

Securities Industry Act, 2024										
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Registrants as at 31 May 2025										
LICENSEE	CATEGORIES	CONTACT PERSON	ADDRESS	P.O. BOX	Registered Representatives					
					CEO	COMPLIANCE OFFICER	TRADING REP	ADVISING REP	MANAGING REP	CFD SUPERVISORY OFFICER
A.S.P.I Bahamas Ltd.	1. Managing Capital Market Instruments 2. Advising on Capital Market Instruments	Magnal E. Thompson	Ground Floor Ocean Centre, East Bay Street	SP-61913	Carole Sassoon	Magnal Elaine Thompson	N/A	N/A	N/A	
Abaco Global Advisors Ltd.	1. Managing Capital Market Instruments	Oliver Notz Michelle Knowles-Doura	38 One Sandyport Plaza West Bay Street	SP-64168	Oliver Notz	Michelle Knowles-Doura	N/A	N/A	Oliver Notz	
Acetop Global Markets Limited	1. Dealing in Capital Market Instruments as Agent or Principal 2. Arranging Deals in Capital Market Instruments	Fareda Sands	Unit 9, North Buckner Square, Sandyport Nassau Bahamas	N/A	Fareda Sands	Nichollette Farrington	Mark Gemma	N/A	N/A	
ActivTrades Corp.	1. Dealing in Capital Market Instruments as Agent or Principal 2. Arranging Deals in Capital Market Instruments and trading CFD's	Alessandro Giuseppe Gho	209/210 Church Street, Sandyport Plaza, Nassau, Bahamas	SP-64388	Alessandro Giuseppe Gho	Clesha Morley	Andrea Draghi	N/A	N/A	Alessandro Gho
Akelius Invest Ltd.	1. Managing Capital Market Instruments	Demetrius Syllouris	Lyford Manor, Western Road, Nassau Bahamas	AP-59223	Demetrius Syllouris	Sandra Gilbert	N/A	N/A	Demetrius Syllouris	
Alliance Investment Management Ltd.	1. Dealing in Capital Markets Instruments as Agent 2. Arranging Deals in Capital Market Instruments 3. Advising on Capital Market Instruments	Julian Brown	Carmichael Commercial Centre, 2nd Floor Suite 201, Carmichael Road	SS-19051	Julian Brown	Vacant	Julian Brown; Nolan Johnson	Julian Brown	N/A	
AlpacaX Securities Ltd.	1. Dealing in Capital Market Instruments as Agent or Principal 2. Arranging Deals in Capital Market Instruments 3. Managing Capital Market Instruments 4. Advising on Capital Market Instruments	Tarun Ajwani, Ebony Wright	Crypto Isle, Bay Street, Nassau Bahamas	N/A	Tarun Ajwani	Ebony Wright	Tony Lee	Tony Lee	Tony Lee	
Alternative Investment Solutions Ltd.	1. Dealing in Capital Markets Instruments as Agent 2. Arranging Deals in Capital Market Instruments 3. Managing Capital Market Instruments 4. Advising on Capital Market Instruments	Samir Lakkis	5 Pineapple Grove, Lyford Cay	SP-64284	Samir Lakkis	Francisca Anthonicia Delva	Samir Lakkis	Tameka Stubbs Julian Benichou Sergio Esteso Vidal; Samir Lakkis Nassib Hacham; Markus Wiessler; Maria Ujueta; Miguel Solis; Richard Allford; Ignacio A.A. Torro; Karim A. Lakkis Cases; Rogelio Javier Solis Velasquez; Mina Lazic; Jad Al Boustani; Karim Lakkis Cases	N/A	
Ask Capital Management Ltd.	1. Managing Capital Market Instruments	James Hoar	Clairmont Trust Company Limited Pineapple House #4 Lyford Cay	SP-642874	James Hoar	Wanda Maycock	N/A	N/A	Fouad Akkari; James Hoar; Daniel Magdolen	
Axmin Capital Ltd.	1. Dealing in Capital Market Instruments as Agent or Principal 2. Advising on Capital Market Instruments	Ernesto Fridman Pardo	Winterbotham Place, Marlborough & Queen Sts.	N-3026	Ernesto Fridman Pardo	Marcelo A. Liste	Guillermo Baeza	Guillermo Baeza; Ernesto Fridman Pardo	N/A	

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Axxets Management Inc.	1. Managing Capital Market Instruments 2. Advising on Capital Market Instruments	Anthony Ferguson	#308 Bayview House East Bay Street Nassau, Bahamas	CB-12407	Anthony Ferguson	Prescott Adderley	N/A	Anthony Ferguson Aslan L. H. Mansur	Anthony Ferguson	
Bahamas Central Securities Depository (BCSD)	Clearing Facility	Melissa Campbell Alson Ferguson	British Colonial Hotel Hilton #202	N-9307	Melissa Campbell	Latonia Symonette-Tinker	N/A	N/A	N/A	
Bahamas International Securities Exchange (BISX)	Exchange	Holland Grant Keith Davies	Suite 201, Fort Nassau Centre, British Colonial Hilton, Bay Street	EE-15672	Keith Davies	Holland Grant	N/A	N/A	N/A	
Bahamas Securities (1987) Limited	1. Advising on Capital Market Instruments	George C. Culmer	Gresham House' Charlotte Street South	N-10144	George C. Culmer	Myles Culmer	N/A	George C. Culmer; Myles J. Culmer	N/A	
Banca del Sempione (Overseas) Ltd.	1. Dealing in Capital Markets Instruments as Agent 2. Arranging Deals in Capital Market Instruments 3. Managing Capital Market Instruments 4. Advising on Capital Market Instruments	Simone Bertoldo	Unit 10-12 Old Fort Bay Town Centre, Bldg. 2	N-8159	Simone Bertoldo	Rickel Alma Morton	Edrick Cleare; Simone Bertoldo; Benjamin Roger Pinder	Stefano Donati, Benjamin Roger Pinder	Simone Bertoldo; Stefano Donati	
Banco Santander International SA	1. Dealing in Capital Market Instruments as Agent or Principal 2. Arranging Deals in Capital Market Instruments 3. Managing Capital Market Instruments 4. Advising on Capital Market Instruments	Toneika Russell Tamara Johnson	1st Floor Caves Corporate Centre, Building A, West Bay Street and Blake Road	N-1682	Jordi Planell Pons	Tamara Joan Johnson	Jordi Planell Pons	Jordi Planell Pons	Jordi Planell Pons	
BankPro Limited	1. Dealing in Capital Market Instruments as Agent or Principal 2. Arranging Deals in Capital Market Instruments 3. Managing Capital Market Instruments 4. Advising on Capital Market Instruments	Vasily Sukhotin	Lyford Manor, Western Road, Nassau, Bahamas	N-7776	(Vacant)	(Vacant)	(Vacant)	(Vacant)	(Vacant)	
Bank J. Safra Sarasin (Bahamas) Ltd.	1. Dealing in Capital Market Instruments as Agent or Principal 2. Arranging Deals in Capital Market Instruments 3. Managing Capital Market Instruments 4. Advising on Capital Market Instruments	Adrian Zadeh	Lyford Financial Centre, Building 2, Western Road, Lyford Cay	CB-10988	Adrian Zadeh	Helene Virginie Tison Duhaze	Yantoni Zeitounie; Joao Franco	Adrian Zadeh	Adrian Zadeh Daniel Saralegui	
BDI Limited	1. Managing Capital Market Instruments 2. Advising on Capital Market Instruments	Nicole M. Dupuy	Bougainvillea Lyford Drive, Lyford Cay. Nassau, Bahamas	N-4287	Nicole M. Dupuy	Monique Knowles	N/A	Nicole M. Dupuy	Nicole M. Dupuy	
Benchmark Advisors (Bahamas) Ltd.	1. Dealing in Capital Market Instruments as Agent or Principal 2. Advising on Capital Market Instruments	Julian Brown	Gresham House, Charlotte Street North	N-10144	Sandra J. Knowles	(Vacant)	Nolan Johnson	Nolan Johnson	N/A	
Black Isle Management Services Ltd.	1. Managing Capital Market Instruments 2. Advising on Capital Market Instruments	Ian Black	104 Cotton Tree Plaza, East Bay Street, Nassau, Bahamas	SS-19501	Ian Black	Valentino Brown	N/A	Marc-Claudio Muller Ian Black	Bradley Crawley Ian Black Marc-Claudio Muller	

