

Securities Industry Act, 2011



Registrants as at 31 May 2024

LICENSEE	CATEGORIES	ADDRESS	P.O. BOX	Registered Representatives				
				CEO	COMPLIANCE OFFICER	TRADING REP	ADVISING REP	MANAGING REP
A.S.P.I Bahamas Ltd.	1. Managing Securities 2. Advising on Securities	Ground Floor Ocean Centre, East Bay Street	SP-61913	Carole Sassoon	Magnal Elaine Thompson	N/A	N/A	N/A
Abaco Global Advisors Ltd.	1. Managing Securities	3B One Sandyport Plaza West Bay Street	SP-64168	Oliver Notz	Michelle Knowles-Doura	N/A	N/A	Oliver Notz
Acetop Global Markets Limited	1. Dealing in Securities as Agent or Principal 2. Arranging Deals	Unit 9, North Buckner Square, Sandyport Nassau Bahamas	N/A	Fareda Sands	Nichollette Farrington	Mark Gemma	N/A	N/A
ActivTrades Corp.	1. Dealing in Securities as Agent or Principal 2. Arranging Deals	209/210 Church Street, Sandyport Plaza, Nassau, Bahamas	SP-64388	Alessandro Giuseppe Gho	Clesha Morley	Andrea Draghi	N/A	N/A
Akelius Invest Ltd.	1. Managing Securities	Lyford Manor, Western Road, Nassau Bahamas	AP-59223	Demetrius Syllouris	Sandra Gilbert	N/A	N/A	Demetrius Syllouris
Alliance Investment Management Ltd.	1. Dealing in Securities as Agent 2. Arranging Deals 3. Advising on Securities	Carmichael Commercial Centre, 2nd Floor Suite 201, Carmichael Road	SS-19051	Julian Brown	Ruth Bowe-Darville	Julian Brown; Nolan Johnson	Julian Brown	Nolan Johnson
AlpacaX Securities Ltd.	1. Dealing in Securities as Agent or Principal 2. Arranging deals in securities 3. Manging securities 4.Advising on Securities	Crypto Isle, Bay Street, Nassau Bahamas		Tarun Ajwani	(Vacant)	Tony Lee	Tony Lee	Tony Lee

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Alternative Investment Solutions Ltd.	1. Dealing in Securities as Agent only 2. Arranging deals in securities 3. Manging securities 4.Advising on Securities	5 Pineapple Grove, Lyford Cay	SP-64284	Samir Lakkis	Francisca Anthonicia Delva	Samir Lakkis	Tameka Stubbs Julian Benichou Sergio Estesó Vida; Samir Lakkis Nassiv Hacham; Markus Wiessler; Marie Ujueta; Miguel Solis; Richard Allford; Ignacio A.A. Torro; Karim A. Lakkis Cases; Rogelio Javier Solis Velasquez; Mina Lazic; Jad Al Boustani	N/A
Ask Capital Management Ltd.	1. Managing Securities	Clairmont Trust Company Limited Pineapple House #4 Lyford Cay	SP-642874	James Hoar	Wanda Maycock	N/A	N/A	Fouad Akkari; James Hoar; Daniel Magdolen
Axmin Capital Ltd.	1. Dealing in Securities as Principal and Agent 2. Advising on Securities	Winterbotham Place, Marlborough & Queen Sts.	N-3026	Ernesto Fridman Pardo	Marcelo A. Liste	Guillermo Baeza	Guillermo Baeza; Ernesto Fridman Pardo	N/A
Axxets Management Inc.	1. Managing Securities 2. Advising on Securities	Bayside Executive Park, No. 3, West Bay Street & Blake Road, Nassau, Bahamas	CB-12407	Yair Esrubilsky	Prescott Adderley	N/A	Anthony Ferguson; Yair Esrubilsky	Anthony Ferguson; Yair Esrubilsky
Bahamas Central Securities Depository (BCSD)	Clearing Facility	British Colonial Hotel Hilton #202	N-9307	Melissa Campbell	Latonia Symonette-Tinker	N/A	N/A	N/A
Bahamas International Securities Exchange (BISX)	Marketplace	Suite 201, Fort Nassau Centre, British Colonial Hilton, Bay Street	EE-15672	Keith Davies	Holland Grant	N/A	N/A	N/A
Bahamas Securities (1987) Limited	1. Advising on Securities	Gresham House' Charlotte Street South	N-10144	George C. Culmer	Myles Culmer	N/A	George C. Culmer; Myles J. Culmer	N/A

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Banca del Sempione (Overseas) Ltd.	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	Unit 10-12 Old Fort Bay Town Centre, Bldg. 2	N-8159	Simone Bertoldo	Rickel Alma Morton	Edrick Cleare; Michele Piantoni; Simone Bertoldo; Benjamin Roger Pinder	Stefano Donati, Benjamin Roger Pinder	Simone Bertoldo; Stefano Donati
Banco Santander International SA	1. Dealing in Securities as Principal & Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	1st Floor Caves Corporate Centre, Building A, West Bay Street and Blake Road	N-1682	Jordi Planell Pons	Tamara Joan Johnson	Jordi Planell Pons	Jordi Planell Pons	Jordi Planell Pons
BankPro Limited	1. Dealing in Securities as Agent or Principal, including underwriting 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	Lyford Manor, Western Road, Nassau, Bahamas	N-7776	(Vacant)	Bernadette Beryl Heastie	(Vacant)	(Vacant)	(Vacant)
Bank J. Safra Sarasin (Bahamas) Ltd.	1. Dealing in Securities as Principal & Agent 2. Arranging Deals. 3. Managing Securities 4. Advising on Securities	Lyford Financial Centre, Building 2, Western Road, Lyford Cay	CB-10988	Adrian Zadeh	Helene Virginie Tison Duhaze	Yantoni Zeitoune; Joao Franco	Adrian Zadeh	Adrian Zadeh Daniel Saralegui
BDI Limited	1. Managing Securities 2. Advising on Securities	Bougainvillea Lyford Drive, Lyford Cay. Nassau, Bahamas	N-4287	Nicole M. Dupuy	Monique Knowles	N/A	Nicole M. Dupuy	Nicole M. Dupuy
Benchmark Advisors (Bahamas) Ltd.	1. Deal in Securities as Agent or Principal 2. Advising on Securities	Gresham House, Charlotte Street North	N-10144	Sandra J. Knowles	Ruth Bowe-Darville	Nolan Johnson	Nolan Johnson	N/A

