Bernard Huber	Jennifer Wells	N/A	Bernard Huber Juan Zatarain	Bernard Huber Juan Zatarain
IC Markets Ltd.	1. Dealing in Securities as Principal or Agent, including underwriting	La'Tonia Symonette-Tinker Theresa Haven-Adderley	ltinker@thebayshoregroup.org t.haven@icmarkets.com compliancebh@icmarkets.com;	Western Business Center, Unit 3B, Upper Floor Western Road, Nassau, Bahamas	N-272	La'Tonia Symonette-Tinker	Theresa Haven-Adderley	Andrew Budzinski	N/A	N/A
Inteligo Bank Ltd.	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	Bruno Ferreccio Del Rio	bferreccio@inteligogroup.com; srodriguez@inteligogroup.com	1st Floor, Seventeen Shop Building, Fourth Terrace, Collins Avenue, Nassau, Bahamas	N-3732	Bruno Ferreccio Del Rio	Silka Yaneth Rodriguez Mendez	Bruno Ferreccio Del Rio	Bruno Ferreccio Del Rio	Bruno Ferreccio Del Rio

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LICENSEE	CATEGORIES	CONTACT PERSON	EMAIL	ADDRESS	P.O. BOX	Registered Representatives				
						CEO	COMPLIANCE OFFICER	TRADING REP	ADVISING REP	MANAGING REP
Investar Securities Ltd.	1. Dealing in Securities as Agent or Principle 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	Ansel Watson	awatson@ivstar.com; sands@brickellbahamas.com;	#14 University Drive	SS-19086	Ansel Watson	Omar Sands	Ansel Watson	Ansel Watson	Ansel Watson
ITG Bahamas Inc.	1. Dealing in Securities as Agent or Principle 2. Arranging Deals 3. Advising on Securities	Benjamin A. Shaw	benshaw@itg84.com; seandebotte@itg84.com	Templeton Building, Western Road, Nassau Bahamas		Benjamin A. Shaw	Italia Donnice Cartwright	Benjamin A. Shaw	Benjamin A. Shaw	N/A
IX Capital Group Limited	1. Dealing in Securities as Agent or Principal 2. Arranging Deals 3. Managing Securities	Anthony Stubbs	anthony.stubbs@infinox.com sherella.gibson-sturup@infinox.com	Balmoral Corporate Centre, Unit B Sanford Drive	SP-62756	Anthony Stubbs	Sherella Gibson-Sturup	Ulas Akincilar	N/A	Fei Wang
J&M Global Company Ltd.	1. Dealing in Securities as Agent or Principal 2. Arranging Deals 3. Managing Securities	LaTonia Symonette-Tinker	ltinker@thebayshoregroup.org;	4 Buckner Square, Sandyport	N 1879	Paul Bartlett	Latonia Symonette-Tinker	Daniel Hao	N/A	Daniel Hao
J. Safra Sarasin Asset Management (Bahamas) Ltd.	1. Managing Securities 2. Advising on Securities	Daniel Kamkahji	daniel.kamkahji@jsafarasasin.com; Eirene.gatis@jsafarasasin.com; Corporate_Legal@jsafarasasin.com	Lyford Financial Centre, Building 2, Western Road, Lyford Cay	CB-10988	Daniel Kamkahji	Helene Virginie Tison Duhaze	N/A	Daniel Kamkahji	Daniel Kamkahji
Jefferson Capital Limited	1. Managing Securities 2. Advising on Securities	Ali Bilgrami	a.bilgrami@jeffersongroup.com; corporate@genesisservices.com; smunroe@genesisservices.com	5th Floor 308 East Bay Street	N-9058	Ali Bilgrami	Shonalee Munroe	N/A	Khofiz Shakhidi	Ali Bilgrami
Kirkoswald Global Management Services Ltd.	1. Dealing in Securities as Principal & Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	Tyrone McKenzie	tyrone.mckenzie@gcmanagement.co.uk david.hassan@kirkoswald.com greg.coffey@kirkoswald.com ginal@landcorp.net	Suite 4B, Albany Financial Centre Lewis Drive Nassau, Bahamas	SP-63158	Gregory Coffey	David Abdo Hassan	Gregory Coffey	Gregory Coffey	Gregory Coffey