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Blackwell Global Investments Limited	1. Dealing in Capital Market Instruments as Agent or Principal 2. Arranging Deals in Capital Market Instruments 3. Managing Capital Market Instruments	Philisa Beneby Williams	4 North Buckner Square Sandyport, Nassau, Bahamas	N-3406	Jerome Gomez	Philisa Beneby Williams	Shiwei Kwong	N/A	Shiwei Kwong	
Blue Line Advisors Ltd.	1. Managing Capital Market Instruments	Pierre M. E. Monnard	APMS House #8 Pineapple Grove Old Fort Bay	SS-19689	Pierre M. E. Monnard	Indira Ferguson	N/A	N/A	Pierre M. E. Monnard	
Bluebay Global Advisors Ltd.	1. Managing Capital Market Instruments	Roger Landucci	APMS House #8 Pineapple Grove Old Fort Bay	SS-19689	Pierre Monnard	Indira Ferguson	N/A	N/A	Pierre Monnard	
Britannia Bank & Trust Ltd.	1. Dealing in Capital Markets Instruments as Agent 2. Arranging Deals in Capital Market Instruments 3. Managing Capital Market Instruments 4. Advising on Capital Market Instruments	Katriena Marshall Sue-Ann Viken	Caves Village, Building #2, West Bay Street, Nassau, Bahamas	N-3917	Jose Herrera	Sue-Ann Viken	Mateo Raimondo Julian Adderley Andrea Albanese Joseph Byrne Jose Herrera	Mateo Raimondo Julian Adderley Andrea Albanese Joseph Byrne	Mateo Raimondo Julian Adderley Andrea Albanese Joseph Byrne Jose Herrera	
Britannia Securities Limited	1. Dealing in Capital Market Instruments as Agent or Principal 2. Arranging Deals in Capital Market Instruments 3. Managing Capital Market Instruments 4. Advising on Capital Market Instruments	Andre Souza, Rochelle Cleare	Unit 6, Western Business Centre, Western Road, Nassau Bahamas	SP-64093	Andre Souza	Rochelle Cleare	Shinique Rolle, Bernardo Amaral	Andre Souza	Andre Souza; Shinique Rolle, Bernardo Amaral	
Canaccord Genuity Emerging Markets Ltd.	1. Arranging Deals in Capital Market Instruments 2. Advising on Capital Market Instruments	LaTonia Symonette-Tinker	119 Harbour Way, Ocean Club Estates, Paradise Island, Nassau, Bahamas	N -1879	Vacant	LaTonia Symonette-Tinker	N/A	Vacant	N/A	
Capital Com Online Investments Ltd.	1. Dealing in Capital Market Instruments as Agent or Principal 2. Arranging Deals in Capital Market Instruments and trading CFD's	Prescott Edvardo Adderley	#3 Bayside Executive Park, Blake Road and West Bay Street	65167	Prescott Edvardo Adderley	Noelle Paje Scott	Anthony Ferguson	N/A	N/A	Anthony Ferguson
Capital Index (Global) Limited	1. Dealing in Capital Market Instruments as Agent or Principal 2. Arranging Deals in Capital Market Instruments and trading CFD's	Anthony Ferguson Prescott Adderley	Bayside Executive Park, No. 3, West Bay Street & Blake Road, Nassau, Bahamas	SS-19086	Anthony Ferguson	Prescott Adderley	Anthony Ferguson	N/A	N/A	Anthony Ferguson
Capital Union Bank	1. Dealing in Capital Market Instruments as Agent or Principal 2. Managing Capital Market Instruments 3. Advising on Capital Market Instruments	Nicolette Alexis Duncanson	CUB Financial Centre; Lyford Cay	AP-59223	Patrick Zbinden	Nicolette Alexis Duncanson	Clement Ducasse; Antoine Lacourt; Maxine Barberis; Pierre Soron; Clement Gless	Clement Ducasse; Antoine Lacourt	Clement Ducasse; Antoine Lacourt;	
CBH Bahamas Ltd.	1. Dealing in Capital Market Instruments as Agent 2. Arranging Deals in Capital Market Instruments 3. Managing Capital Market Instruments 4. Advising on Capital Market Instruments	Daniel Baron	CBH House, East Bay Street	N-1724	Daniel Baron	Rochelle Rolle	Shamini Gibson; Jamal Wright; Daniel Baron; William Gibson; Ginarenae Chuno; Lynette Martinborough; ; Julio Vargas	Shamini Gibson; Jamal Wright; Ursula Rolle; William Gibson; Ginarenae Chuno; Guilhermo Alvarez Santini; Lynette Martinborough; Julio Vargas; Daniel Baron	Ursula Rolle	