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Black Isle Management Services Ltd.	1. Managing Securities 2. Advising on Securities	104 Cotton Tree Plaza, East Bay Street, Nassau, Bahamas	PO-SS19501	Ian Black	Valentino Brown	N/A	Marc-Claudio Muller Ian Black	Bradley Crawley Ian Black Marc-Claudio Muller
Blackwell Global Investments Limited	1. Dealing in Securities as Agent or Principal, including underwriting 2. Arranging Deals 3. Managing Securities	4 North Buckner Square Sandypoint, Nassau, Bahamas	N-3406	(Vacant)	(Vacant)	Shiwei Kwong	N/A	Shiwei Kwong
Blue Line Advisors Ltd.	1. Managing Securities	APMS House #8 Pineapple Grove Old Fort Bay	SS-19689	Pierre M. E. Monnard	Indira Ferguson	N/A	N/A	Pierre M. E. Monnard
Bluebay Global Advisors Ltd.	1. Managing Securities	APMS House #8 Pineapple Grove Old Fort Bay	SS-19689	Pierre Monnard	Indira Ferguson	N/A	N/A	Pierre Monnard
Britannia Bank & Trust Ltd.	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	Caves Village, Building #2, West Bay Street, Nassau, Bahamas	N-3917	Jose Herrera	Sue-Ann Viken	Mateo Raimondo Julian Adderley Andrea Albanese Joseph Byrne Jose Herrera	Mateo Raimondo Julian Adderley Andrea Albanese Joseph Byrne	Mateo Raimondo Julian Adderley Andrea Albanese Joseph Byrne Jose Herrera
Britannia Securities Limited	1. Dealing in Securities as Agent or Principal 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	Unit 6, Western Business Centre, Western Road, Nassau Bahamas	SP-64093	Andre Souza	Rochelle Cleare	Shinique Rolle, Bernardo Amaral	Andre Souza	Andre Souza; Shinique Rolle, Bernardo Amaral
Canaccord Genuity Emerging Markets Ltd.	1. Arranging Deals 2. Advising on Securities	119 Harbour Way, Ocean Club Estates, Paradise Island, Nassau, Bahamas	N -1879	Vacant	LaTonia Symonette-Tinker	N/A	Vacant	N/A
Capital Com Online Investments Ltd.	1. Dealing as agent or principal including underwriting 2. Arranging deals in securities	#3 Bayside Executive Park, Blake Road and West Bay Street	65167	Prescott Edvardo Adderley	Noelle Paje Scott	Loizos Koumis	N/A	N/A

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Capital Index (Global) Limited	1. Dealing in Securities as Principal & Agent 2. Arranging Deals	Bayside Executive Park, No. 3, West Bay Street & Blake Road, Nassau, Bahamas	SS-19086	Anthony Ferguson	Prescott Adderley	Anthony Ferguson	N/A	N/A
Capital Union Bank	1. Dealing in Securities as Principal or Agent 2. Managing Securities 3. Advising on Securities	CUB Financial Centre; Lyford Cay	AP-59223	Patrick Zbinden	Nicolette Alexis Duncanson	Clement Ducasse; Antoine Lacourt; Maxine Barberis; Pierre Soron; Clement Gless	Clement Ducasse; Antoine Lacourt	Clement Ducasse; Antoine Lacourt;
CBH Bahamas Ltd.	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	CBH House, East Bay Street	N-1724	Daniel Baron	Rochelle Rolle	Shamini Gibson; Jamal Wright; Ursula Rolle; Daniel Baron; William Gibson; Ginarenæ Chuno; Ginarenæ Chuno; Lynette Martinborough; Julio Vargas	Shamini Gibson; Jamal Wright; Ursula Rolle; William Gibson; Ginarenæ Chuno; Guilhermo Alvarez Santini; Lynette Martinborough; Julio Vargas; Daniel Baron	Ursula Rolle
CF Group Company Limited	1. Dealing in Securities as Agent only, including underwriting	Unit 400, Fourth Floor, #1 Bay Street, British Colonial Centre of Commerce	SP-63191	Keva Ferguson	Indira Ferguson	Sze Ning	N/A	N/A
CFAL Securities Ltd.	1. Dealing in Securities as Principal & Agent 2. Arranging Deals. 3. Managing Securities 4. Advising on Securities	308 East Bay Street	CB-12407	Anthony Ferguson	Monique Knowles	Pamela Musgrove; Tiffany Angelique Smith; Jeanelle Christal Francis; Anna Zervos;	Dwayne Swann; Shafegah Duncanson;	N/A
Clairmont Trust Company Limited	1. Managing Securities 2. Advising on Securities	#4 Pineapple House, Lyford Cay	SP-64284	Miles Evans	Wanda Maycock	N/A	Miles Evans; James Hoar; John Jephson; Jaime Stewart	Miles Evans; James Hoar; John Jephson; Jaime Stewart
Colina Financial Advisors Limited (CFAL)	1. Managing Securities 2. Advising on Securities	308 East Bay Street	CB-12407	Anthony Ferguson	Monique Knowles	N/A	Anthony Ferguson Pamela Musgrove	Anthony Ferguson Pamela Musgrove