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LICENSEE	CATEGORIES	CONTACT PERSON	EMAIL	ADDRESS	P.O. BOX	Registered Representatives				
						CEO	COMPLIANCE OFFICER	TRADING REP	ADVISING REP	MANAGING REP
LCG Capital Markets Limited	1. Dealing in Securities as Principal & Agent 2. Arranging Deals	Sean Munnings	sean.munnings@lcg.com; Philip.Dorsett@lcg.com	5 North Buckner Square Olde Towne, Sandyport West Bay Street	N-272	Sean Munnings	Philip Dorsett	Rosario Jean Frederic Perfetto	N/A	N/A
Leno Corporate Services Limited	1. Dealing in Securities as Principal & Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	Sean Longley	info@lenobahamas.com; slongley@lenocorporate.com; jab@bostwicklawpartners.com	2nd Floor Pineapple Place, Bernard Road	N-8339	Sean Longley	Julian Bostwick	Kerah Farquharson; George A. White; Earvin A. Rahming; Sonia Beneby	Kerah Farquharson; George A. White; Earvin A. Rahming; Sonia Beneby	N/A
Levant Advisors Ltd.	1. Managing Securities 2. Advising on Securities	Angelo Butler; Prescott Adderley; Anthony Ferguson	abutler@cfal.com; padderley@cfal.com; aferguson@cfal.com;	3rd Floor Bayview House, #308 East Bay Street	CB-12407	Angelo Butler	Prescott Adderley	N/A	Anthony Ferguson	Anthony Ferguson
Levant Securities Ltd.	1. Dealing in Securities as Principal & Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	Anthony Ferguson Prescott Adderley	aferguson@cfal.com; padderley@cfal.com	Bayside Executive Park, No. 3, West Bay Street & Blake Road, Nassau, Bahamas	CB-12407	Anthony Ferguson	Prescott Adderley	Anthony Ferguson	Anthony Ferguson	Anthony Ferguson
Lighthouse Asset Management Ltd.	1. Managing Securities 2. Advising on Securities	Riccardo Romani	rr@lighthouse-am.com; cer@lighthouse-am.com	Offices at Old Fort Bay, Building 4 Suite 303, Western Road, Lyford Cay	N-7776	Riccardo Romani	Calvin Rolle	N/A	Riccardo Romani; Rosella Dack	Riccardo Romani; Rosella Dack
Lion Capital Partners Ltd.	1. Arranging Deals 2. Managing Securities 3. Advising on Securities	Lyndon Lea	lea@lioncapital.com; tester@lioncapital.com	Caves Village , Building 2 West Bay Street		Lyndon Lea	Nathan Adderley	N/A	Lyndon Lea	Lyndon Lea
LOM Financial (Bahamas) Limited	1. Dealing in Securities as Principal & Agent 2. Advising on Securities	Craig Lines	craig.lines@lom.com; compliance@lom.com; jalinka.strachan@lom.com; scott.hill@lom.com	Building 4 Caves Village , West Bay Street	AB-12762	Craig Lines	Jalinka Strachan	(Vacant)	Nakhazia Gay	N/A
Lombard Odier & Cie (Bahamas) Limited	1. Dealing in Securities as Principal & Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	Eduard Zoueïn	e.zoueïn@lombardodier.com; v.maura@lombardodier.com; compliance-nassau@lombardodier.com	Goodman's Bay Corporate Centre, West Bay Street & Seaview Drive	N-4938	Eduard Zoueïn	Venetia Maura	(Vacant)	Claudio Godenzi; Maurice Chiacchiari; Roberto Berbey; Alberto Inguaggito; Eduard Zoueïn	Claudio Godenzi; Maurice Chiacchiari; Roberto Berbey; Alberto Inguaggito; Eduard Zoueïn

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LICENSEE	CATEGORIES	CONTACT PERSON	EMAIL	ADDRESS	P.O. BOX	Registered Representatives				
						CEO	COMPLIANCE OFFICER	TRADING REP	ADVISING REP	MANAGING REP
LT Green Capital Investment Limited	1. Managing Securities 2. Advising on Securities	Edson Ito	edsonito@hotmail.com; iferguson@caystone.com	3rd Floor Bayview House, 308 East Bay Street	CB-12407	Edson Ito	Indira Ferguson	N/A	Edson Ito	Edson Ito