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CF Group Company Limited	1. Dealing in Capital Market Instruments as Agent	Keva Ferguson; Indira Fergsuon	Balmoral Corporate Centre, 2nd Floor, Unit C, Suite A Sandford Drive	SP-63191	Keva Ferguson	Indira Ferguson	Vacant	N/A	N/A	
CFAL Securities Ltd.	1. Dealing in Capital Market Instruments as Agent or Principal 2. Arranging Deals in Capital Market Instruments 3. Managing Capital Market Instruments 4. Advising on Capital Market Instruments	Anthony Ferguson	308 East Bay Street	CB-12407	Anthony Ferguson	Monique Knowles	Pamela Musgrove; Tiffany Angelique Smith; Jeanelle Christal Francis; Anna Zervos;	Dwayne Swann; Shafegah Duncanson;	N/A	
CIBC Caribbean Bank (Bahamas) Limited	1. Dealing in Capital Market Instruments as Agent or Principal 2. Arranging Deals in Capital Market Instruments 3. Managing Capital Market Instruments 4. Advising on Capital Market Instruments	Sherrylyn Bastian	FirstCaribbean International Bank Financial Centre, 2nd Floor, Shirley Street	N-3221	Jacqueline Bend	Alicia Shalle Stuart	Gina Mackenzie; Sean Blyden; Brent Haines; Domingo L. Weir	Brent Haines; Domingo L. Weir	Gina Mackenzie	
CIBC Caribbean Trust Company (Bahamas) Limited	1. Dealing in Capital Market Instruments as Agent 2. Arranging Deals in Capital Market Instruments 3. Managing Capital Market Instruments 4. Advising on Capital Market Instruments	Perry A Rolle	1st Floor Goodman's Bay Corporate Centre, West Bay Street & Seaview Drive	N-3933	Perry A Rolle	Joan Rahming	Desaree Shantell Romer	(Vacant)	(Vacant)	
Clairmont Trust Company Limited	1. Managing Capital Market Instruments 2. Advising on Capital Market Instruments	Miles Evans	#4 Pineapple House, Lyford Cay	SP-64284	Miles Evans	Wanda Maycock	N/A	Miles Evans; James Hoar; John Jephson; Jaime Stewart	Miles Evans; James Hoar; John Jephson; Jaime Stewart	
Colina Financial Advisors Limited (CFAL)	1. Managing Capital Market Instruments 2. Advising on Capital Market Instruments	Anthony Ferguson	308 East Bay Street	CB-12407	Anthony Ferguson	Monique Knowles	N/A	Anthony Ferguson Pamela Musgrove	Anthony Ferguson Pamela Musgrove	
Coralisle Pension Services (Bahamas) Limited	1. Dealing in Capital Market Instruments as Agent 2. Arranging Deals in Capital Market Instruments 3. Managing Capital Market Instruments 4. Advising on Capital Market Instruments	Larry Gibson	2nd Floor Atlantic House, Collins Avenue	SS-6246	Larry Gibson	Derek Smith	Kriston Moore	Larry Gibson	(Vacant)	
Core Capital Partners Ltd.	1. Dealing in Capital Market Instruments as Agent 2. Arranging Deals in Capital Market Instruments 3. Managing Capital Market Instruments 4. Advising on Capital Market Instruments	Kristy Kemp	#3 Windsor Business Park, Building B-1, Windsor Field Road Nassau, Bahamas	SP-63035	Christian V . Quege	Kristy Kemp	Alma McIntosh	Alma McIntosh	Christian V . Quege	
Corner Bank (Overseas) Limited	1. Dealing in Capital Market Instruments as Agent or Principal 2. Managing Capital Market Instruments 3. Advising on Capital Market Instruments and trading CFD's	Christine Russell	Lyford House, Lyford Cay	N-7134	Christine Russell	Jennifer Rahming	Davide Sergio Bonetti; Shakema Sweeting; Eronjha McIntosh; Shevonne Rahming	Davide Sergio Bonetti; Orazio Defilippis; Andrea Fronzoni; Peter Ceuleers; Jamaal Wright	Orazio Defilippis Davide Sergio Bonetti	Davide Sergio Bonetti

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CPL Advisors Limited	1. Managing Capital Market Instruments	Jonecka Wright	Winterbotham Place Marlborough & Queen Streets	N- 3026	Agustin del Rio	Anja Charles	N/A	N/A	Agustin del Rio	
Credit Suisse Brazil (Bahamas) Limited	1. Dealing in Capital Market Instruments as Agent or Principal	Lakeisha Sands Jeunesse Osadebay-Bullard Ghislane Wilkinson; list.csnbnotice@credit-suisse.com;	4th Floor Bahamas Financial Centre, Shirley & Charlotte Streets	N-3241	Lakeisha Sands	Jeunesse Osadebay-Bullard	N/A	N/A	N/A	
Crescendo (Bahamas) Ltd.	1. Managing Capital Market Instruments 2. Advising on Capital Market Instruments	Claude Philip Meier	Western Business Center, Western Road, Nassau, Bahamas	SP-64412	Claude Philip Meier	Natasha Michelle Bastian	Damian Eustan Forbes	Claude Philip Meier Damian Eustan Forbes	Claude Philip Meier Damian Eustan Forbes	
Dartley Securities Limited	1. Dealing in Capital Market Instruments as Agent	Dillon Dean	Equity House, Caves Village Plaza, West Bay Street & Blake Road	CB-11838	Dillon Dean	Sally Patton	Thomas Strachan	N/A	N/A	
Deltec Bank & Trust Ltd.	1. Dealing in Capital Market Instruments as Agent or Principal 2. Arranging Deals in Capital Market Instruments 3. Managing Capital Market Instruments 4. Advising on Capital Market Instruments	Odetta Morton	Deltec House, Lyford Cay	N-3229	(Vacant)	(Vacant)	Audra Dean	(Vacant)	(Vacant)	
ECM Capital Advisors Ltd.	1. Arranging Deals in Capital Market Instruments 2. Advising on Capital Market Instruments	LaTonia Symonette-Tinker	Capital Union Financial Centre, 3rd Floor Western Road, Lyford Cay	N-1879	Gene Caldwell McBurney	LaTonia Symonette-Tinker	N/A	Gene Caldwell McBurney	N/A	
EFG Bank & Trust (Bahamas) Ltd.	1. Dealing in Capital Market Instruments as Agent or Principal 2. Arranging Deals in Capital Market Instruments 3. Managing Capital Market Instruments 4. Advising on Capital Market Instruments	Charles Newbold Maria Dorsett	Goodman's Bay Corporate Centre, 3rd Floor, West Bay Street and Sea View Drive	CB 10956	Alexandre Sampedro	Maria Dorsett	Armando Neto, Alexandre Suarez	Nicole Leonard; Robert S. Morrison; Katty Ferguson; Armando Neto; Douglas Blank De Sousa Pereira; Carlos D'Alessandro; Alexandre Suarez	(Vacant)	
Eightcap Global Limited	1. Dealing in Capital Market Instruments as Agent or Principal 2. Arranging Deals in Capital Market Instruments	Andrew Rolle	201 Church Street, Sandyport, West Bay St., Nassau, Bahamas	SP 64407	Andrew Rolle	Samuel Wilkinson	Joel Murphy	N/A	N/A	
Elco Securities Limited	1. Dealing in Capital Market Instruments as Agent 2. Arranging Deals in Capital Market Instruments 3. Managing Capital Market Instruments 4. Advising on Capital Market Instruments	E. Isaac Collie	Loyalist Plaza, Don Mackey Boulevard, Marsh Harbour, Abaco	AB-20377	E. Isaac Collie	J. Derek Benjamin	David Hoang	Lawrence Collie	E. Isaac Collie	