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Corallisle Pension Services (Bahamas) Limited	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	2nd Floor Atlantic House, Collins Avenue	SS-6246	Larry Gibson	Derek Smith	Kriston Moore	Larry Gibson	(Vacant)
Core Capital Partners Ltd.	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	#3 Windsor Business Park Nassau, Bahamas	SP-63035	(Vacant)	Kristy Kemp	Alma McIntosh	Alma McIntosh	(Vacant)
Corner Bank (Overseas) Limited	1. Dealing in Securities as Agent or Principal, Including Underwriting 2. Managing Securities 3. Advising on Securities	Lyford House, Lyford Cay	N-7134	Christine Russell	Jennifer Rahming	Davide Sergio Bonetti; Shakema Sweeting; Eronjha McIntosh; Shevonne Rahming	Davide Sergio Bonetti; Orazio Defilippis; Andrea Fronzoni; Peter Ceuleers	Orazio Defilippis Davide Sergio Bonetti
CPL Advisors Limited	1. Managing Securities	Winterbotham Place Marlborough & Queen Streets	N- 3026	Agustin del Rio	Anja Charles	N/A	N/A	Agustin del Rio
Credit Suisse AG, Nassau Branch	1. Dealing in Securities as Principal & Agent 2. Arranging Deals. 3. Managing Securities 4. Advising on Securities	4th Floor The Financial Centre, Shirley & Charlotte Streets	N-4928	Lakeisha Sands	Jeunesse Osadebay-Bullard	Thomas Markus Karrer; Oliver Turner; Guilherme Bianchi; Pedro Moreira Alexandre Darville	Tristan Thomas Markus Karrer; Guilherme Bianchi; Leonam Oliveira; Oliver Turner; Pedro Moreira Alexandre Tristan Darville	(Vacant)
Credit Suisse Brazil (Bahamas) Limited	1. Dealing in Securities as Principal & Agent	4th Floor Bahamas Financial Centre, Shirley & Charlotte Streets	N-3241	Lakeisha Sands	Jeunesse Osadebay-Bullard	N/A	N/A	N/A

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Crescendo (Bahamas) Ltd.	1. Managing Securities 2. Advising on Securities	Western Business Center, Western Road, Nassau, Bahamas	SP-64412	Claude Philip Meier	Natasha Michelle Bastian	Damian Eustan Forbes	Claude Philip Meier Damian Eustan Forbes	Claude Philip Meier Damian Eustan Forbes
Dartley Securities Limited	1. Dealing in Securities as Agent	Equity House, Caves Village Plaza, West Bay Street & Blake Road	CB-11838	Dillon Dean	Sally Patton	Thomas Strachan	N/A	N/A
Deltec Bank & Trust Ltd.	1. Dealing in Securities as Principal & Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	Deltec House, Lyford Cay	N-3229	Odetta Morton	Schell Stubbs	Audra Dean; William Godet; Vivienne Watts; Robert Turnquest; Janeen Bethel;	Vivienne Watts; Marcia Adderley; Giovanni Pirana; Paul Stappard;	Stefano Pral; Vivienne Watts; Marcia Adderley; Robert Turnquest; Giovanni Pirana; Paul Stappard; Harold A. Munnings III
Deltec Investment Advisers Limited	1. Managing Securities 2. Advising on Securities	Deltec House, Lyford Cay	N-3229	Jean Chalopin	(Vacant)	N/A	Marcia Adderley; Robert Turnquest;	Marcia Adderley; Robert Turnquest;
Deltec Securites Ltd.	1. Dealing in Securities as Principal & Agent 2. Arranging Deals 3. Managing Securities	Deltec House, Lyford Cay	N-3229	Robert Turnquest	(Vacant)	Audra Dean	N/A	Robert Turnquest
Ebonex Bahamas Limited	1. Dealing as agent or principal including underwriting 2. Arranging deals in securities 3. Managing Securities on a discretionary basis 4. Advising on securities	223-226 Island Lane, Sandyport Marina		Desiree Lavonne Parker-Burrows	Rayneth Darling	Man Kwong Cheng; Chi Chung Ki	Man Kwong Cheng; Chi Chung Ki	Man Kwong Cheng; Chi Chung Ki

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EFG Bank & Trust (Bahamas) Ltd.	1. Dealing in Securities as Principal & Agent 2. Arranging Deals. 3. Managing Securities 4. Advising on Securities	Goodman's Bay Corporate Centre, 3rd Floor, West Bay Street and Sea View Drive	CB 10956	Alexandre Sampedro	Maria Dorsett	Armando Neto, Alexandre Suarez	Nicole Leonard; Robert S. Morrison; Katty Ferguson; Armando Neto; Douglas Blank De Sousa Pereira; Carlos D'Alessandro; Alexandre Suarez	(Vacant)
Eightcap Global Limited	1. Dealing in Securities as Principal & Agent 2. Arranging Deals.	201 Church Street, Sandypport, West Bay St., Nassau, Bahamas	SP 64407	Andrew Rolle	(Vacant)	Joel Murphy	N/A	N/A
Elco Securities Limited	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	Loyalist Plaza, Don Mackey Boulevard, Marsh Harbour, Abaco	AB-20377	E. Isaac Collie	J. Derek Benjamin	David Hoang	Lawrence Collie	E. Isaac Collie
Equity Bank Bahamas Limited	1. Dealing in Securities as Principal & Agent 2. Arranging Deals. 3. Managing Securities 4. Advising on Securities	Equity Trust House, Caves Village, West Bay Street	N-10697	Dillon Dean	Robyn Newton	Dillon Dean	Dillon Dean	Dillon Dean
EuroBanco Bank Limited	1. Dealing in Securities as Agent	Suite 5 Caves Professional Center, West Bay Street & Blake Road	N-1114	(Vacant)	Natividad Esther Benitez	(Vacant)	N/A	N/A
Exmer Partners Limited	1. Managing Securities	Winterbotham Place, Marlborough & Queen Streets	SP-62557	Ivan Hooper	Anja Marie Charles	N/A	N/A	Ivan Hooper
Extiber Ltd.	1. Managing Securities 2. Advising on Securities	212 Church St., Sandypport, West Bay Street	SP-62238	Flavio De Paulis	Nathan Adderley	N/A	Alessandro Russo	Alessandro Russo
FAM Fund and Asset Management Ltd.	1. Managing Securities 2. Advising on Securities	APMS House #8 Pineapple Grove, Old Fort Bay, Western Road	SS - 19689	Christian Coquoz	Indira Ferguson	N/A	Christian Coquoz	Christian Coquoz