Lydda Capital Ltd.	1. Dealing in Securities as Principal or Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	Anthony Ferguson	aferguson@lyddacap.com; info@lyddacap.com; padderley@cfal.com	Bayside Executive Park, No. 3, West Bay Street & Blake Road, Nassau, Bahamas	CB-12407	Anthony Ferguson	Precott Adderley	Anthony Ferguson	Anthony Ferguson	Anthony Ferguson
Macro Bank Limited	1. Dealing in Securities as Agent 2. Arranging Deals	Rosina Simeto	rsimeto@macrobankltd.com; sgiani@macrobankltd.com	Building 8 Office 1, Caves Village, West Bay Street	N-4444	Rosina Simeto	Stella Giani	Rosina Simeto	N/A	N/A
Magellan Securities Limited	1. Managing Securities 2. Advising on Securities	Robert Davidowski	bdavidowski@mfl.bs; nadderley@mfl.bs; itowell@mfl.bs	Suite 232, The Island Lane Building, The Olde Towne at Sandyport	CB-13366	Robert Davidowski	Nathan Adderley	Meredith Miller; Destiny Rollins Michael Slack	Robert Davidowski; Ian Towell;	Robert Davidowski
Magnum Global Advisors Ltd.	1. Managing Securities	Christian Coquoz	cc@magnum-ltd.com; contact@apms-ltd.com; iferguson@caystone.com; jennifer.rolle-cox@apms-ltd.com;	APMS House, #8 Pineapple Grove, Old Fort Bay, Western Road, Nassau, Bahamas	SS-19689	Christian Coquoz	Indira Ferguson	N/A	N/A	Christian Coquoz
Marlin Capital Partners Limited	1. Managing Securities 2. Advising on Securities	Zachary Lyons	iferguson@caystone.com; zlyons@marlinadvisory.com	CUB Financial Centre, Western Road, Nassau, Bahamas	N-4469	Zachary Lyons	Indira Ferguson	N/A	Zachary Lyons; Richard Heathcote Andrew Pajakowski	Zachary Lyons; Richard Heathcote Andrew Pajakowski
Mdollaz Ltd. (Trading as ArawakX)	Marketplace & Clearing Facility	D'Arcy Rahming Sr.	darcy.sr@arawakx.com	107 Church Street, Sandyport, Nassau, Bahamas	SP 64428	D'Arcy Rahming Sr.	(Vacant)	N/A	N/A	N/A
Metainvest Capital Management Ltd.	1. Managing Securities 2. Advising on Securities	Riccardo Sigon	gsoslimited@gmail.com; ltinker@thebayshoregroup.org	212 Church Street, Old Towne Sandyport	N-3205	Riccardo Sigon	Latonia Symonette-Tinker	N/A	Riccardo Sigon	N/A
Mint Capital Advisors Ltd.	1. Managing Securities	Eric Strachan	Eric@mint-advisors.com; backoffice@mint-advisors.com	Templeton Building, West Bay Street	N-9204	Eric Strachan	Neil Major	N/A	N/A	Eric Strachan
MMG Bank & Trust Ltd.	1. Dealing in Securities as Agent 2. Managing Securities 3. Advising on Securities	Pachridee Hanna-Ferguson	bahamas.team@mmgbank.com; pachridee.ferguson@mmgbank.com; cecil.ferguson@mmgbank.com	Suite 102, 1st Floor, Saffrey Square, Bay Street & Bank Lane	N-4889	(Vacant)	Cecil Ferguson	(Vacant)	(Vacant)	(Vacant)

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LICENSEE	CATEGORIES	CONTACT PERSON	EMAIL	ADDRESS	P.O. BOX	Registered Representatives				
						CEO	COMPLIANCE OFFICER	TRADING REP	ADVISING REP	MANAGING REP
Mosaic Financial Ltd.	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	Shameka Fernander	shameka.fernander@mosaic-financial.com; laterio.culmer@mosaic-financial.com	Offices at Old Fort Bay Building #4, Western Road	SP-63771	Shameka Fernander	Laterio Culmer	Shameka Fernander	Robert Jensen	Robert Jensen
