



Date: 22 May 2024

Dear Registrant/Licensee:

Re: International Obligations (Economic and Ancillary Measures) Act, Chapter 16 (IOEAMA): Al-Shabaab

Pursuant to section 3A of the International Obligations (Economic and Ancillary Measures) Act, Chapter 16 (IOEAMA), licensees and registrants of the Securities Commission of The Bahamas (the Commission) are hereby informed that on 21 May 2024, the Security Council Committee pursuant to resolution 2713 (2023) concerning Al-Shabaab approved the addition of the entries specified in the United Nations press release attached hereto to its Sanctions List of individuals and entities subject to the measures imposed by the Security Council and adopted under Chapter VII of the Charter of the United Nations.

The press release can also be found at the web address below:

1. <https://press.un.org/en/2024/sc15703.doc.htm>

Licensees and registrants are urged to take all appropriate actions to ensure full compliance with section 3A IOEAMA, Part IV, "Implementation of United Nations Security Resolutions", of the Anti-Terrorism Act, and regulation 8 of the Anti-Terrorism Regulations.

Licensees and registrants must confirm compliance within 30 days of the date of this notice and on a quarterly basis thereafter by submitting **Form 1A or 1B** attached, as appropriate. Where there is a hit regarding a sanctioned individual or entities, licensees and registrants must report to the Financial Intelligence Unit and the Office of the Attorney General to the attention of DLA A. Bonamy-antoinettebonamy@bahamas.gov.bs, and copied to NIRFC Dr. Cassandra Nottage-cassandranottage@bahamas.gov.bs without delay. Please note that licensees and registrants are also required to submit confirmation of compliance to the Commission where there are no findings or 'hits'.

Licensees and registrants are further required to file an annual declaration, certifying that their operations are in full compliance with the aforementioned legislative provisions, signed by at least two Directors of its Board of Directors or in the alternative, one Director and the compliance officer registered with the Commission. The Commission further advises that the filing deadline relative to the annual declaration is 31 January annually. See **Form 2** attached.

Please be advised that failure to submit the required reports may result in disciplinary action, including a minimum fine of \$200.00 per day per Order.

All confirmations of compliance and declarations should be addressed to:

The Executive Director
Securities Commission of The Bahamas
Poinciana House
North Building, 2nd Floor
31A East Bay Street
P. O. Box N-8347
Nassau, The Bahamas
Email: SUDfilings@scb.gov.bs

Licensees and registrants are further urged to regularly consult the following UNSC website addresses, to ensure continued compliance, and accurate record keeping relating to United Nations Security Council Resolutions:

1. UNSC: <https://www.un.org/securitycouncil/>
2. UNSC Sanctions list materials: <https://www.un.org/securitycouncil/sanctions/2713/materials>
3. Consolidated UNSC Sanctions List: <https://www.un.org/securitycouncil/content/un-sc-consolidated-list>

A copy of this notice, as well as the above-mentioned listing, can be found on the Commission's website.

Any inquiries concerning this notice may be directed to the Commission at:

Poinciana House
North Building, 2nd Floor
31A East Bay Street
P. O. Box N-8347
Nassau, The Bahamas
Email: info@scb.gov.bs
Telephone: 1 (242) 397-4100



Security Council Al-Shabaab Sanctions Committee Adds Three Entries to Its Sanctions List

On 21 May 2024, the Security Council Committee pursuant to resolution 2713 (2023) concerning Al-Shabaab approved **the addition** of the entries specified below to its Sanctions List of individuals and entities subject to the measures imposed by the Security Council and adopted under Chapter VII of the Charter of the United Nations.

A. Individuals

SOi.023 Name: 1: ABDIKADIR 2: MOHAMED 3: ABDIKADIR 4: na
Title: na **Designation:** Senior Al-Shabaab leader **DOB:** 1985 **POB:** Somalia **Good quality a.k.a.:** na **Low quality a.k.a.:** a) Abdukadir b) Abdukadir Mohamed Abdukadir c) Abdulkadir d) Abdulkadir Mohamed Abdulkadir e) Ikrima **Nationality:** a) Somalia b) Kenya **Passport no.:** na **National identification no.:** na **Address:** Somalia **Listed on:** 21 May 2024 **Other information:** Gender: Male. Listed pursuant to paragraph 26(b) of resolution 2662 (2022): (i) participating in the financing, planning, facilitating, preparing, or perpetrating of acts or activities by, in conjunction with, under the name of, on behalf of, or in support of Al-Shabaab and (iii) recruiting for, or otherwise supporting acts or activities of Al-Shabaab or any cell, affiliate, splinter group or derivative thereof.