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Faro Capital Investors Ltd.	1. Arranging Deals 2. Advising on Securities	4th Floor, Lyford Cay House, Western Rd	N-7776	Federico Andres Riege	Edison Neely	N/A	Khalila Tsavousis-Duncombe	N/A
FC Capital Investments Ltd.	1. Managing Securities 2. Advising on Securities	#4 Pineapple Grove, Western Road, Lyford Cay	SS-19027	Alexander Martin Murbach	Edison Neely	N/A	Leo Betschart; Tiffany Jones	Leo Betschart; Tiffany Jones
Fidelis Advisors Ltd.	1. Managing Securities 2. Advising on Securities	#4 Pineapple House, Lyford Cay	SP-64284	Miles Evans	Wanda Maycock	N/A	Miles Evans; Osman Amre Youness	Miles Evans; Osman Amre Youness
First Overseas Bank Limited	1. Dealing in Securities as Principal & Agent	Office #2, Building #8, Caves Village Professional Centre, West Bay Street	CB-11903	Carlos Walton	Ricardo Leandro Villalba	Carlos Walton	N/A	N/A
FirstCaribbean International Bank (Bahamas) Limited	1. Dealing in Securities as Principal & Agent 2. Arranging Deals. 3. Managing Securities 4. Advising on Securities	FirstCaribbean International Bank Financial Centre, 2nd Floor, Shirley Street	N-3221	Jacqueline Bend	Alicia Shalle Stuart	Gina Mackenzie; Sean Blyden; Brent Haines; Damingo L. Weir	Brent Haines; Damingo L. Weir	Gina Mackenzie
FirstCaribbean International Trust Company (Bahamas) Limited	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	1st Floor Goodman's Bay Corporate Centre, West Bay Street & Seaview Drive	N-3933	Perry A Rolle	Joan Rahming	Nicolette Remelda Deal	(Vacant)	(Vacant)
First Prudential Markets (Global) Ltd.	1. Dealing in Securities as Principal & Agent 2. Arranging Deals	Sea Sky Lane Sandypoint, West Bay Street		Craig Mckinlay Allison	Kyriakos Kyprianou	Thomas Peter Roberts	N/A	N/A

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Fitpart Fund Administration Services Ltd.	1. Managing Securities 2. Advising on Securities	Bahamas Financial Centre, 4th floor, Shirley & Charlotte Streets	CB-13515	Fernando Prado	Indira Ferguson	N/A	Fernando Prado	Fernando Prado
FK Capital Management Ltd.	1. Managing Securities 2. Advising on Securities	2nd Floor Goodman's Bay Corporate Center Nassau, Bahamas	SP-61567	Jorge Finkler Braverman	Indira Ferguson	N/A	Jorge Finkler Braverman; Eduardo Finkler Bravermen	N/A
Fusion Markets Ltd.	1. Dealing in Securities as Principal or Agent 2. Arranging Deals and trading CFD's	One West Business Plaza Nassau, Bahamas	N/A	(Vacant)	Tina Glinton	David Swinden	N/A	N/A
FxPro Global Markets Ltd.	1. Dealing in Securities as Principal & Agent	Lyford Manor, Western Road, Nassau, Bahamas	N-7776	Denis Sukhotin	Eduardo Gavotti	Denis Sukhotin	N/A	N/A
GEM Global Equities Management S.A.	1. Managing Securities 2. Advising on Securities	Templeton Building, West Bay Street	N-9204	Anthony Inder Rieden	Eric Strachan	N/A	Anthony Inder Rieden	Anthony Inder Rieden
Global Advisors Partners Ltd.	1. Managing Securities 2. Advising on Securities	3rd Floor Coin of the Realm Building Charlotte Street	N-9471	Alexandre Vancoff	Edgar Seligman	N/A	Ivano Alliata Alexandre Vancoff	Alexandre Vancoff, Ivano Alliata
Gonet Bank & Trust Limited	1. Dealing in Securities as Principal & Agent 2. Arranging Deals. 3. Managing Securities 4. Advising on Securities	Offices at Old Fort Bay, West Bay St.	SP-61302	Jonathan Cartwright	Wendell Alick Gardiner	Ingrid Taylor; Valentin Sevilla; Valerie Garcia	Ingrid Taylor; Valentin Sevilla; Patrick Guye-Bergeret; Valerie Garcia	Ingrid Taylor; Valentin Sevilla; Valerie Garcia
Green Cay Advisory Ltd.	1. Managing Securities 2. Advising on Securities	Building #2, Caves Village	N-7776	Joseph Byrne	Indira Ferguson	N/A	Joseph Byrne	Joseph Byrne

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Gryphon Investment Advisors Bahamas Limited	1. Arranging Deals. 2. Managing Securities 3. Advising on Securities	Albany Financial Center South Ocean Blvd Nassau, Bahamas		Ira Z. Crystal	Alva Henfield	N/A	Ira Z. Crystal	Ira Z. Crystal
High Tech Invest Limited	1. Dealing in Securities as Principal or Agent, including underwriting 2. Arranging deals in Securities	Sea Sky Lane, Sandport, Nassau, Bahamas	N/A	Peter Hoffmann Thage	Gerogianne Robinson	Peter Hoffmann Thage	N/A	N/A
Holdun Family Office (Bahamas) Limited	1. Managing Securities	Albany Financial Center Suite 303	SP-63158	Brendan Dunn	Kristy Kemp	N/A	N/A	Brendan Dunn
Holowesko Partners Ltd.	1. Managing Securities 2. Advising on Securities	Shipston House, West Bay Street, Lyford Cay	N-7776(78)	Mark Holowesko	Karen Zyp	N/A	Mark Holowesko, Richard Farrington, Gregory Cleare, Christopher Maura	Mark Holowesko, Richard Farrington, Gregory Cleare, Christopher Maura
Huber Management Ltd.	1. Managing Securities 2. Advising on Securities	Top Floor No. 1, CUB Financial Center, Lyford Cay, Nassau, Bahamas	N-7139	Bernard Huber	Jennifer Wells	N/A	Bernard Huber Juan Zatarain	Bernard Huber Juan Zatarain
IC Markets Ltd.	1. Dealing in Securities as Principal or Agent, including underwriting	Western Business Center, Unit 3B, Upper Floor Western Road, Nassau, Bahamas	N-272	La'Tonia Symonette-Tinker	Theresa Haven-Adderley	Andrew Budzinski	N/A	N/A
Inteligo Bank Ltd.	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	1st Floor, Seventeen Shop Building, Fourth Terrace, Collins Avenue, Nassau, Bahamas	N-3732	Bruno Ferreccio Del Rio	Silka Yaneth Rodriguez Mendez	Bruno Ferreccio Del Rio	Bruno Ferreccio Del Rio	Bruno Ferreccio Del Rio
Investar Securities Ltd.	1. Managing Securities	#14 University Drive	SS-19086	Ansel Watson	Omar Sands	N/A	N/A	Ansel Watson