Nexor (Bahamas) Ltd.	1. Managing Securities 2. Advising on Securities	Daniel-Marc Brunner	compliance@nexor.am;	Suite 508 Albany Financial Center, South Ocean Boulevard,	AP-59205-3380	Daniel-Marc Brunner	Michelle Bain	N/A	Werner Gruner; Daniel-Marc Brunner	Werner Gruner; Daniel-Marc Brunner
O'Cof Securities Limited	1. Dealing as agent only including underwriting; and 2. Arranging deals in securities	N'Nhyn Mhln Fernander	nnhynfernander@gmail.com; admin@Ocoffinancial.com	Unit #7, Elizabeth on the Bay, Nassau, Bahamas	EE 16320	N'Nhyn Mhln Fernander	(Vacant)	Oswald Frederick Moore	N/A	N/A
Octogone Fund Management Ltd.	1. Managing Securities 2. Advising on Securities	Nathan Adderley	na@octogone.com.bs	No. 3 Offices Old Fort Bay, Western Rd.	SP-63157	George Pericles Maillis	Nathan Adderley	N/A	George Pericles Maillis; James Walker	George Pericles Maillis; James Walker; Pablo Fuertes
Oracle Capital Advisors Ltd.	1. Dealing in Securities as Agent 2. Managing Securities 3. Advising on Securities	Oleg Nikityuk	rmiller@sterling-bahamas.com; oleg.n@orcap-group.com	Suite 205A Saffrey Square, Bank Lane and Bay Street	N-9934	Oleg Nikityuk	Raynell Miller	Oleg Nikityuk	Oleg Nikityuk	Oleg Nikityuk
Palm Global Capital Ltd	1. Dealing in Securities as Agent or Principal 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	Anthony Ferguson	aferguson@cfal.com; padderley@cfal.com	Bayside Executive Park, No. 3, West Bay Street & Blake Road, Nassau, Bahamas	CB-12407	Anthony Ferguson	Prescott Adderley	Anthony Ferguson	Anthony Ferguson	Anthony Ferguson
Pepperstone Markets Limited	1. Dealing in Securities as Agent or Principal, including Underwriting 2. Arranging Deals 3. Managing Securities	Reece Chipman	compliance.bs@pepperstone.com reece.chipman@pepperstone.com duhiza.smith@pepperstone.com	Sea Sky Lane B201 – 204 Sandypport West Bay Street Nassau, Bahamas		Reece Chipman	Duhiza Taylease S. Smith	(Vacant)	N/A	(Vacant)

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LICENSEE	CATEGORIES	CONTACT PERSON	EMAIL	ADDRESS	P.O. BOX	Registered Representatives				
						CEO	COMPLIANCE OFFICER	TRADING REP	ADVISING REP	MANAGING REP
Phoenix Capital Ltd.	1. Managing Securities 2. Advising on Securities	Luca Carlo Pasquale Lanciano	luca@phoenix.bs; compliance@phoenix.bs	212 Church Street, Old Towne Sandyport	SP-64377	Luca Carlo Pasquale Lanciano	LaTanya Hamilton	N/A	Luca Carlo Pasquale Lanciano; Carla Taylor	Luca Carlo Pasquale Lanciano; Carla Taylor
Pictet Bank & Trust Limited	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	Janique Duvalier	jduvalier@pictet.com nas_finance@pictet.com	Bayside Executive Park, Building 1, West Bay Street & Blake Road	N-4837	(Vacant)	Janique Duvalier	Tamica Rolle; Donna Storr; Miriam Scott; Nikola Ruzicic- Kessler; Kristen N. Sweeting; Melan Georges	Monica Millers; David Diaz; Jeremy Stuby; Isabelle Fiaux; Jose Suarez; Karol Czartoryzki; Carlos De La Torre; Manuel Garcia; Jose Antonio Gago; Fernando Luperi Guerra; Nikola Ruzicic-Kessler; Kristen N. Sweeting; Dionne Curry; Jeremy Proffitt	David Diaz; Nikola Ruzicic-Kessler; Typres Sturrrup
Platinum Portfolio Management Ltd.	1. Managing Securities 2. Advising on Securities	Blair Robert Panes Sunita Maharaj-Bonamy	rob.panes@gmail.com sbonamy@sterlingbt.com	Suite #200, 1 Marina Drive Sterling Commons Paradise Island Nassau, The Bahamas	N-1812	Blair Robert Panes	Sunita Maharaj-Bonamy	N/A	Blair Robert Panes	Blair Robert Panes