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Equity Bank Bahamas Limited	1. Dealing in Capital Market Instruments as Agent or Principal 2. Arranging Deals in Capital Market Instruments 3. Managing Capital Market Instruments 4. Advising on Capital Market Instruments	Dillon Dean	Equity Trust House, Caves Village, West Bay Street	N-10697	Dillon Dean	Robyn Newton	Dillon Dean	Dillon Dean	Dillon Dean	
EuroBanco Bank Limited	1. Dealing in Capital Market Instruments as Agent	Natividad Esther Benitez	Suite 5 Caves Professional Center, West Bay Street & Blake Road	N-1114	(Vacant)	Natividad Esther Benitez	(Vacant)	N/A	N/A	
Exmer Partners Limited	1. Managing Capital Market Instruments	Ivan Hooper	Winterbotham Place, Marlborough & Queen Streets	SP-62557	Ivan Hooper	Anja Marie Charles	N/A	N/A	Ivan Hooper	
Extiber Ltd.	1. Managing Capital Market Instruments 2. Advising on Capital Market Instruments	Flavio De Paulis	212 Church St., Sandyport, West Bay Street	SP-62238	Flavio De Paulis	Nathan Adderley	N/A	Alessandro Russo	Alessandro Russo	
FAM Fund and Asset Management Ltd.	1. Managing Capital Market Instruments 2. Advising on Capital Market Instruments	Indira Ferguson	APMS House #8 Pineapple Grove, Old Fort Bay, Western Road	SS - 19689	Christian Coquoz	Indira Ferguson	N/A	Christian Coquoz	Christian Coquoz	
Faro Capital Investors Ltd.	1. Arranging Deals in Capital Market Instruments 2. Advising on Capital Market Instruments	Khallia Duncombe	4th Floor, Lyford Cay House, Western Rd	N-7776	Federico Andres Riege	(Vacant)	N/A	Khallia Tsavousis-Duncombe	N/A	
FC Capital Investments Ltd.	1. Managing Capital Market Instruments 2. Advising on Capital Market Instruments	Alexander Martin Murbach	# 3 Western New Providence Commercial Center	SS-19027	Alexander Martin Murbach	(Vacant)	N/A	Leo Betschart; Tiffany Jones	Leo Betschart; Tiffany Jones	
Fidelis Advisors Ltd.	1. Managing Capital Market Instruments 2. Advising on Capital Market Instruments	Miles Evans	#4 Pineapple House, Lyford Cay	SP-64284	Miles Evans	Wanda Maycock	N/A	Miles Evans; Osman Amre Youness	Miles Evans; Osman Amre Youness	
First Overseas Bank Limited	1. Dealing in Capital Market Instruments as Agent or Principal 2. Advising on Capital Market Instruments	Carlos Walton	Office #2, Building #8, Caves Village Professional Centre, West Bay Street	CB-11903	Carlos Walton	Ricardo Leandro Villalba	Carlos Walton	Federico Perez	N/A	
First Prudential Markets (Global) Ltd.	1. Dealing in Capital Market Instruments as Agent or Principal 2. Arranging Deals in Capital Market Instruments and trading CFD's	Craig Mckinlay Allison	Sea Sky Lane Sandyport, West Bay Street	N/A	Craig Mckinlay Allison	Kyriakos Kyprianou	Thomas Peter Roberts	N/A	N/A	Craig Mckinlay Allison
Fitpart Fund Administration Services Ltd.	1. Managing Capital Market Instruments 2. Advising on Capital Market Instruments	Fernando Prado	Bahamas Financial Centre, 4th floor, Shirley & Charlotte Streets	CB-13515	Fernando Prado	Indira Ferguson	N/A	Fernando Prado	Fernando Prado	
FK Capital Management Ltd.	1. Managing Capital Market Instruments 2. Advising on Capital Market Instruments	Jorge Finkler Braverman	2nd Floor Goodman's Bay Corporate Center Nassau, Bahamas	SP-61567	Jorge Finkler Braverman	Indira Ferguson	N/A	Jorge Finkler Braverman; Eduardo Finkler Bravermen	N/A	

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Fusion Markets Ltd.	1. Dealing in Capital Market Instruments as Agent or Principal 2. Arranging Deals in Capital Market Instruments and trading CFD's	Tina Ginton	One West Business Plaza Nassau, Bahamas	N/A	(Vacant)	Tina Ginton	David Swinden	N/A	N/A	(Vacant)
FxPro Global Markets Ltd.	1. Dealing in Capital Market Instruments as Agent or Principal and trading CFD's	Denis Sukhotin	Lyford Manor, Western Road, Nassau, Bahamas	N-7776	Denis Sukhotin	Eduardo Gavotti	Denis Sukhotin	N/A	N/A	(Vacant)
GEM Global Equities Management S.A.	1. Managing Capital Market Instruments 2. Advising on Capital Market Instruments	Duhiza Smith	Templeton Building, Western Road Nassau, The Bahamas	N-9204	(Vacant)	(Vacant)	N/A	N/A	N/A	
Global Advisors Partners Ltd.	1. Managing Capital Market Instruments 2. Advising on Capital Market Instruments	Alexandre Vancoff; Ivano Allata	3rd Floor Coin of the Realm Building Charlotte Street	N-9471	Alexandre Vancoff	Edgar Seligman	N/A	Ivano Allata Alexandre Vancoff	Alexandre Vancoff, Ivano Allata	
Gonet Bank & Trust Limited	1. Dealing in Capital Market Instruments as Agent or Principal 2. Arranging Deals in Capital Market Instruments 3. Managing Capital Market Instruments 4. Advising on Capital Market Instruments	Jonathan Cartwright	Offices at Old Fort Bay, West Bay St.	SP-61302	Jonathan Cartwright	Wendell Alick Gardiner	Ingrid Taylor; Valentin Sevilla; Valerie Garcia	Ingrid Taylor; Valentin Sevilla; Patrick Guye-Bergeret; Valerie Garcia	Ingrid Taylor; Valentin Sevilla; Valerie Garcia	
Green Cay Advisory Ltd.	1. Managing Capital Market Instruments 2. Advising on Capital Market Instruments		Building #2, Caves Village	N-7776	Joseph Byrne	Indira Ferguson	N/A	Joseph Byrne	Joseph Byrne	
Gryphon Investment Advisors Bahamas Limited	1. Arranging Deals in Capital Market Instruments 2. Managing Capital Market Instruments 3. Advising on Capital Market Instruments	K. Kelly Nottage Ira Z. Crystal	Albany Financial Center South Ocean Blvd Nassau, Bahamas	N/A	Ira Z. Crystal	vacant	N/A	Ira Z. Crystal	Ira Z. Crystal	
High Tech Invest Limited	1. Dealing in Capital Market Instruments as Agent or Principal 2. Arranging Deals in Capital Market Instruments and trading CFD's	Georgianne Robinson	Sea Sky Lane, Sandyport, Nassau, Bahamas	N/A	Peter Hoffmann Thage	Gerogianne Robinson	Peter Hoffmann Thage	N/A	N/A	Jerome Pastel Gomez
Holdun Family Office (Bahamas) Limited	1. Managing Capital Market Instruments	Brendan Dunn	Albany Financial Center Suite 303	SP-63158	Brendan Dunn	Kristy Kemp	N/A	N/A	Brendan Dunn	
Holowesko Partners Ltd.	1. Managing Capital Market Instruments 2. Advising on Capital Market Instruments	Mark Holowesko	Shipston House, West Bay Street, Lyford Cay	N-7776(78)	Mark Holowesko	Karen Zyp	N/A	Mark Holowesko, Richard Farrington, Christopher Maura	Mark Holowesko, Richard Farrington, Christopher Maura	
Huber Management Ltd.	1. Managing Capital Market Instruments 2. Advising on Capital Market Instruments	Bernard Huber	Top Floor No. 1, CUB Financial Center, Lyford Cay, Nassau, Bahamas	N-7139	Bernard Huber	Jennifer Wells	N/A	Bernard Huber Juan Zatarain	Bernard Huber Juan Zatarain	