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ITG Bahamas Inc.	1. Dealing in Securities as Agent or Principal 2. Arranging Deals 3. Advising on Securities	Templeton Building, Western Road, Nassau Bahamas		Benjamin A. Shaw	Marcia Meeres	Benjamin A. Shaw	Benjamin A. Shaw	N/A
IX Capital Group Limited	1. Dealing in Securities as Agent or Principal 2. Arranging Deals 3. Managing Securities	201 Church Street, Sandyport, West Bay Street	N-3406	Anthony Stubbs	(Vacant)	(Vacant)	N/A	(Vacant)
J. Safra Sarasin Asset Management (Bahamas) Ltd.	1. Managing Securities 2. Advising on Securities	Lyford Financial Centre, Building 2, Western Road, Lyford Cay	CB-10988	Daniel Kamkahji	Helene Virginie Tison Duhaze	N/A	Daniel Kamkahji	Daniel Kamkahji
Jefferson Capital Limited	1. Managing Securities 2. Advising on Securities	5th Floor 308 East Bay Street	N-9058	Ali Bilgrami	Shonalee Munroe	N/A	Khofiz Shakhidi	Ali Bilgrami
Kap Capital Ltd.	1. Managing Securities 2. Advising on Securities	Capital Union Bank Financial Centre, Western Road, Lyford Cay, Nassau, Bahamas	N-1879	Cristiano Carvalho de Souza Melo	Latonia Angela Symonette-Tinker	N/A	Cristiano Carvalho de Souza Melo	Isaac Khafif
Kirkoswald Global Management Services Ltd.	1. Dealing in Securities as Principal & Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	Suite 4B, Albany Financial Centre Lewis Drive Nassau, Bahamas	SP-63158	Gregory Coffey	David Abdo Hassan	Gregory Coffey	Gregory Coffey	Gregory Coffey

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LCG Capital Markets Limited	1. Dealing in Securities as Principal & Agent 2. Arranging Deals	5 North Buckner Square Olde Towne, Sandyport West Bay Street	N-272	Sean Munnings	Philip Dorsett	Rosario Jean Frederic Perfetto	N/A	N/A
Leno Corporate Services Limited	1. Dealing in Securities as Principal & Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	2nd Floor Pineapple Place, Bernard Road	N-8339	Sean Longley	Darcy Delaney	Kerah Farquharson; George A. White; Sonia Beneby	Kerah Farquharson; George A. White; Sonia Beneby	N/A
Levant Advisors Ltd.	1. Managing Securities 2. Advising on Securities	3rd Floor Bayview House, #308 East Bay Street	CB-12407	Angelo Butler	Prescott Adderley	N/A	Anthony Ferguson	Anthony Ferguson
Levant Securities Ltd.	1. Dealing in Securities as Principal & Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	Bayside Executive Park, No. 3, West Bay Street & Blake Road, Nassau, Bahamas	CB-12407	Anthony Ferguson	Prescott Adderley	Anthony Ferguson	Anthony Ferguson	Anthony Ferguson
Lighthouse Asset Management Ltd.	1. Managing Securities 2. Advising on Securities	#3 Pineapple Grove Old Fort Bay Western Road	N-7776	Riccardo Romani	Calvin Rolle	N/A	Riccardo Romani; Rosella Dack	Riccardo Romani; Rosella Dack
Lion Capital Partners Ltd.	1. Arranging Deals 2. Managing Securities 3. Advising on Securities	Caves Village , Building 2 West Bay Street		Lyndon Lea	Nathan Adderley	N/A	Lyndon Lea	Lyndon Lea
LOM Financial (Bahamas) Limited	1. Dealing in Securities as Principal & Agent 2. Advising on Securities	Building 4 Caves Village , West Bay Street	AB-12762	Craig Lines	Jalinka Strachan	(Vacant)	Nakhazia Gay	N/A

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Registrants as at 31 May 2024