Plotio Global Financial Limited	1. Dealing in Securities as Agent or Principal, including underwriting 2. Arranging Deals in Securities	Vincent Laurent King Latonia Symonette-Tinker	vking@vlkdirectors.com; ltinker@thebayshoregroup.org;	Capital Union Bank Financial Centre, Western Road, Lyford Cay, Nassau, Bahamas	N-1879	Vincent Laurent King	Latonia Symonette-Tinker	Tsz Hong Fung	N/A	N/A
PLUS500BHS Ltd	1. Dealing in Securities as Agent or Principal, including underwriting	Prescott Edvardo Adderley	padderley@CFAL.COM	Bayside Executive Park, No. 3, West Bay Street & Blake Road, Nassau, Bahamas		Prescott Edvardo Adderley	Monique Terez Knowles	Anthony Robert Ferguson	N/A	N/A
Prevatt Capital Ltd.	1. Managing Securities 2. Advising on Securities	Jonathan Israel Tepper	jonathan@prevattcapital.com; iferguson@caystone.com	Lyford Cay House, Western Road	N 7512	Jonathan Israel Tepper	Indira Ferguson	N/A	Jonathan Israel Tepper	Jonathan Israel Tepper
Primo Investment Advisors Limited	1. Managing Securities 2. Advising on Securities	Ecio Silva	dayana@primo.bs compliance@caystonesolutions.com compliance@primoinvestment.com	2 Lyford Cay Financial Centre, Western Road, Centre of Commerce Nassau, Bahamas	SP-63948	Ecio Silva	Indira Ferguson	N/A	Ecio Silva	Ecio Silva

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LICENSEE	CATEGORIES	CONTACT PERSON	EMAIL	ADDRESS	P.O. BOX	Registered Representatives				
						CEO	COMPLIANCE OFFICER	TRADING REP	ADVISING REP	MANAGING REP
Principal Global Advisors Limited	1. Managing Securities 2. Advising on Securities	Indira Ferguson	IFerguson@caystone.com	Albany Financial Centre Suite 601 South Ocean Boulevard	SS-6313	Patrick Feuz	Indira Ferguson	N/A	Patrick Feuz	Patrick Feuz
Providence Advisors Limited	1. Dealing in Securities as Principal & Agent 2. Arranging Deals. 3. Managing Securities 4. Advising on Securities	Kenwood Kerr; Monique Cooper	kenwood.kerr@providenceadvisors.net; iferguson@caystonesolutions.com; monique.cooper@providenceadvisors.net	1st Floor, Goodman's Bay Corporate Centre	AP-59223-409	Kenwood Kerr	Indira Ferguson	Carrol Burrows	N/A	Kenwood Kerr; Carrol Burrows
Q I Advisors (Bahamas) Ltd.	1. Managing Securities 2. Advising on Securities	Kristy Kemp	kkemp@paradigm-advisor.com	#3 Windsor Business Park Nassau, Bahamas	SP-63035	(Vacant)	Kristy Kemp	(Vacant)	Alma McIntosh	(Vacant)
Quantfury Trading Limited	1. Dealing in Securities as Principal & Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	Ali Pourdad	ali.pourdad@quantfury.com padderley@cfal.com; iyandra.smith@quantfury.com	Lyford Cay House, 4th Floor, Western Road, Nassau, Bahamas	CB 12407	Ali Pourdad	Prescott Adderley	(Vacant)	(Vacant)	(Vacant)
RBC Dominion Securities (Global) Limited	1. Dealing in Securities as Principal & Agent 2. Arranging Deals. 3. Managing Securities 4. Advising on Securities	Andrew McCartney	mario.smith@rbc.com; sophia.mckenzie@rbc.com; andrew.mccartney@rbc.com	Suite 201, Albany Financial Center, South Ocean Boulevard	N-3234	Andrew McCartney	Mario Smith	John Rodney Bond; Francisco Goncalves; Gregory Metrailler; Amelia Jenice Bain	John Rodney Bond; Carol Denis Newbold; Sophia Eloise McKenzie; Francisco Goncalves; Gregory Metrailler	Francisco Goncalves; Gregory Metrailler