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IC Markets Ltd.	1. Dealing in Capital Market Instruments as Agent or Principal and trading CFD's	Sean Munnings Philip Dorsett	Western Business Center, Unit 3B, Upper Floor Western Road, Nassau, Bahamas	N-272	Sean Munnings	Philip Dorsett	(Vacant)	N/A	N/A	(Vacant)
IEXS Global Ltd	1. Dealing in Capital Market Instruments as Agent	Keva Ferguson	Fionza Corporate Services Centre Balmoral Development, Unit C, Sandford Drive Nassau, Bahamas	N/A	Keva Ferguson	Antonia Roberta Thompson-Carey	Peter Owen Carnegie Romilly	N/A	N/A	N/A
Inteligo Bank Ltd.	1. Dealing in Capital Market Instruments as Agent 2. Arranging Deals in Capital Market Instruments 3. Managing Capital Market Instruments 4. Advising on Capital Market Instruments	Bruno Ferreccio Del Rio	Balmoral Corporate Centre, Ground Floor, Unit B, Nassau, Bahamas	N-3732	Bruno Ferreccio Del Rio	Silka Yaneth Rodriguez Mendez	Bruno Ferreccio Del Rio	Bruno Ferreccio Del Rio	Bruno Ferreccio Del Rio	
Investar Securities Ltd.	1. Managing Capital Market Instruments	Ansel Watson	#14 University Drive	SS-19086	Ansel Watson	Omar Sands	N/A	N/A	Ansel Watson	
ITG Bahamas Inc.	1. Dealing in Capital Market Instruments as Agent or Principal 2. Arranging Deals in Capital Market Instruments 3. Managing Capital Market Instruments	Benjamin A. Shaw	Templeton Building, Western Road, Nassau Bahamas	N/A	Benjamin A. Shaw	Marcia Meeres	Benjamin A. Shaw	Benjamin A. Shaw	N/A	
IX Capital Group Limited	1. Dealing in Capital Market Instruments as Agent or Principal 2. Arranging Deals in Capital Market Instruments 3. Managing Capital Market Instruments and trading CFD's	Anthony Stubbs	201 Church Street, Sandyport, West Bay Street	N-3406	Anthony Stubbs	Georgianne Robinson	(Vacant)	N/A	(Vacant)	Jerome Pastel Gomez
J. Safra Sarasin Asset Management (Bahamas) Ltd.	1. Managing Capital Market Instruments 2. Advising on Capital Market Instruments	Daniel Kamkhaji	Lyford Financial Centre, Building 2, Western Road, Lyford Cay	CB-10988	Daniel Kamkkaji	Helene Virginie Tison Duhaze	N/A	Daniel Kamkhaji	Daniel Kamkhaji	
Jefferson Capital Limited	1. Managing Capital Market Instruments 2. Advising on Capital Market Instruments	Khofiz Shakhidi	5th Floor 308 East Bay Street	N-9058	Khofiz Shakhidi	Shonalee Munroe	N/A	Khofiz Shakhidi	Khofiz Shakhidi	
Kap Capital Inc.	1. Managing Capital Market Instruments 2. Advising on Capital Market Instruments	Latonia Angela Symonette-Tinker	Capital Union Bank Financial Centre, Western Road, Lyford Cay, Nassau, Bahamas	N-1879	Cristiano Carvalho de Souza Melo	Latonia Angela Symonette-Tinker	N/A	Cristiano Carvalho de Souza Melo	Isaac Khafif	



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LICENSEE	CATEGORIES	CONTACT PERSON	ADDRESS	P.O. BOX	Registered Representatives					
					CEO	COMPLIANCE OFFICER	TRADING REP	ADVISING REP	MANAGING REP	CFD SUPERVISORY OFFICER
Kirkoswald Global Management Services Ltd.	1. Dealing in Capital Market Instruments as Agent or Principal 2. Arranging Deals in Capital Market Instruments 3. Managing Capital Market Instruments 4. Advising on Capital Market Instruments	Tyrone McKenzie	Suite 4B, Albany Financial Centre Lewis Drive Nassau, Bahamas	SP-63158	Gregory Coffey	David Abdo Hassan	Gregory Coffey	Gregory Coffey	Gregory Coffey	
Leno Corporate Services Limited	1. Dealing in Capital Market Instruments as Agent or Principal 2. Arranging Deals in Capital Market Instruments 3. Managing Capital Market Instruments 4. Advising on Capital Market Instruments	Sean Longley	2nd Floor Pineapple Place, Bernard Road	N-8339	Sean Longley	Darcy Delaney	Kerah Farquharson; George A. White; Sonia Beneby	Kerah Farquharson; George A. White; Sonia Beneby; Sherell Woodside-Conliffe; Cleora Farquharson	N/A	
Levant Advisors Ltd.	1. Managing Capital Market Instruments 2. Advising on Capital Market Instruments	Angelo Butler; Prescott Adderley; Anthony Ferguson	3rd Floor Bayview House, #308 East Bay Street	CB-12407	Angelo Butler	Prescott Adderley	N/A	Anthony Ferguson	Anthony Ferguson	
Levant Securities Ltd.	1. Dealing in Capital Market Instruments as Agent or Principal 2. Arranging Deals in Capital Market Instruments 3. Managing Capital Market Instruments 4. Advising on Capital Market Instruments	Anthony Ferguson Prescott Adderley	Bayside Executive Park, No. 3, West Bay Street & Blake Road, Nassau, Bahamas	CB-12407	Anthony Ferguson	Prescott Adderley	Anthony Ferguson	Anthony Ferguson	Anthony Ferguson	
Lighthouse Asset Management Ltd.	1. Managing Capital Market Instruments 2. Advising on Capital Market Instruments	Riccardo Romani	#3 Pineapple Grove Old Fort Bay Western Road	N-7776	Riccardo Romani	Calvin Rolle	N/A	Riccardo Romani; Rosella Dack	Riccardo Romani; Rosella Dack	
Lion Capital Partners Ltd.	1. Arranging Deals in Capital Market Instruments 2. Managing Capital Market Instruments 3. Advising on Capital Market Instruments	Lyndon Lea	Caves Village , Building 2 West Bay Street	N/A	Lyndon Lea	Nathan Adderley	N/A	Lyndon Lea	Lyndon Lea	
LOM Financial (Bahamas) Limited	1. Dealing in Capital Market Instruments as Agent or Principal 2. Advising on Capital Market Instruments	Craig Lines	LOM House #3 Pineapple Grove, Western Road, Nassau Bahamas	AP-59223	Craig Lines	Jalinka Strachan	(Vacant)	Nakhazia Gay	N/A	
LOM Invest Ltd.	1. Advising on Capital Market Instruments	Craig Lines	LOM House #3 Pineapple Grove, Western Road, Nassau Bahamas	AP-59223	Craig Lines	Jalinka Strachan	N/A	Craig Lines	N/A	
Lombard Odier & Cie (Bahamas) Limited	1. Dealing in Capital Market Instruments as Agent or Principal 2. Arranging Deals in Capital Market Instruments 3. Managing Capital Market Instruments 4. Advising on Capital Market Instruments	Eduard Zouein	Goodman's Bay Corporate Centre, West Bay Street & Seaview Drive	N-4938	Eduard Zouein	Venetia Maura	(Vacant)	Claudio Godenzi; Maurice Chiacchiari; Alberto Inguaggito; Lea Dana; Edward Zouein; Gregory Walker	Claudio Godenzi; Maurice Chiacchiari; Alberto Inguaggito; Lea Dana; Edward Zouein; Gregory Walker	