LICENSEE	CATEGORIES	ADDRESS	P.O. BOX	Registered Representatives				
				CEO	COMPLIANCE OFFICER	TRADING REP	ADVISING REP	MANAGING REP
Lombard Odier & Cie (Bahamas) Limited	1. Dealing in Securities as Principal & Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	Goodman's Bay Corporate Centre, West Bay Street & Seaview Drive	N-4938	Eduard Zouein	Venetia Maura	(Vacant)	Claudio Godenzi; Maurice Chiacchiari; Alberto Inguaggito; Lea Dana; Edward Zouein;	Claudio Godenzi; Maurice Chiacchiari; Alberto Inguaggito; Lea Dana; Edward Zouein
LT Green Capital Investment Limited	1. Managing Securities 2. Advising on Securities	3rd Floor Bayview House, 308 East Bay Street	CB-12407	Edson Ito	Indira Ferguson	N/A	Edson Ito	Edson Ito
Lydda Capital Ltd.	1. Dealing in Securities as Principal or Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	Bayside Executive Park, No. 3, West Bay Street & Blake Road, Nassau, Bahamas	CB-12407	Anthony Ferguson	Precott Adderley	Anthony Ferguson	Anthony Ferguson	Anthony Ferguson
Macro Bank Limited	1. Dealing in Securities as Agent 2. Arranging Deals	Building 8 Office 1, Caves Village, West Bay Street	N-4444	Rosina Simeto	Stella Giani	Rosina Simeto	N/A	N/A
Magellan Securities Limited	1. Managing Securities 2. Advising on Securities	Suite 232, The Island Lane Building, The Olde Towne at Sandyport	CB-13366	Robert Davidowski	Nathan Adderley	Meredith Miller; Destiny Rollins Michael Slack	Robert Davidowski; Ian Towell;	Robert Davidowski
Magnum Global Advisors Ltd.	1. Managing Securities	APMS House, #8 Pineapple Grove, Old Fort Bay, Western Road, Nassau, Bahamas	SS-19689	Christian Coquoz	Indira Ferguson	N/A	N/A	Christian Coquoz
Marlin Capital Partners Limited	1. Managing Securities 2. Advising on Securities	CUB Financial Centre, Western Road, Nassau, Bahamas	N-4469	Zachary Lyons	Indira Ferguson	N/A	Zachary Lyons; Andrew Pajakowski Vasilia Mavros	Zachary Lyons; Andrew Pajakowski Vasilia Mavros
Metainvest Capital Management Ltd.	1. Managing Securities 2. Advising on Securities	212 Church Street, Old Towne Sandyport	N-3205	Riccardo Sigon	Latonia Symonette-Tinker	N/A	Riccardo Sigon	N/A

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				CEO	COMPLIANCE OFFICER	TRADING REP	ADVISING REP	MANAGING REP
Mint Capital Advisors Ltd.	1. Managing Securities	Templeton Building, West Bay Street	N-9204	Eric Strachan	Neil Major	N/A	N/A	Eric Strachan
MMG Bank & Trust Ltd.	1.Dealing in Securities as Agent 2. Managing Securities 3. Advising on Securities	Suite 102, 1st Floor, Saffrey Square, Bay Street & Bank Lane	N-4889	Pachridee Hanna-Ferguson	Cecil Ferguson	(Vacant)	(Vacant)	(Vacant)
Mosaic Financial Ltd.	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	Offices at Old Fort Bay Building #4, Western Road	SP-63771	Shameka Fernander	Laterio Culmer	Shameka Fernander	Robert Jensen	Robert Jensen
Nexor (Bahamas) Ltd.	1. Managing Securities 2. Advising on Securities	Suite 508 Albany Financial Center, South Ocean Boulevard,	AP-59205-3380	Daniel-Marc Brunner	Michelle Bain	N/A	Werner Gruner; Daniel-Marc Brunner	Daniel-Marc Brunner
O'CoF Securities Limited	1. Dealing as agent only including underwriting; and 2. Arranging deals in securities	No. 83 East Bay Street	EE 16320	N'Nhyn MhlIn Fernander	(Vacant)	Oswald Frederick Moore	N/A	N/A
Octogone Fund Management Ltd.	1. Managing Securities 2. Advising on Securities	No. 3 Offices Old Fort Bay, Western Rd.	SP-63157	George Pericles Maillis	Nathan Adderley	N/A	George Pericles Maillis James Walker	George Pericles Maillis James Walker Pablo Fuertes
Oracle Capital Advisors Ltd.	1. Dealing in Securities as Agent 2. Managing Securities 3. Advising on Securities	Suite 205A Saffrey Square, Bank Lane and Bay Street	N-9934	Oleg Nikityuk	Raynell Miller	Oleg Nikityuk	Oleg Nikityuk	Oleg Nikityuk

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LICENSEE	CATEGORIES	ADDRESS	P.O. BOX	Registered Representatives				
				CEO	COMPLIANCE OFFICER	TRADING REP	ADVISING REP	MANAGING REP
Palm Global Capital Ltd (Formally Destek Global Limited)	1. Dealing in Securities as Agent or Principal 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	Bayside Executive Park, No. 3, West Bay Street & Blake Road, Nassau, Bahamas	CB-12407	Anthony Ferguson	Prescott Adderley	Anthony Ferguson	Anthony Ferguson	Anthony Ferguson
Pepperstone Markets Limited	1. Dealing in Securities as Agent or Principal, including Underwriting 2. Arranging Deals 3. Managing Securities	No. 2 Compass Point Studios, West Bay Street, Nassau, Bahamas		Reece Chipman	Shavonna Cordice	(Vacant)	N/A	(Vacant)
Phoenix Capital Ltd.	1. Managing Securities 2. Advising on Securities	212 Church Street, Old Towne Sandyport	SP-64377	Luca Carlo Pasquale Lanciano	LaTanya Hamilton	N/A	Luca Carlo Pasquale Lanciano; Carla Taylor	Luca Carlo Pasquale Lanciano; Carla Taylor
Pictet Bank & Trust Limited	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	Bayside Executive Park, Building 1, West Bay Street & Blake Road	N-4837	(Vacant)	Janique Duvalier	Tamica Rolle; Donna Storr; Miriam Scott; Nikola Ruzicic-Kessler; Kristen N. Sweeting; Melan Georges	Monica Millers; David Diaz; Jeremy Stuby; Isabelle Fiaux; Jose Suarez; Karol Czaratoryzki; Carlos De La Torre;Manuel Garcia; Jose Antonio Gago; Fernando Luperi Guerra; Nikola Ruzicic-Kessler; Kristen N. Sweeting; Dionne Curry; Jeremy Proffitt	David Diaz; Nikola Ruzicic-Kessler; Typres Sturrup
Platinum Portfolio Management Ltd.	1. Managing Securities 2. Advising on Securities	Suite #200, 1 Marina Drive Sterling Commons Paradise Island Nassau, The Bahamas	N-1812	Blair Robert Panes	(Vacant)	N/A	Blair Robert Panes	Blair Robert Panes
Plotio Global Financial Limited	1. Dealing in Securities as Agent or Principal, including underwriting 2. Arranging Deals in Securities	Capital Union Bank Financial Centre, Western Road, Lyford Cay, Nassau, Bahamas	N-1879	Vincent Laurent King	Latonia Symonette-Tinker	Tsz Hong Fung	N/A	N/A

