



SECURITIES COMMISSION OF THE BAHAMAS

Securities Industry (Fee) Rules, 2024

Schedule of (pro-rated) annual fees for CEOs, Compliance Officers and Registered Representatives

Month of Approval	CEO	Compliance Officer	Representative Trading/CFD Trading Rep	Representative, Discretionary Management	Representative, Advising	CFD Supervisory Officer
January	800.00	800.00	725.00	725.00	725.00	6,250.00
February	733.33	733.33	664.58	664.58	664.58	5,729.17
March	666.67	666.67	604.17	604.17	604.17	5,208.33
April	600.00	600.00	543.75	543.75	543.75	4,687.50
May	533.33	533.33	483.33	483.33	483.33	4,166.67
June	466.67	466.67	422.92	422.92	422.92	3,645.83
July	400.00	400.00	362.50	362.50	362.50	3,125.00
August	333.33	333.33	302.08	302.08	302.08	2,604.17
September	266.67	266.67	241.67	241.67	241.67	2,083.33
October	200.00	200.00	181.25	181.25	181.25	1,562.50
November	133.33	133.33	120.83	120.83	120.83	1,041.67
December	66.67	66.67	60.42	60.42	60.42	520.83
Application Fees	575.00	575.00	475.00	475.00	475.00	1,250.00
Registration Fees	800.00	800.00	725.00	725.00	725.00	3,750.00

1. Fees are denominated in B\$ (Bahamian Dollars).
2. This schedule is based on fees in effect at 31 December 2024 and is for information purposes only. This schedule does not supersede any duly prescribed fees.
3. These fees in this schedule are the annual fees due in the year an applicable application is approved, based on the month that the application is approved (absolute or on condition) and authorized to operate. Thereafter, the full annual fee is due.