SOi.024 Name: 1: MOHAMED 2: MOHAMUD 3: MIRE 4: na **Title:** na
Designation: Previously in charge of Zakat; member of Shura of Al-Shabaab **DOB:** a) 1962 b) 1960 c) 1955 **POB:** Kismayo, Somalia **Good quality a.k.a.:** na **Low quality a.k.a.:** Mohamed Mire **Nationality:** Somalia **Passport no.:** na **National identification no.:** na **Address:** a) Jilib, Somalia b) Qunyo Barrow, Somalia **Listed on:** 21 May 2024 **Other information:** Gender: Male. Listed pursuant to paragraph 26 of resolution 2662 (2022): (a) having engaged in, or provided support for, acts that threatened the peace, security or stability of Somalia, including acts that threatened the peace and reconciliation process in Somalia, or threatened

the Federal Government of Somalia or ATMIS by force; and (b)(i) participating in the financing, planning, facilitating, preparing, or perpetrating of acts or activities by, in conjunction with, under the name of, on behalf of, or in support of Al-Shabaab.

SOi.025 Name: 1: MOHAMED 2: OMAR 3: MOHAMED 4: na
Title: Wali **Designation:** Leader (Wali) of the Al-Shabaab group in Diinsor
DOB: 1976 **POB:** Taflo Village, Berdaale District, Bay Region, Somalia
Good quality a.k.a.: a) Ma'd Umurow b) Mohamed Omar Haji c) Mohamed Omar Ma'alín d) Mohamed Haji Omar **Low quality a.k.a.:** a) Mo'alín **Nationality:** Somalia **Passport no:** na **National identification no:** na **Address:** a) Diinsor District, Bay Region, Somalia b) Buur Hakaba District, Bay Region, Somalia **Listed on:** 21 May 2024 **Other information:** Gender: Male. Listed pursuant to paragraph 26(b) of resolution 2662 (2022): (i) participating in the financing, planning, facilitating, preparing, or perpetrating of acts or activities by, in conjunction with, under the name of, on behalf of, or in support of Al-Shabaab; and (iii) recruiting for, or otherwise supporting acts or activities of Al-Shabaab or any cell, affiliate, splinter group or derivative thereof.

Press releases concerning changes to the Committee's Sanctions List may be found in the "Press Releases" section on the Committee's website at the following URL: <https://www.un.org/securitycouncil/sanctions/2713/press-releases>.

The updated version of the Committee's Sanctions List, available in HTML, PDF and XML format, may be found at the following URL: <https://www.un.org/securitycouncil/sanctions/2713/materials>.

The United Nations Security Council Consolidated List is also updated following all changes made to the Committee's Sanctions List and is accessible at the following URL: <https://www.un.org/securitycouncil/content/un-sc-consolidated-list>.

! **For information media. Not an official record.**

[REGISTRANT/LICENSEE LETTERHEAD]

NOTICE

**RE: [Name of (i) International Obligations (Economic and Ancillary Measures) Order Citation/
(ii) Notice of the listing to the United Nations Sanctions List]**

This notice is to confirm that **[Name of Registrant/Licensee]** has conducted a review of its databases and records (including transaction records) for any findings related to **[Cite Order/and/or Individual/entity added to United Nations Sanctions List]**, and hereby confirms that there were no positive results.

Compliance Officer

[REGISTRANT/LICENSEE LETTERHEAD]

NOTICE

RE: [Name of: (i) International Obligations (Economic and Ancillary Measures) Order Citation/ (ii) Notice of the listing to the United Nations Sanctions List]

This is to confirm that [Name of Registrant/Licensee] has conducted a review of its databases and records (including transaction records) for any findings related to ***[Cite Order and/or Individual/entity added to United Nations Sanctions List]***, and hereby advise of the following positive results, which have been reported to the Attorney General and Financial Intelligence Unit:

Name of Individual/Entity	Account/Transaction Details

Compliance Officer

[REGISTRANT/LICENSEE LETTERHEAD]

DECLARATION

We, **[Name of Director]** and, **[Name of additional Director/Compliance Officer]** hereby declare that the operations of [Name of Registrant/Licensee] are in full compliance with the following Orders:

1. ***[List of International Obligations (Economic and Ancillary Measures) Orders relevant to this Declaration].***

DIRECTOR

DIRECTOR/COMPLIANCE OFFICER