Renhe Financial Services Limited	1. Dealing in Securities as Agent 2. Arranging Deals	Jianwen Liu	jwliu@renhetrade.com	Sea Sky Lane, Sandypport, Nassau, Bahamas	N-3406	(Vacant)	(Vacant)	Yi Zhou	N/A	N/A
RIA Company Ltd.	1. Managing Securities	Alejandro Luis Barroetavena	barroetavena@riacolt.com; acharles@winterbotham.com	Winterbotham Place, Malborough & Queen Streets	N-3026	Alejandro Luis Barroetavena	Anja Marie Charles	N/A	N/A	Alejandro Luis Barroetavena
RF Bank & Trust (Bahamas) Limited	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	Michael Anderson	michael.anderson@royalfidelity.com; RFMBTRegulator@royalfidelity.com;	Fidelity Financial Centre, 51 Frederick Street	N-4853	Michael Anderson	Vacant	Belinda Payne; Quentin Euriel Gibson; Charmaine Williams	Tishrea Grey; Quentin Euriel Gibson; Dominic Ajvon Stubbs ; Nevin Nico Knowles; Patrick Ferguson	Cleora Fraquharson; David Craig Van Onselen; David Slatter

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Registrants as at 31 October 2023

LICENSEE	CATEGORIES	CONTACT PERSON	EMAIL	ADDRESS	P.O. BOX	Registered Representatives				
						CEO	COMPLIANCE OFFICER	TRADING REP	ADVISING REP	MANAGING REP
Schonfeld Strategic Advisors (Bahamas) Ltd	1. Managing Securities 2. Advising on Securities	Thomas Wynn	twynn@schonfeld.com; legal@schonfeld.com	3 Bayside Executive Park, West Bay Street & Blake Road, Nassau, Bahamas	N-4875	Benjamin Zvi Melkman	(Vacant)	N/A	Benjamin Zvi Melkman	Benjamin Zvi Melkman
Scotiabank (Bahamas) Limited	1. Dealing in Securities as Principal & Agent 2. Arranging Deals. 3. Managing Securities 4. Advising on Securities	Roger Archer	roger.archer@scotiabank.com; Rico.Russell@scotiabank.com	Rawson Square, Bay Street, Nassau, Bahamas	N-7518	Roger Archer	Rico Russell	Deno Moss; Janae Francis-Ingraham	Deno Moss;	Deno Moss
Seacapital Ltd.	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	Steven Michael Videla	operations@sea-capital.net; steve@sea-capital.net	Bahamas Financial Centre, 3rd Floor, Shirley & Charlotte Streets, Nassau, Bahamas	N-4865	Steven Michael Videla	(Vacant)	Steven Michael Videla	N/A	Steven Michael Videla
Sinclair Asset Management Ltd.	1. Managing Securities 2. Advising on Securities	Jean-Marc Wenger	jmw@sinclairam.com; iferguson@caystonesolutions.com; jj@sinclairam.com	CUB Financial Center, Room TF3, Lyford Cay, Nassau, Bahamas	N/A	Jean-Marc Wenger	Indira Ferguson	N/A	Jean-Marc Wenger; Joel Johnson	Jean-Marc Wenger; Joel Johnson
SIS Solutions Ltd.	1. Managing Securities	Keva McPhee	keva.mcphee@sis-solutions-ltd.com; krystina.campbell@sis-solutions-ltd.com	Cumberland House, 15 Cumberland Street	SP-64111	Keva McPhee	Nicolette Krystina Campell	N/A	N/A	Keva McPhee
ST Global Markets Ltd.	1. Dealing in Securities as Agent	Alicia Smith	alicia.smith@stgmarkets.com crystal.turnquest@stgmarkets.com compliance@stgmarkets.com	#83 East Bay Street, Nassau, Bahamas	SP-64427	Alicia Smith	Crystal McClain-Turnquest	Constantinos Kappai	N/A	N/A
Sterling (Bahamas) Limited	1. Arranging Deals 2. Managing Securities 3. Advising on Securities	Alan Edward Cole	acole@sterling-bahamas.com; rmiller@sterling-bahamas.com	Suite 205A, Saffrey Square Bank Lane and Bay Street Nassau Bahamas	N-9934	Alan Edward Cole	Raynell Naomi Miller	Christopher Claude Cole	Christopher Claude Cole	Christopher Claude Cole