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LT Green Capital Investment Limited	1. Managing Capital Market Instruments 2. Advising on Capital Market Instruments	Edson Ito	3rd Floor Bayview House, 308 East Bay Street	CB-12407	Edson Ito	Prescott Adderley	N/A	Edson Ito	Edson Ito	
Lydda Capital Ltd.	1. Dealing in Capital Market Instruments as Agent or Principal 2. Arranging Deals in Capital Market Instruments 3. Managing Capital Market Instruments 4. Advising on Capital Market Instruments	Anthony Ferguson	Bayside Executive Park, No. 3, West Bay Street & Blake Road, Nassau, Bahamas	CB-12407	Anthony Ferguson	Precott Adderley	Anthony Ferguson	Anthony Ferguson	Anthony Ferguson	
Macro Bank Limited	1. Dealing in Capital Market Instruments as Agent 2. Arranging Deals in Capital Market Instruments	Rosina Simeto	Building 8 Office 1, Caves Village, West Bay Street	N-4444	Rosina Simeto	Stella Giani	Rosina Simeto	N/A	N/A	
Magellan Securities Limited	1. Managing Capital Market Instruments 2. Advising on Capital Market Instruments	Robert Davidowski	Suite 232, The Island Lane Building, The Olde Towne at Sandypport	CB-13366	Robert Davidowski	Nathan Adderley	Meredith Miller; Destiny Rollins Michael Slack	Robert Davidowski; Ian Towell;	Robert Davidowski	
Magnum Global Advisors Ltd.	1. Managing Capital Market Instruments	Christian Coquoz	APMS House, #8 Pineapple Grove, Old Fort Bay, Western Road, Nassau, Bahamas	SS-19689	Christian Coquoz	Indira Ferguson	N/A	N/A	Christian Coquoz	
Marlin Capital Partners Limited	1. Managing Capital Market Instruments 2. Advising on Capital Market Instruments	Zachary Lyons	CUB Financial Centre, Western Road, Nassau, Bahamas	N-4469	Zachary Lyons	Indira Ferguson	N/A	Zachary Lyons; Andrew Pajakowski Vasilia Mavros	Zachary Lyons; Andrew Pajakowski Vasilia Mavros	
Metainvest Capital Management Ltd.	1. Managing Capital Market Instruments 2. Advising on Capital Market Instruments	Riccardo Sigon	212 Church Street, Old Towne Sandypport	N-3205	Riccardo Sigon	Latonia Symonette-Tinker	N/A	Riccardo Sigon	N/A	
Mint Capital Advisors Ltd.	1. Managing Capital Market Instruments	Eric Strachan	Templeton Building, West Bay Street	N-9204	Eric Strachan	Neil Major	N/A	N/A	Eric Strachan	
MMG Bank & Trust Ltd.	1. Dealing in Capital Market Instruments as Agent 2. Managing Capital Market Instruments 3. Advising on Capital Market Instruments	Pachridee Hanna-Ferguson	Suite 102, 1st Floor, Saffrey Square, Bay Street & Bank Lane	N-4889	Pachridee Hanna-Ferguson	Cecil Ferguson	(Vacant)	(Vacant)	(Vacant)	
Mosaic Financial Ltd.	1. Dealing in Capital Market Instruments as Agent 2. Arranging Deals in Capital Market Instruments 3. Managing Capital Market Instruments 4. Advising on Capital Market Instruments	Shameka Fernander	Caves Village, Building #6, West Bay Street	SP-63771	Shameka Fernander	Laterio Culmer	Shameka Fernander	Robert Jensen	Robert Jensen	
Nexor (Bahamas) Ltd.	1. Managing Capital Market Instruments 2. Advising on Capital Market Instruments	Daniel-Marc Brunner	Suite 601 Albany Financial Center, South Ocean Boulevard,	AP-59205-3380	Daniel-Marc Brunner	Michelle Bain	N/A	Werner Gruner; Daniel-Marc Brunner	Daniel-Marc Brunner	

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O'CoF Securities Limited	1. Dealing in Capital Market Instruments as Agent 2. Arranging Deals in Capital Market Instruments	N'Nhyn Mhln Fernander	BMCC Square, Suite # 7, Block # II Queens Highway, Freeport, Bahamas	EE 16320	N'Nhyn Mhln Fernander	Crystal McClain-Turnquest	Oswald Frederick Moore	N/A	N/A	
Octogone Fund Management Ltd.	1. Managing Capital Market Instruments 2. Advising on Capital Market Instruments	Nathan Adderley	No. 3 Offices Old Fort Bay, Western Rd.	SP-63157	George Pericles Maillis	Nathan Adderley	N/A	George Pericles Maillis	Joakim Lehmkuhl	
Oracle Capital Advisors Ltd.	1. Dealing in Capital Market Instruments as Agent 2. Managing Capital Market Instruments 3. Advising on Capital Market Instruments	Oleg Nikityuk	202 Marina Lane Sandyport, Nassau, Bahamas	N-9934	Oleg Nikityuk	Raynell Miller	Oleg Nikityuk	Oleg Nikityuk	Oleg Nikityuk	
Palm Global Capital Ltd (Formally Destek Global Limited)	1. Dealing in Capital Market Instruments as Agent or Principal 2. Arranging Deals in Capital Market Instruments 3. Managing Capital Market Instruments 4. Advising on Capital Market Instruments and trading CFD's	Anthony Ferguson	Bayside Executive Park, No. 3, West Bay Street & Blake Road, Nassau, Bahamas	CB-12407	Anthony Ferguson	Prescott Adderley	Anthony Ferguson	Anthony Ferguson	Anthony Ferguson	Anthony Ferguson
Pepperstone Markets Limited	1. Dealing in Capital Market Instruments as Agent or Principal 2. Arranging Deals in Capital Market Instruments 3. Managing Capital Market Instruments and trading CFD's	Reece Chipman	Pineapple House, Old Fort Bay, Western Road, Nassau, Bahamas	N/A	Reece Chipman	Shavonna Cordice	(Vacant)	N/A	(Vacant)	Tanya McCartney
Phoenix Capital Ltd.	1. Managing Capital Market Instruments 2. Advising on Capital Market Instruments	Luca Carlo Pasquale Lanciano	212 Church Street, Old Towne Sandyport	SP-64377	Luca Carlo Pasquale Lanciano	LaTanya Hamilton	N/A	Luca Carlo Pasquale Lanciano; Carla Taylor	Luca Carlo Pasquale Lanciano; Carla Taylor	
Pictet Bank & Trust Limited	1. Dealing in Capital Market Instruments as Agent 2. Arranging Deals in Capital Market Instruments 3. Managing Capital Market Instruments 4. Advising on Capital Market Instruments	Janique Duvalier	Bayside Executive Park, Building 1, West Bay Street & Blake Road	N-4837	Carlos De La Torre	Zovia Dean-Scantlebury	Tamica Rolle; Miriam Scott; Nikola Ruzicic-Kessler; Melan Georges	Monica Millers; Jeremy Stuby; Isabelle Fiaux; Jose Suarez; Carlos De La Torre; Fernando Luperi Guerra; Nikola Ruzicic- Kessler; Dionne Curry; Jeremy Proffitt	Nikola Ruzicic-Kessler; Typres Sturup	
Platinum Portfolio Management Ltd.	1. Managing Capital Market Instruments 2. Advising on Capital Market Instruments	Blair Robert Panes	Suite #200, 1 Marina Drive Sterling Commons Paradise Island Nassau, The Bahamas	N-1812	Blair Robert Panes	Endric Deleveaux	N/A	Blair Robert Panes	Blair Robert Panes	
Plotio Global Financial Limited	1. Dealing in Capital Market Instruments as Agent or Principal 2. Arranging Deals in Capital Market Instruments and trading CFD's	Vincent Laurent King Latonia Symonette-Tinker	Capital Union Bank Financial Centre, Western Road, Lyford Cay, Nassau, Bahamas	N-1879	Vincent Laurent King	Latonia Symonette-Tinker	Tsz Hong Fung	N/A	N/A	(Vacant)