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LICENSEE	CATEGORIES	ADDRESS	P.O. BOX	Registered Representatives				
				CEO	COMPLIANCE OFFICER	TRADING REP	ADVISING REP	MANAGING REP
PLUS500BHS Ltd	1. Dealing in Securities as Agent or Principal, including underwriting	Bayside Executive Park, No. 3, West Bay Street & Blake Road, Nassau, Bahamas		Prescott Edvardo Adderley	Sherella Vernetta Gibson-Sturru	Anthony Robert Ferguson	N/A	N/A
Prevatt Capital Ltd.	1. Managing Securities 2. Advising on Securities	Lyford Cay House, Western Road	N 7512	Jonathan Israel Tepper	Indira Ferguson	N/A	Jonathan Israel Tepper	Jonathan Israel Tepper
Prex Markets Limited	1. Dealing in Securities as Agent	Balmoral Corporate Centre, 2nd Flr, Unit C, Suite B, Sanford Drive	P.O. Box SP-63191	Keva Ferguson	Shelby Brice	(Vacant)	N/A	N/A
Primo Investment Advisors Limited	1. Managing Securities 2. Advising on Securities	2 Lyford Cay Financial Centre, Western Road, Centre of Commerce Nassau, Bahamas	SP-63948	Ecio Silva	Indira Ferguson	N/A	Ecio Silva	Ecio Silva
Providence Advisors Limited	1. Dealing in Securities as Principal & Agent 2. Arranging Deals. 3. Managing Securities 4. Advising on Securities	1st Floor, Goodman's Bay Corporate Centre	AP-59223-409	Kenwood Kerr	Indira Ferguson	Carrol Burrows	N/A	Kenwood Kerr; Carrol Burrows
Q I Advisors (Bahamas) Ltd.	1. Managing Securities 2. Advising on Securities	#3 Windsor Business Park Nassau, Bahamas	SP-63035	(Vacant)	Kristy Kemp	(Vacant)	Alma McIntosh	(Vacant)

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LICENSEE	CATEGORIES	ADDRESS	P.O. BOX	Registered Representatives				
				CEO	COMPLIANCE OFFICER	TRADING REP	ADVISING REP	MANAGING REP
Quantfury Trading Limited	1. Dealing in Securities as Principal & Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	Lyford Cay House, 4th Floor, Western Road, Nassau, Bahamas	CB 12407	Ali Pourdad	Prescott Adderley	(Vacant)	(Vacant)	(Vacant)
RBC Dominion Securities (Global) Limited	1. Dealing in Securities as Principal & Agent 2. Arranging Deals. 3. Managing Securities 4. Advising on Securities	Suite 201, Albany Financial Center, South Ocean Boulevard	N-3234	Andrew McCartney	Mario Smith	John Rodney Bond; Francisco Goncalves; Gregory Metrailler; Amelia Jenice Bain	John Rodney Bond; Carol Denis Newbold; Sophia Eloise McKenzie; Francisco Goncalves; Gregory Metrailler	Francisco Goncalves; Gregory Metrailler
Renhe Financial Services Limited	1. Dealing in Securities as Agent 2. Arranging Deals	Sea Sky Lane, Sandypoint, Nassau, Bahamas	N-3406	(Vacant)	(Vacant)	Yi Zhou	N/A	N/A
RIA Company Ltd.	1. Managing Securities	Winterbotham Place, Malborough & Queen Streets	N-3026	Alejandro Luis Barroetavena	Anja Marie Charles	N/A	N/A	Alejandro Luis Barroetavena
RF Bank & Trust (Bahamas) Limited	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	Fidelity Financial Centre, 51 Frederick Street	N-4853	Michael Anderson	Garnal Brown	Belinda Payne; Quentin Euriel Gibson; Charmaine Williams	Tishrea Grey; Dominic Ajvon Stubbs ; Patrick Ferguson	Cleora Fraquharson; David Craig Van Onselen; David Slatter
Scotiabank (Bahamas) Limited	1. Dealing in Securities as Principal & Agent 2. Arranging Deals. 3. Managing Securities 4. Advising on Securities	Rawson Square, Bay Street, Nassau, Bahamas	N-7518	Roger Archer	Rico Russell	Deno Moss; Janae Francis-Ingraham	Deno Moss;	(Vacant)
Seacapital Ltd.	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	Bahamas Financial Centre, 3rd Floor, Shirley & Charlotte Streets, Nassau,Bahamas	N-4865	Steven Michael Videla	Helena Sturrupe	Steven Michael Videla	N/A	Steven Michael Videla