Sterling Financial Group Inc.	1. Managing Securities 2. Advising on Securities	David Kosoy	dk@sterlingglobaltd.com; info@sterlingglobaltd.com; sbonamy@sterlingglobaltd.com	81 East Bay Street, Nassau, Bahamas	N-1812	David Kosoy	Sunita Bonamy	N/A	David Kosoy; Stephen Tiller;	David Kosoy; Stephen Tiller
Stone Tower Management Ltd.	1. Managing Securities	Olivier Chaponnier	sasa@leadingservices.net; stonetower@leadingservices.net; iferguson@caystone.com	2nd Terrace West, Centreville	N-7755	Olivier Chaponnier	Indira Ferguson	N/A	N/A	Olivier Chaponnier Marco Albert Montanari

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Registrants as at 31 October 2023

LICENSEE	CATEGORIES	CONTACT PERSON	EMAIL	ADDRESS	P.O. BOX	Registered Representatives				
						CEO	COMPLIANCE OFFICER	TRADING REP	ADVISING REP	MANAGING REP
Swan Capital Ltd.	1. Managing Securities	Jorge Isaac Moreira Barrow	rb@swancap.net; jm@swancap.net	Halisbury Commercial Centre, #10, Village Road, Nassau, Bahamas	SS-6333	Jorge Isaac Moreira Barrow	Rosemary Barrett	N/A	N/A	Jorge Isaac Moreira Barrow
Talent Asset Management Limited	1. Managing Securities 2. Advising on Securities	Jonecka Wright	JWright@winterbotham.com	Malborough & Queens Streets, Nassau, Bahamas	N-3026	Jose Ignacio Chercasky	Anja Marie Charles	N/A	Jose Ignacio Chercasky	Jose Ignacio Chercasky
Techfin Markets Limited	1. Dealing in Securities as Principal or Agent, including underwriting 2. Arranging Deals	LaTonia Symonette-Tinker	ltinker@thebayshoregroup.org	Capital Union Bank Financial Centre, Lyford Cay, Nassau, Bahamas	N-1879	Theresa Haven-Adderley	Latonia Symonette-Tinker	Christos Barris; Andreas Yiangou	N/A	N/A
Templeton Global Advisors Limited	1. Managing Securities 2. Advising on Securities	Alan Bartlett	christopher.peel@franklintempleton.com; peter.palleija@franklin.templeton.com	Templeton House, Lyford Cay, Nassau, Bahamas	N-7759	Christopher Peel	Peter Palleija	N/A	Peter Manfred Moeschter; Warren Pustam	Christopher Peel; Peter Manfred Moeschter; Warren Pustam
The Bank of Nova Scotia Trust Company (Bahamas) Ltd.	1. Dealing in Securities as Principal & Agent 2. Arranging Deals. 3. Managing Securities 4. Advising on Securities	Neil MacDonald Omara Bingham	bnst.supervision@scotiawealth.com neilc.macdonald@scotiawealth.com; omara.bingham@scotiawealth.com	Scotia House, 404 East Bay Street	N-3016	Neil Macdonald	Omara Bingham	Gregory Walker; Jerome Franks Locksley Knowles II	Gregory Walker; Jerome Franks; Juan Giraldo Locksley Knowles II	Gregory Walker; Jerome Franks Locksley Knowles II
The Nastac Investment Group Ltd.	1. Advising on Securities	Reece Chipman	reecechipman@hotmail.com	Compass Point, Suite #2, West Bay Street, Nassau, Bahamas	CB-13027	Reece Chipman	Italia Donnice Cartwright	N/A	Reece Chipman	N/A
The Private Trust Corporation Limited	1. Dealing in Securities as Agent 2. Arranging Deals	Adrian Crosbie-Jones	info@privatetrustco.com; acj@privatetrustco.com; ndean@privatetrustco.com	2nd Floor, Charlotte House, Shirley & Charlotte Streets	N-65	Adrian Crosbie-Jones	Natasha Dean	Charlene Storr; Bruno Roberts	N/A	N/A
The Winterbotham Trust Company Limited	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	Ivan Hooper	ihooper@winterbotham.com; aCharles@winterbotham.com	Winterbotham Place, Marlborough & Queen Streets	N-3026	Ivan Hooper	Anja Marie Charles	Ivan Hooper; Jessica Thornley-Mitchell; Andrea Quant	Jessica Thornley-Mitchell	David Thain