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					CEO	COMPLIANCE OFFICER	TRADING REP	ADVISING REP	MANAGING REP	CFD SUPERVISORY OFFICER
PLUS500BHS Ltd	1. Dealing in Capital Market Instruments as Agent or Principal and trading CFD's	Prescott Edvardo Adderley	Bayside Executive Park, No. 3, West Bay Street & Blake Road, Nassau, Bahamas	N/A	Prescott Edvardo Adderley	Sherella Vernetta Gibson-Sturup	Anthony Ferguson	N/A	N/A	Anthony Ferguson
Prevatt Capital Ltd.	1. Managing Capital Market Instruments 2. Advising on Capital Market Instruments	Jonathan Israel Tepper	Lyford Cay House, Western Road	N 7512	Jonathan Israel Tepper	Indira Ferguson	N/A	Jonathan Israel Tepper	Jonathan Israel Tepper	
Prex Markets Limited	1. Dealing in Capital Market Instruments as Agent	Keva Ferguson Shelby Brice	Balmoral Corporate Centre, 2nd Flr, Unit C, Suite B, Sanford Drive	P.O. Box SP-63191	Keva Ferguson	Shelby Brice	(Vacant)	N/A	N/A	
Primo Investment Advisors Limited	1. Managing Capital Market Instruments 2. Advising on Capital Market Instruments	Ecio Silva	2 Lyford Cay Financial Centre, Western Road, Centre of Commerce Nassau, Bahamas	SP-63948	Ecio Silva	Indira Ferguson	N/A	Ecio Silva	Ecio Silva	
Providence Advisors Limited	1. Dealing in Capital Market Instruments as Agent or Principal 2. Arranging Deals in Capital Market Instruments 3. Managing Capital Market Instruments 4. Advising on Capital Market Instruments	Kenwood Kerr; Monique Cooper	1st Floor, Goodman's Bay Corporate Centre	AP-59223-409	Kenwood Kerr	Indira Ferguson	Carrol Burrows	N/A	Kenwood Kerr; Carrol Burrows	
Q I Advisors (Bahamas) Ltd.	1. Managing Capital Market Instruments 2. Advising on Capital Market Instruments	Kristy Kemp	#3 Windsor Business Park, Building B-1, Windsor Field Road Nassau, Bahamas	SP-63035	Christian V . Quege	Kristy Kemp	(Vacant)	Alma McIntosh	Christian V . Quege	
Quantfury Trading Limited	1. Dealing in Capital Market Instruments as Agent or Principal 2. Arranging Deals in Capital Market Instruments 3. Managing Capital Market Instruments 4. Advising on Capital Market Instruments and trading CFD's	Ali Pourdad	Lyford Cay House, 4th Floor, Western Road, Nassau, Bahamas	CB 12407	Nikolai Sawyer	Prescott Adderley	(Vacant)	(Vacant)	(Vacant)	Anthony Ferguson
RBC Dominion Securities (Global) Limited	1. Dealing in Capital Market Instruments as Agent or Principal 2. Arranging Deals in Capital Market Instruments 3. Managing Capital Market Instruments 4. Advising on Capital Market Instruments	Andrew McCartney	Suite 201, Albany Financial Center, South Ocean Boulevard	N-3234	Andrew McCartney	Mario Smith	John Rodney Bond; Francisco Goncalves; Gregory Metrailler; Amelia Jenice Bain	John Rodney Bond; Carol Denis Newbold; Sophia Eloise McKenzie; Francisco Goncalves; Gregory Metrailler	Francisco Goncalves; Gregory Metrailler	
RIA Company Ltd.	1. Managing Capital Market Instruments	Alejandro Luis Barroetavena	Winterbotham Place, Malborough & Queen Streets	N-3026	Alejandro Luis Barroetavena	Anja Marie Charles	N/A	N/A	Alejandro Luis Barroetavena	