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				CEO	COMPLIANCE OFFICER	TRADING REP	ADVISING REP	MANAGING REP
Sinclair Asset Management Ltd.	1. Managing Securities 2. Advising on Securities	CUB Financial Center, Room TF3, Lyford Cay, Nassau, Bahamas	N/A	Jean-Marc Wenger	Indira Ferguson	N/A	Jean-Marc Wenger; Joel Johnson	Jean-Marc Wenger; Joel Johnson
SIS Solutions Ltd.	1. Managing Securities	Cumberland House, 15 Cumberland Street	SP-64111	Keva McPhee	Nicolette Krystina Campell	N/A	N/A	Keva McPhee
ST Global Markets Ltd.	1. Dealing in Securities as Agent	#83 East Bay Street, Nassau, Bahamas	SP-64427	Alicia Smith	(Vacant)	(Vacant)	N/A	N/A
Sterling (Bahamas) Limited	1. Arranging Deals 2. Managing Securities 3. Advising on Securities	Suite 205A, Saffrey Square Bank Lane and Bay Street Nassau Bahamas	N-9934	Alan Edward Cole	Raynell Naomi Miller	Christopher Claude Cole	Christopher Claude Cole	Christopher Claude Cole
Sterling Financial Group Inc.	1. Managing Securities 2. Advising on Securities	Sterling Commons West, One Marina Drive, Paradise Island, Nassau, Bahamas	N-1812	David Kosoy	(Vacant)	N/A	David Kosoy; Stephen Tiller;	David Kosoy; Stephen Tiller
Stone Tower Management Ltd.	1. Managing Securities	2nd Terrace West, Centreville	N-7755	Olivier Chaponnier	Indira Ferguson	N/A	N/A	Olivier Chaponnier Marco Albert Montanari
Swan Capital Ltd.	1. Managing Securities	Halisbury Commercial Centre, #10, Village Road, Nassau, Bahamas	SS-6333	Jorge Isaac Moreira Barrow	Rosemary Barrett	N/A	N/A	Jorge Isaac Moreira Barrow
Talent Asset Management Limited	1. Managing Securities 2. Advising on Securities	INCUEDESK West, Windsor Field Rd., Old Fort Bay Town Centre, Buildng #3, Suit #13, Nassau, Bahamas	N-3026	Jose Ignacio Chercasky	Anja Marie Charles	N/A	Jose Ignacio Chercasky	Jose Ignacio Chercasky

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				CEO	COMPLIANCE OFFICER	TRADING REP	ADVISING REP	MANAGING REP
Techfin Markets Limited	1. Dealing in Securities as Principal or Agent, including underwriting 2. Arranging Deals	Capital Union Bank Financial Centre, Lyford Cay, Nassau, Bahamas	N-1879	Theresa Haven-Adderley	Latonia Symonette-Tinker	Christos Barris; Andreas Yiangou	N/A	N/A
Templeton Global Advisors Limited	1. Managing Securities 2. Advising on Securities	Templeton House, Lyford Cay, Nassau, Bahamas	N-7759	Christopher Peel	Peter Palleija	N/A	Peter Manfred Moeschter; Warren Pustam	Christopher Peel; Peter Manfred Moeschter; Warren Pustam
The Bank of Nova Scotia Trust Company (Bahamas) Ltd.	1. Dealing in Securities as Principal & Agent 2. Arranging Deals. 3. Managing Securities 4. Advising on Securities	Scotia House, 404 East Bay Street	N-3016	Neil Macdonald	Malissa Taylor	Jerome Franks Locksley Knowles II	Jerome Franks; Juan Giraldo Locksley Knowles II	Jerome Franks Locksley Knowles II
The Private Trust Corporation Limited	1.Dealing in Securities as Agent 2. Arranging Deals	2nd Floor, Charlotte House, Shirley & Charlotte Streets	N-65	Adrian Crosbie-Jones	Natasha Dean	Charlene Storr; Bruno Roberts	N/A	N/A
The Winterbotham Trust Company Limited	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	Winterbotham Place, Marlborough & Queen Streets	N-3026	Ivan Hooper	Anja Marie Charles	Ivan Hooper; Jessica Thornley-Mitchell; Andrea Quant	Jessica Thornley-Mitchell	David Thain
Toredo Ltd.	1. Dealing in Securities as Principal or Agent 2. Arranging Deals and trading CFD's	Old Fort Bay Town Center Windsor Field Rd. Nassau, Bahamas		Jason Oliver	Candice A. McPhee	Stefanos Kashiouris	N/A	N/A

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				CEO	COMPLIANCE OFFICER	TRADING REP	ADVISING REP	MANAGING REP
Trade Nation Ltd.	1. Dealing in Securities as Principal or Agent, including underwriting 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	Bayside Executive Park, No. 3, West Bay Street & Blake Road, Nassau, Bahamas	CB-12407	Richard Daniel Nathan	Aruna Tara Rodgers	Anthony Ferguson	Anthony Ferguson	Anthony Ferguson
TradeZero Inc.	1. Dealing in Securities as Principal or Agent	436 West Bay Street Nassau, Bahamas	SP-62904	Kay Briggs	(Vacant)	Kay Briggs	N/A	N/A
UCAP Bahamas Limited	1. Dealing in Securities as Principal or Agent, including underwriting 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	CUB Financial Center, Lyford Cay, Nassau, Bahamas	N/A	Clement Ducasse	Indira Ferguson	Clement Ducasse	Clement Ducasse	Clement Ducasse
Vizibility Bahamas Ltd.	1. Managing Securities 2. Advising on Securities	Unit #1 Pineapple Business Park, Airport Industrial Park, Nassau, The Bahamas	SB-60765	Aurelien Vicart	Monique Knowles	N/A	Aurelien Vicart	Aurelien Vicart
WG Capital Ltd.	1. Dealing in Securities as Principal & Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	Unit A, Balmoral Corporate Center, Balmoral Development	AP-59223 Slot 501	Stavros Spyropoulos	Shelby Brice	Chandra Wallace-Whitfield	(Vacant)	(Vacant)
Wincrest Capital Ltd.	1. Arranging Deals. 2. Managing Securities 3. Advising on Securities	3rd Floor, CUB Financial Center, Lyford Cay	N-7776	Barbara Ann Bernard	Indira Ferguson	N/A	Barbara Ann Bernard	Barbara Ann Bernard
Windermere Corporate Management Limited	1. Managing Securities	200 Sterling Commons, Harbour Drive, Paradise Island, Nassau, Bahamas	N-7797, Suite A-084	John Lawrence	Millicent Clarke	N/A	N/A	John Lawrence

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				CEO	COMPLIANCE OFFICER	TRADING REP	ADVISING REP	MANAGING REP
XL Partners Ltd.	1. Managing Securities 2. Advising on Securities	APMS House #8 Pineapple Grove, Old Fort Bay, Western Road, Nassau, The Bahamas	N/A	Mitsuo Alves	Indira Ferguson	N/A	Mitsuo Alves	Mitsuo Alves