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LICENSEE	CATEGORIES	CONTACT PERSON	EMAIL	ADDRESS	P.O. BOX	Registered Representatives				
						CEO	COMPLIANCE OFFICER	TRADING REP	ADVISING REP	MANAGING REP
Toredo Ltd.	1. Dealing in Securities as Principal or Agent 2. Arranging Deals and trading CFD's	Jason Oliver	jasonoliver242@gmail.com	Old Fort Bay Town Center Windsor Field Rd. Nassau, Bahamas		Jason Oliver	Candice A. McPhee	Stefanos Kashiouris	N/A	N/A
Trade Nation Ltd.	1. Dealing in Securities as Principal or Agent, including underwriting 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	Anthony Ferguson	aferguson@cfal.com;	Bayside Executive Park, No. 3, West Bay Street & Blake Road, Nassau, Bahamas	CB-12407	Richard Daniel Nathan	Aruna Tara Rodgers	Anthony Ferguson	Anthony Ferguson	Anthony Ferguson
TradeZero Inc.	1. Dealing in Securities as Principal or Agent	Kay Briggs	kbriggs@tradezero.co; tz@tradezero.co; sfountain@tradezero.co corporate@tradezero.co	436 West Bay Street Nassau, Bahamas	SP-62904	Kay Briggs	Scymont Fountain	Kay Briggs	N/A	N/A
UCAP Bahamas Limited	1. Dealing in Securities as Principal or Agent, including underwriting 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	Clement Ducasse	clement.ducasse@capitalunionbank.com; accounts@ucapbh.com; iferguson@caystone.com	CUB Financial Center, Lyford Cay, Nassau, Bahamas	N/A	Clement Ducasse	Indira Ferguson	Clement Ducasse	Clement Ducasse	Clement Ducasse
VCM Global Asset Management Ltd.	1. Managing Securities 2. Advising on Securities	Tom Vokota	cwalker@vukotacapital.com; tvokota@vukotacapital.com; nadderley@vukotacapital.com	Pineapple Grove Building 3, Old Fort Bay, Western Road, Nassau, Bahamas	AP-59223/285	Tom Vokota	Nathan Adderley	N/A	Tom Vokota; Geno Cozza	Tom Vokota; Geno Cozza
WG Capital Ltd.	1. Dealing in Securities as Principal & Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	Shelby Brice	info@weiser.com.bs; sbrice@weiser.com.bs	Unit A, Balmoral Corporate Center, Balmoral Development	AP-59223 Slot 501	(Vacant)	Shelby Brice	(Vacant)	(Vacant)	(Vacant)
Wincrest Capital Ltd.	1. Arranging Deals. 2. Managing Securities 3. Advising on Securities	Barbara Ann Bernard	bb@wincrest.capital; ca@wincrest.capital; iferguson@caystone.com	3rd Floor, CUB Financial Center, Lyford Cay	N-7776	Barbara Ann Bernard	Indira Ferguson	N/A	Barbara Ann Bernard	Barbara Ann Bernard

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Registrants as at 31 October 2023

LICENSEE	CATEGORIES	CONTACT PERSON	EMAIL	ADDRESS	P.O. BOX	Registered Representatives				
						CEO	COMPLIANCE OFFICER	TRADING REP	ADVISING REP	MANAGING REP
Windermere Corporate Management Limited	1. Managing Securities	John Lawrence	john@windermerecm.com; millicent@windermerecm.com	200 Sterling Commons, Harbour Drive, Paradise Island, Nassau, Bahamas	N-7797, Suite A-084	John Lawrence	Millicent Clarke	N/A	N/A	John Lawrence
XL Partners Ltd.	1. Managing Securities 2. Advising on Securities	Mitsuo Alves	mitsuoalves@xlpartnersltd.net; iferguson@caystone.com	APMS House #8 Pineapple Grove, Old Fort Bay, Western Road, Nassau, The Bahamas	N/A	Mitsuo Alves	Indira Ferguson	N/A	Mitsuo Alves	Mitsuo Alves