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RF Bank & Trust (Bahamas) Limited	1. Dealing in Capital Market Instruments as Agent or Principal 2. Arranging Deals in Capital Market Instruments 3. Managing Capital Market Instruments 4. Advising on Capital Market Instruments	Michael Anderson	Fidelity Financial Centre, 51 Frederick Street	N-4853	Michael Anderson	Garnal Brown	Belinda Payne; Quentin Euriel Gibson; Charmaine Williams	Tishrea Grey; Dominic Ajvon Stubbs ; Patrick Ferguson	Cleora Fraquharson; David Craig Van Onselen; David Slatter	
Scotiabank (Bahamas) Limited	1. Dealing in Capital Market Instruments as Agent or Principal 2. Arranging Deals in Capital Market Instruments 3. Managing Capital Market Instruments 4. Advising on Capital Market Instruments	Roger Archer	Rawson Square, Bay Street, Nassau, Bahamas	N-7518	Roger Archer	Rico Russell	Deno Moss; Janae Francis-Ingraham	Deno Moss;	(Vacant)	
Sinclair Asset Management Ltd.	1. Managing Capital Market Instruments 2. Advising on Capital Market Instruments	Jean-Marc Wenger	CUB Financial Center, Room TF3, Lyford Cay, Nassau, Bahamas	N/A	Jean-Marc Wenger	Indira Ferguson	N/A	Jean-Marc Wenger; Joel Johnson	Jean-Marc Wenger; Joel Johnson	
SIS Solutions Ltd.	1. Managing Capital Market Instruments	Keva McPhee	Cumberland House, 15 Cumberland Street	SP-64111	Keva McPhee	Nicolette Krystina Campell	N/A	N/A	Keva McPhee	
ST Global Markets Ltd.	1. Dealing in Capital Market Instruments as Agent	Michael Bassett	Building 1 Unit 9, Old Fort Bay Town Centre Nassau, Bahamas	SP-64427	Vacant	Eric Burton Strachan	Vacant	N/A	N/A	
Sterling (Bahamas) Limited	1. Arranging Deals in Capital Market Instruments 2. Managing Capital Market Instruments 3. Advising on Capital Market Instruments	Alan Edward Cole	202 Marina Lane Sandypport, Nassau, Bahamas	N-9934	Alan Edward Cole	Raynell Naomi Miller	Christopher Claude Cole	Christopher Claude Cole	Christopher Claude Cole	
Sterling Financial Group Inc.	1. Managing Capital Market Instruments 2. Advising on Capital Market Instruments	David Kosoy Endric Deleveaux	Sterling Commons West, One Marina Drive, Paradise Island, Nassau, Bahamas	N-1812	David Kosoy	Endric Deleveaux	N/A	David Kosoy; Stephen Tiller;	David Kosoy; Stephen Tiller	
Swan Capital Ltd.	1. Managing Capital Market Instruments	Jorge Isaac Moreira Barrow	Halisbury Commercial Centre, #10, Village Road, Nassau, Bahamas	SS-6333	Jorge Isaac Moreira Barrow	Rosemary Barrett	N/A	N/A	Jorge Isaac Moreira Barrow	
Talent Asset Management Limited	1. Managing Capital Market Instruments 2. Advising on Capital Market Instruments	Joneka Wright, Jose Ignacio Chercasky	INCUDESK West, Windsor Field Rd., Old Fort Bay Town Centre, Building #3, Suit #13, Nassau, Bahamas	N-3026	Jose Ignacio Chercasky	Anja Marie Charles	N/A	Jose Ignacio Chercasky	Jose Ignacio Chercasky	
Techfin Markets Limited	1. Dealing in Capital Market Instruments as Agent or Principal 2. Arranging Deals in Capital Market Instruments and trading CFD's	LaTonia Symonette-Tinker	Capital Union Bank Financial Centre, Lyford Cay, Nassau, Bahamas	N-1879	Theresa Haven-Adderley	Latonia Symonette-Tinker	Constantinos Michaelides	N/A	N/A	(Vacant)

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Templeton Global Advisors Limited	1. Managing Capital Market Instruments 2. Advising on Capital Market Instruments	Christopher Peel	Templeton House, Lyford Cay, Nassau, Bahamas	N-7759	Christopher Peel	Peter Palleija	N/A	Warren Pustam	Christopher Peel; Warren Pustam	
The Bank of Nova Scotia Trust Company (Bahamas) Ltd.	1. Dealing in Capital Market Instruments as Principal 2. Arranging Deals in Capital Market Instruments 3. Managing Capital Market Instruments 4. Advising on Capital Market Instruments	Neil Macdonald	Scotia House, 404 East Bay Street	N-3016	Neil Macdonald	Malissa Taylor	Jerome Franks Locksley Knowles II	Jerome Franks; Juan Giraldo Locksley Knowles II	Jerome Franks Locksley Knowles II	
The Private Trust Corporation Limited	1. Dealing in Capital Market Instruments as Agent 2. Arranging Deals in Capital Market Instruments	Adrian Crosbie-Jones	2nd Floor, Charlotte House, Shirley & Charlotte Streets	N-65	Adrian Crosbie-Jones	Natasha Dean	Charlene Storr; Bruno Roberts	N/A	N/A	
The Winterbotham Trust Company Limited	1. Dealing in Capital Market Instruments as Agent 2. Arranging Deals in Capital Market Instruments 3. Managing Capital Market Instruments 4. Advising on Capital Market Instruments	Ivan Hooper	Winterbotham Place, Marlborough & Queen Streets	N-3026	Ivan Hooper	Anja Marie Charles	Ivan Hooper; Jessica Thornley-Mitchell; Andrea Quant, Nicola Patrice Rolle	Jessica Thornley-Mitchell	David Thain	
Trade Nation Ltd.	1. Dealing in Capital Market Instruments as Agent or Principal 2. Arranging Deals in Capital Market Instruments 3. Managing Capital Market Instruments 4. Advising on Capital Market Instruments and trading CFD's	Anthony Ferguson	Office 2B, One Sandypoint Plaza, West Bay Street, Nassau, Bahamas	CB-12407	Richard Daniel Nathan	Aruna Tara Rodgers	Anthony Ferguson	Anthony Ferguson	Anthony Ferguson	Anthony Ferguson
TradeZero Inc.	1. Dealing in Capital Market Instruments as Agent or Principal	Kay Briggs	436 West Bay Street Nassau, Bahamas	SP-62904	Kay Briggs	Natasha Bastian	Kay Briggs	N/A	N/A	
UBS AG, Nassau Branch	1. Dealing in Capital Market Instruments as Agent or Principal 2. Arranging Deals in Capital Market Instruments 3. Managing Capital Market Instruments 4. Advising on Capital Market Instruments	Lakeisha Sands Jeunesse Osadebay-Bullard Ghislane Wilkinson; list.csnbnotice@credit-suisse.com;	4th Floor The Financial Centre, Shirley & Charlotte Streets	N-4928	Lakeisha Sands	Jeunesse Osadebay-Bullard	Thomas Markus Karrer; Oliver Turner; Pedro Moreira Alexandre Tristan Darville	Thomas Markus Karrer; Oliver Turner; Pedro Moreira Alexandre Tristan Darville	(Vacant)	
UCAP Bahamas Limited	1. Dealing in Capital Market Instruments as Agent or Principal 2. Arranging Deals in Capital Market Instruments 3. Managing Capital Market Instruments 4. Advising on Capital Market Instruments	Patrick Zbinden	CUB Financial Center, Lyford Cay, Nassau, Bahamas	N/A	Patrick Zbinden	Indira Ferguson	Clement Ducasse	Clement Ducasse	Clement Ducasse	

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WG Capital Ltd.	1. Dealing in Capital Market Instruments as Agent or Principal 2. Arranging Deals in Capital Market Instruments 3. Managing Capital Market Instruments 4. Advising on Capital Market Instruments	Shelby Brice	Unit A, Balmoral Corporate Center, Balmoral Development	AP-59223 Slot 501	Stavros Spyropoulos	Shelby Brice	(Vacant)	(Vacant)	(Vacant)	
Windermere Corporate Management Limited	1. Managing Capital Market Instruments	John Lawrence	200 Sterling Commons, Harbour Drive, Paradise Island, Nassau, Bahamas	N-7797, Suite A-084	John Lawrence	Millicent Clarke	N/A	N/A	John Lawrence	
XL Partners Ltd.	1. Managing Capital Market Instruments 2. Advising on Capital Market Instruments	Mitsuo Alves	APMS House #8 Pineapple Grove, Old Fort Bay, Western Road, Nassau, The Bahamas	N/A	Mitsuo Alves	Indira Ferguson	N/A	Mitsuo Alves	Mitsuo Alves	