



## SECURITIES COMMISSION OF THE BAHAMAS

### Securities Industry (Fee) Rules, 2024

#### Schedule of (pro-rated) annual fees for firms registered to conduct securities business

Month of Approval	Dealing in Capital Markets Instruments	Managing Capital Markets Instruments	Advising on Capital Markets Instruments	Arranging Deals in Capital Markets Instruments	Additional/Multiple Categories (Each)	CFD Firms
January	16,500.00	15,000.00	11,000.00	8,500.00	6,250.00	45,000.00
February	15,125.00	13,750.00	10,083.33	7,791.67	5,729.17	41,250.00
March	13,750.00	12,500.00	9,166.67	7,083.33	5,208.33	37,500.00
April	12,375.00	11,250.00	8,250.00	6,375.00	4,687.50	33,750.00
May	11,000.00	10,000.00	7,333.33	5,666.67	4,166.67	30,000.00
June	9,625.00	8,750.00	6,416.67	4,958.33	3,645.83	26,250.00
July	8,250.00	7,500.00	5,500.00	4,250.00	3,125.00	22,500.00
August	6,875.00	6,250.00	4,583.33	3,541.67	2,604.17	18,750.00
September	5,500.00	5,000.00	3,666.67	2,833.33	2,083.33	15,000.00
October	4,125.00	3,750.00	2,750.00	2,125.00	1,562.50	11,250.00
November	2,750.00	2,500.00	1,833.33	1,416.67	1,041.67	7,500.00
December	1,375.00	1,250.00	916.67	708.33	520.83	3,750.00

<b>Application Fees</b>	1,500.00	1,500.00	1,500.00	1,500.00	3,125.00	5,000.00
<b>Registration Fees</b>	15,000.00	12,500.00	8,500.00	6,250.00	4,000.00	20,000.00

1. Fees are denominated in B\$ (Bahamian Dollars).
2. This schedule is based on fees in effect at 31 December 2024 and is for information purposes only. This schedule does not supersede any duly prescribed fees.
3. These fees in this schedule are the annual fees due in the year an applicable application is approved, based on the month that the application is approved (absolute or on condition) and authorized to operate. Thereafter, the full annual fee is due.
4. Firms approved to conduct multiple registrable securities activities will be charged the corresponding pro-rated fees for the category with the highest annual fee, in addition to the applicable pro-rated fees listed under the "Additional/Multiple Categories (Each)" column for each additional category of registration.